

# Noise issue of SPADs in standard silicon-based technologies

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**2022 International SPAD Sensor Workshop**

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**Background**

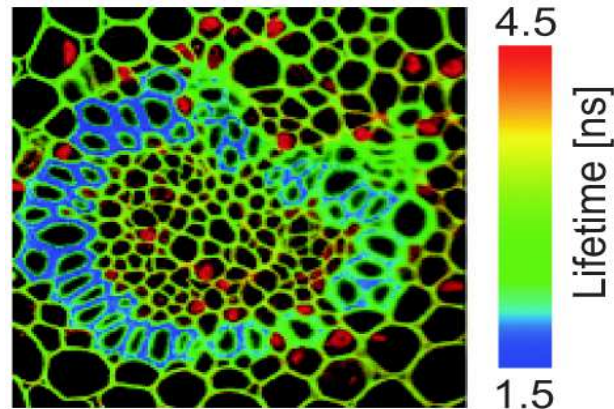
# High-Performance Imaging Applications

(ToF) PET



<https://www.iibi.uiowa.edu/pet-center>

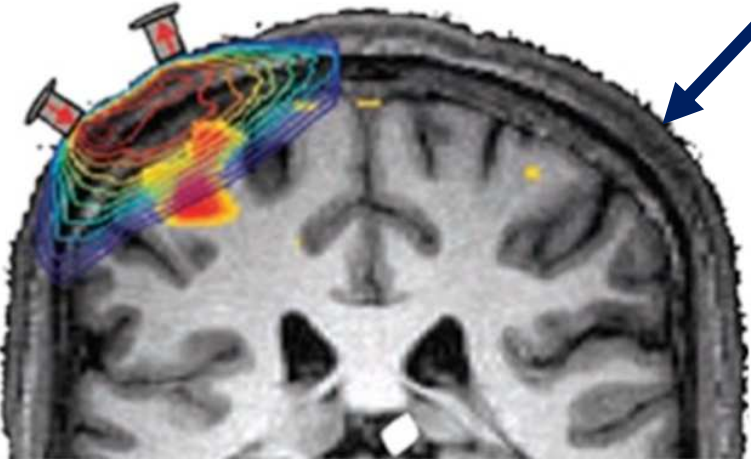
FLIM



<https://www.picoquant.com/applications/category/life-science/fluorescence-lifetime-imaging-flim>

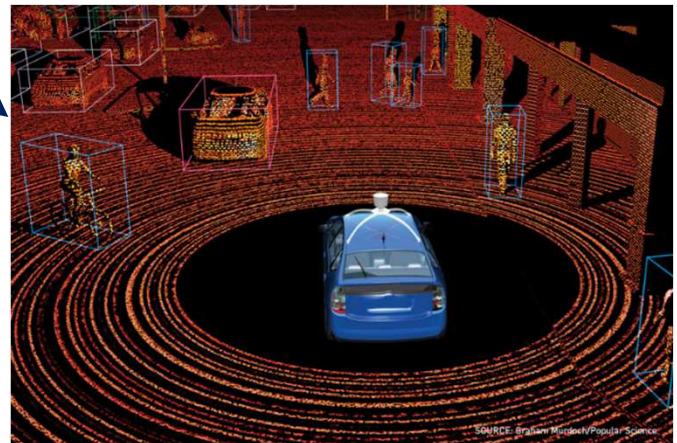
Ultimate sensitivity down to single photons & Photon timing capabilities

NIRS



<http://www.researchimaging.pitt.edu/content/near-infrared-spectroscopy-nirs-brain-imaging-laboratory#>

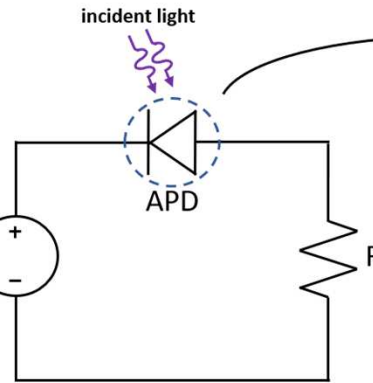
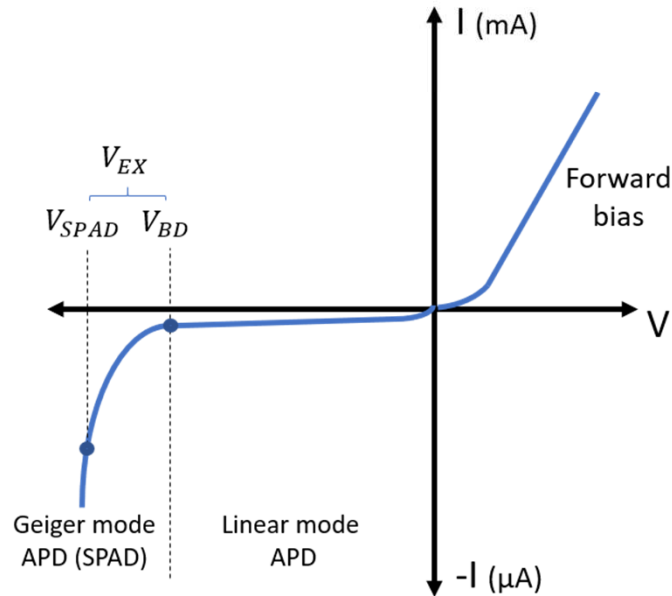
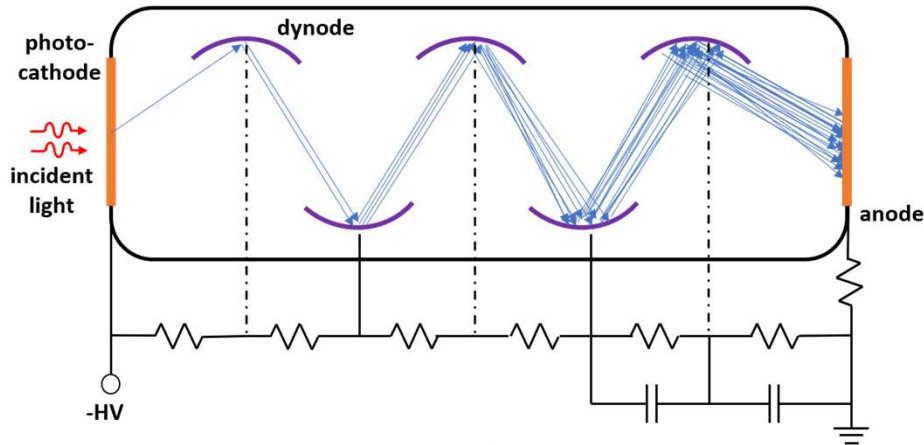
LiDAR



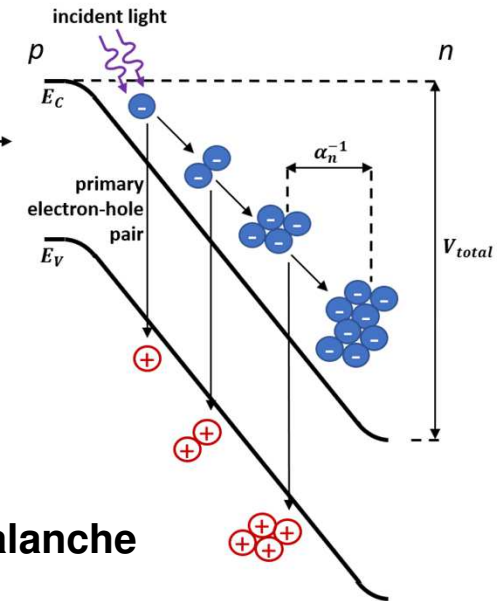
<https://www.clearpathrobotics.com/blog/2017/01/3d-lidar-true-3d-sensing-spinning-2d-alternatives/>

# Evolution of Prominent Photodetectors

## Photomultiplier Tubes (PMTs)



## Impact Ionization in Avalanche Photodiodes (APDs)



	PMT	APD	SPAD
Gain	$10^6$	50-1000	$\sim 10^6$
Bias (V)	>1000 V	300-1000	$\sim 15-80$
QE @ 420 nm (%)	$\sim 25$	$\sim 70$	>50% (PDE)
Magnetic Field Compatibility	No	Yes	Yes
ToF Capability	Limited	No	Yes
Signal/Readout	Analog/Complex	Analog/Complex	Digital/Simple
Price/channel (\$)	>200	$\sim 100$	$\sim 50$

Single-Photon Avalanche Diodes (SPADs) are reverse biased above breakdown



# SPAD Research Challenges

❑ Implementation - more advanced CMOS technologies

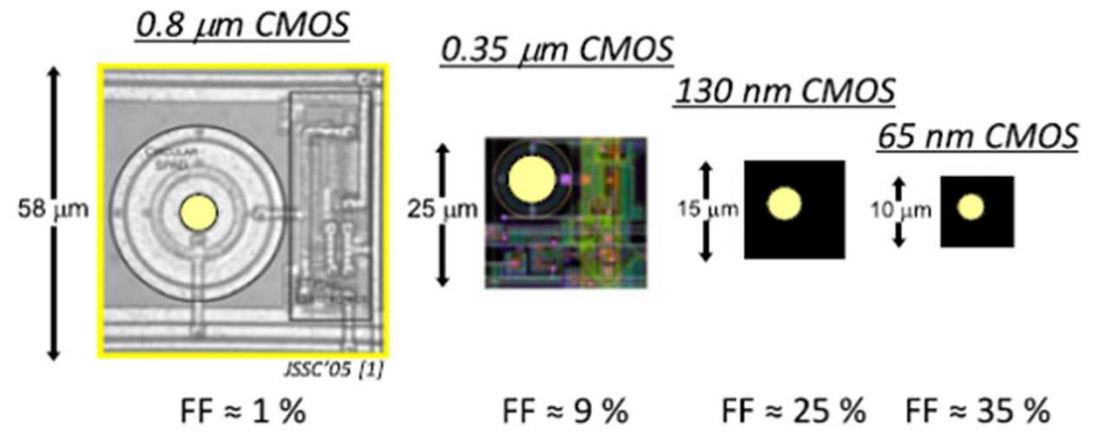
- ↪ ↑ FF
- ↪ ↓  $V_{BD}$
- ↪ ↑ DCR

❑ SPADs - custom/3D tech + post-processing

- ↪ ↑ Overall performance
- ↪ ↑ Cost and complexity

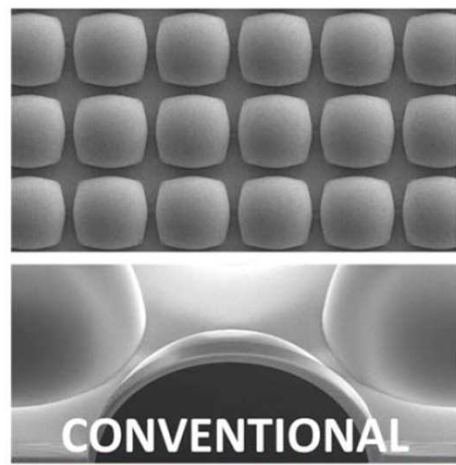
❑ SPADs in standard CMOS

- ↪ ↑ Integration
- ↪ ↓ Cost
- ↪ ↓ PDE
- ↪ ↑ DCR

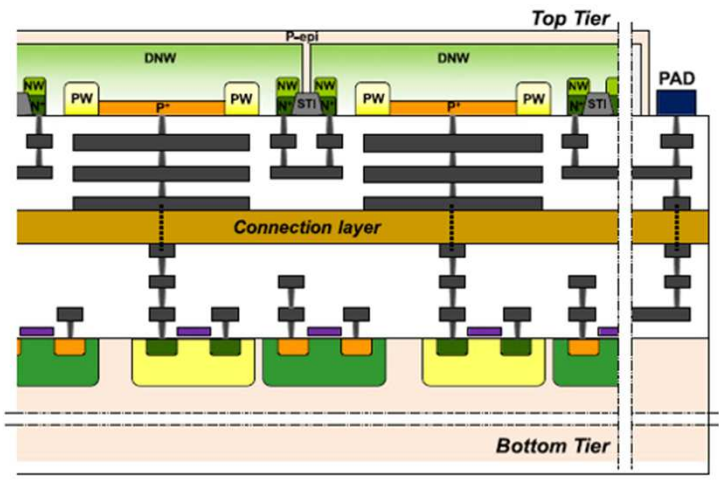


A. R. Ximenes, *et al.*, "A 256x256 45/65nm 3D-stacked SPAD-based direct TOF image sensor for LiDAR applications with optical polar modulation for up to 18.6dB interference suppression," in *2018 IEEE International Solid - State Circuits Conference - (ISSCC)*, 2018, pp. 96-98

M.-J. Lee *et al.*, "High-Performance Back-Illuminated Three-Dimensional Stacked Single-Photon Avalanche Diode Implemented in 45-nm CMOS Technology," *IEEE J. Sel. Top. Quantum Electron.*, vol. 24, no. 6, pp. 1-9, Nov. 2018



Microlenses



3D stacking

# Noises in CMOS SPAD

## □ Dark count rate (DCR)

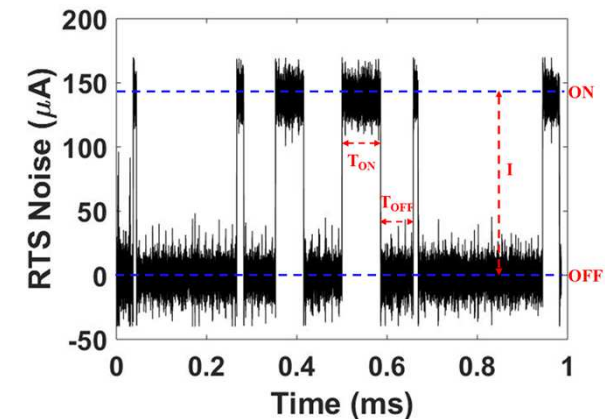
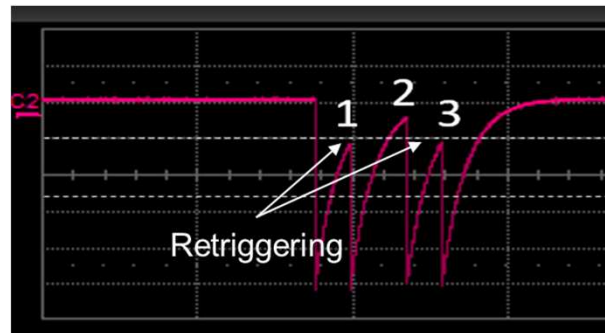
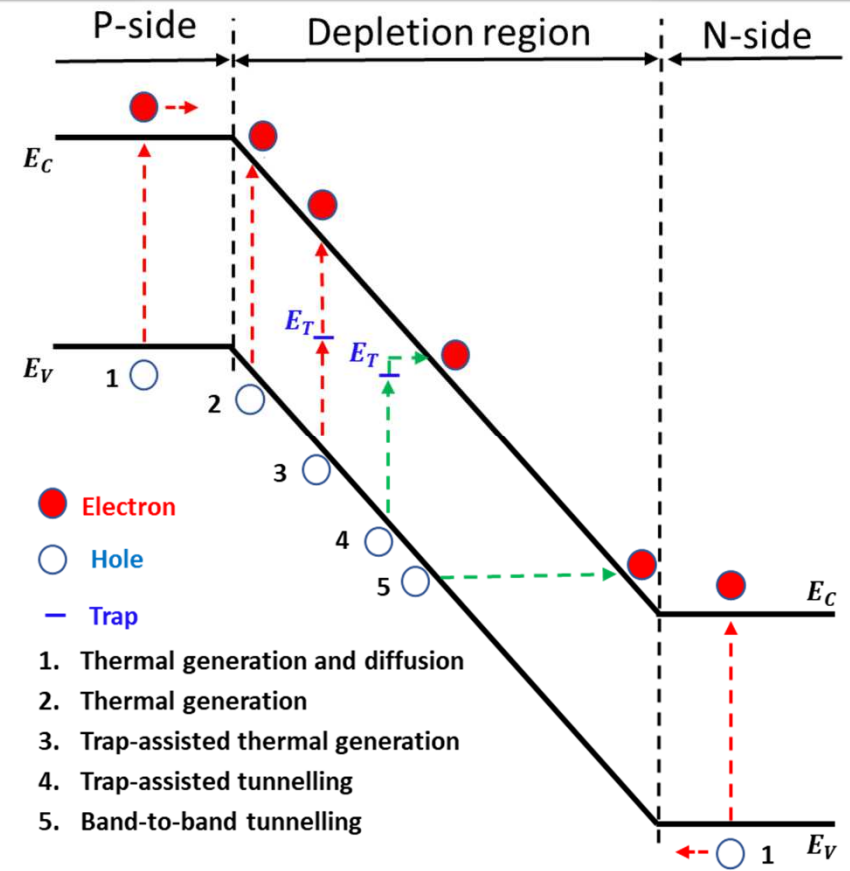
- ↪ Thermal generation-recombination
- ↪ Trap-assisted tunneling
- ↪ Band-to-band tunneling

## □ Afterpulsing (AP)

- ↪ Carriers captured by the trap center
- ↪ Secondary avalanche triggered by released carriers

## □ Random Telegraph Signal (RTS) Noise

- ↪ DCR varies with time
- ↪ Avalanche on & off @ breakdown point



# SPAD Noise Modeling

Z Cheng, X Zheng, D Palubiak, MJ Deen, and H Peng, "A *Comprehensive and Accurate Analytical SPAD Model for Circuit Simulation*," **IEEE Transactions on Electron Devices**, Vol. 63(5), pp. 1940-1948, May 2016.

# SPAD Modeling in Verilog-A

## □ SPAD's behavior with circuits

- ↪ SPAD is coupled with **quenching, reset, readout circuits**
- ↪ **Circuits determined by SPAD's** parameters/behavior
- ↪ SPAD model integrated with **EDA tools**

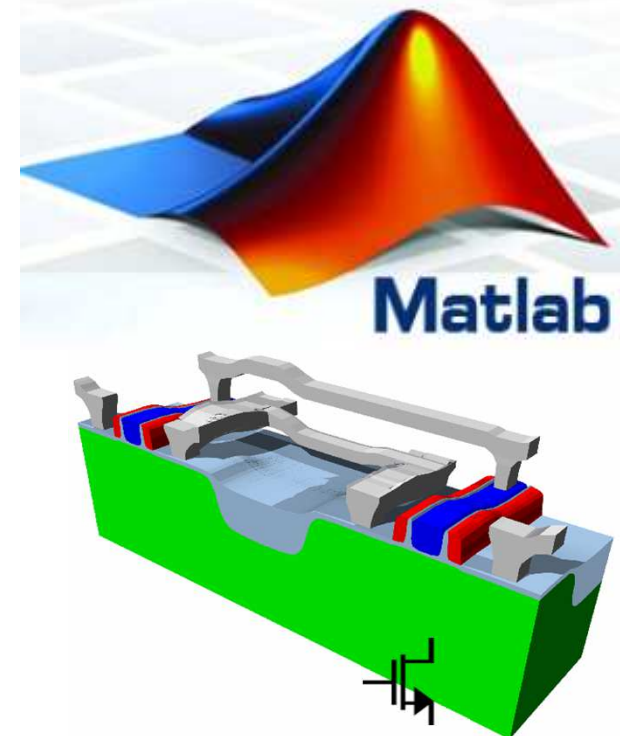
## □ SPAD noise with medical imaging systems

- ↪ Determines the system **energy resolution**

$$R_{energy} = \sqrt{R_{intrinsic}^2 + R_{noise}^2}$$

## □ Requirement for SPAD model

- ↪ Accurate and comprehensive of SPAD's behavior
- ↪ Integrate well with EDA tools (*synthesizable design*)
- ↪ Efficient in simulation



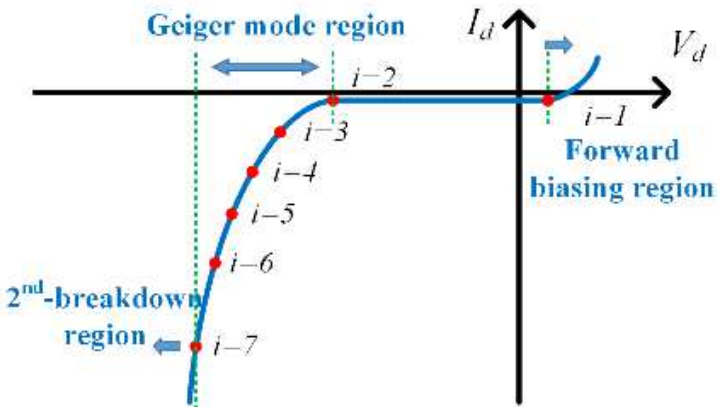


# SPAD Modeling in Verilog-A

## Proposed SPAD model

### 1. Static behavior

❖ Current-voltage relationship

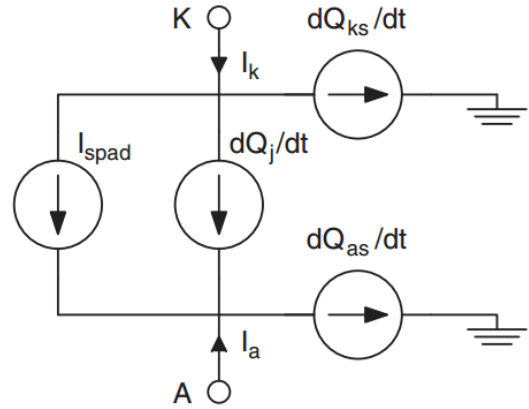


### 3. Noise behavior

- ❖ **Primary** dark noise:
  - Thermal generation, band-to-band tunneling
- ❖ **Secondary** dark noise:
  - After-pulsing phenomenon

### 2. Dynamic behavior

❖ Capacitor charging/ discharging



### 4. Temperature dependence

❖ Si bandgap energy, intrinsic concentration, SPAD  $V_{brk}$

$$E_g = E_{G0} + E_{G1}T + E_{G2}T^2 + E_{G3}T^3 + E_{G4}T^4$$

$$n_i = \sqrt{N_C N_V} e^{\frac{-E_g}{2kT}}$$

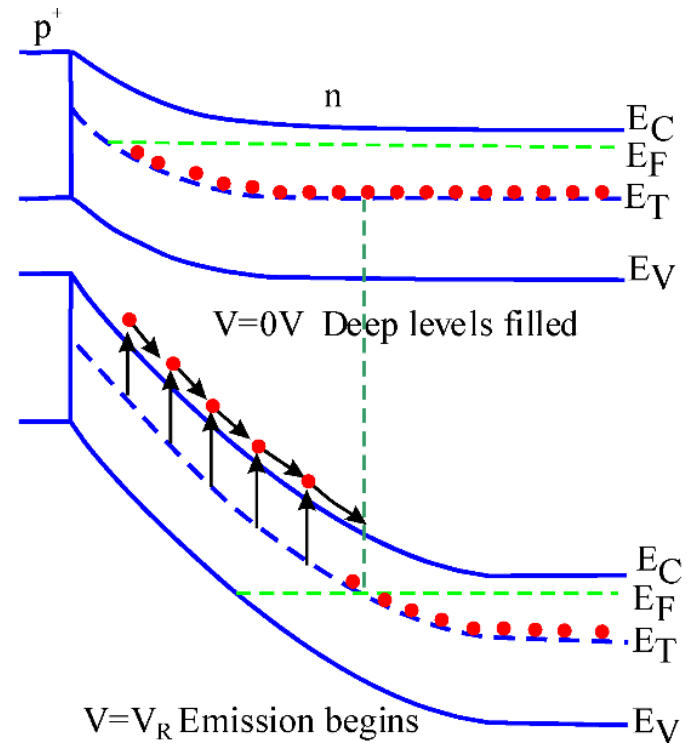
$$V_{brk} = V_{brk0} [1 + \beta(T - T_0)]$$

Q. He, et. al., *J. Semicond.*, 34 (10), pp. 104007-1-6, 2013.  
 G. Giustolisi, et. al., *Int. J. Circuit Theory Appl.*, 40 (7), pp. 661-679, 2012.  
 CLF Ma, *IEEE Trans El Dev*, vol. 42 (5), pp. 810-818, 1995.

# SPAD Modeling in Verilog-A

## □ SPAD primary dark noise

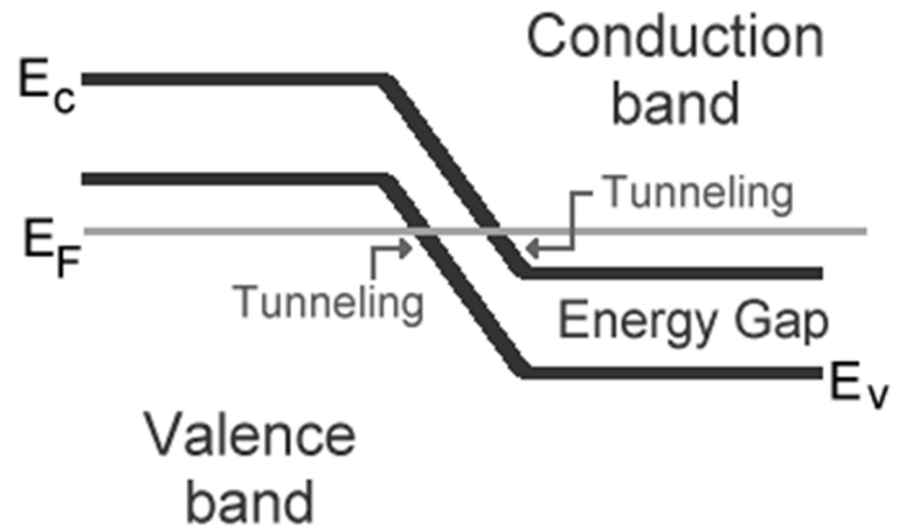
### ↳ Thermal generation



- Using Shockley-Read-Hall theory to determine carrier generation rate

$$CGR_{Therm} \approx \frac{n_i \sigma_0 N_t}{2} \sqrt{\frac{3kT}{m^*}} A_D W_D$$

### ↳ Band-to-band tunneling



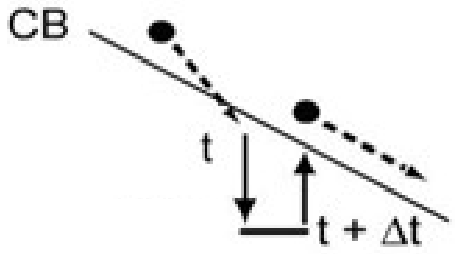
- Significant - advanced CMOS process
- $N \uparrow \Rightarrow SCR \downarrow$  and  $E \uparrow$

$$CGR_{Tunn} = \frac{\sqrt{2m^*} q^2 F V_R}{h^2 \sqrt{E_g}} A_D \times \exp\left(-\frac{8\pi\sqrt{2m^*} E_g^{3/2}}{3qFh}\right)$$

# SPAD Modeling in Verilog-A

## □ SPAD secondary dark noise

- ↪ After-pulsing phenomenon
- ↪ Carrier trapping and releasing in bandgap shallow-traps

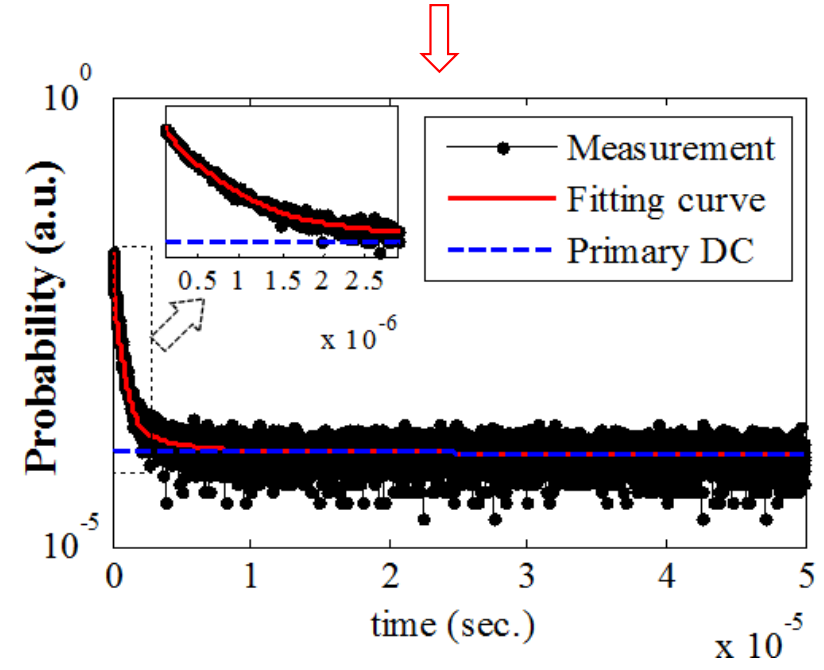
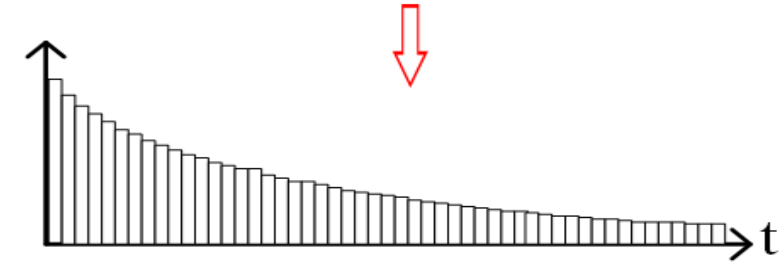
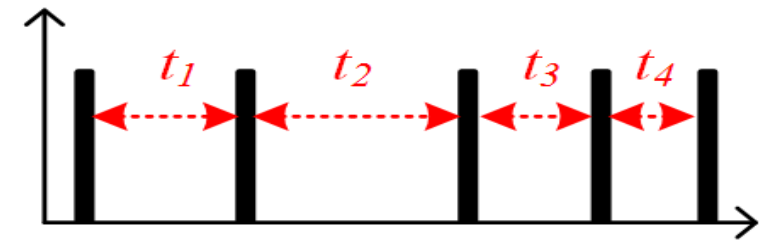


### Trap's lifetime

$$\tau = \tau_0 e^{E_A/kT}$$

### After-pulsing probability

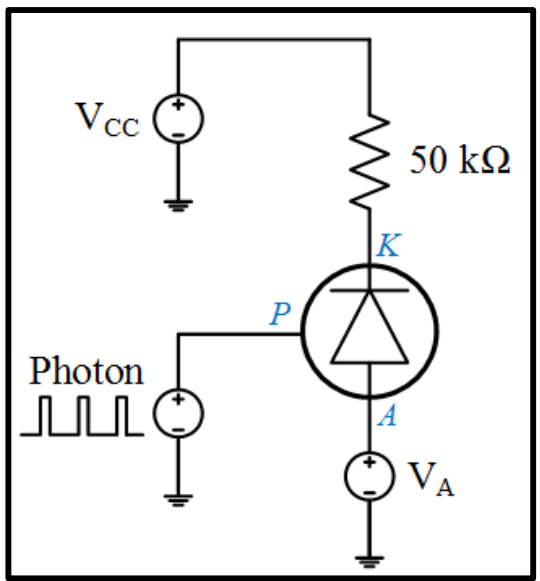
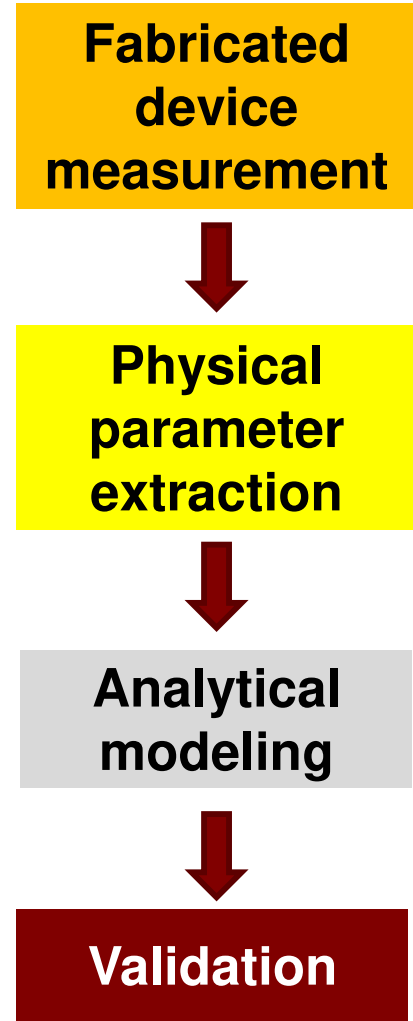
$$P_{ap}(t) = \sum_{i=1}^N A_i \frac{1}{\tau_i} e^{-t/\tau_i}$$



[<http://mxp.physics.umn.edu/s98/projects/menz/poster.htm>]

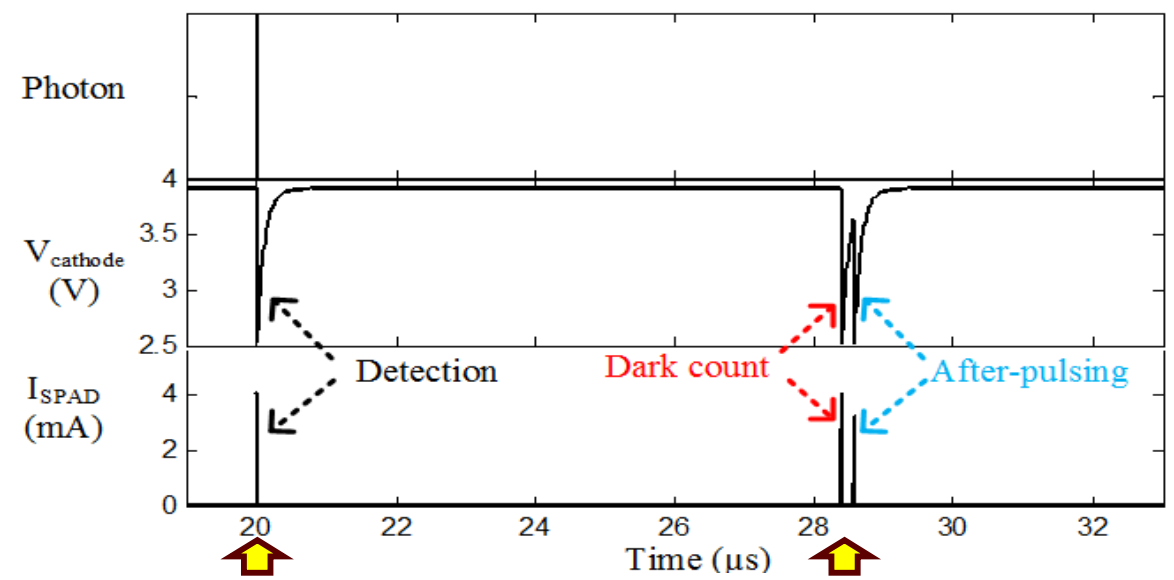
# SPAD Modeling in Verilog-A

## Method & Simulation



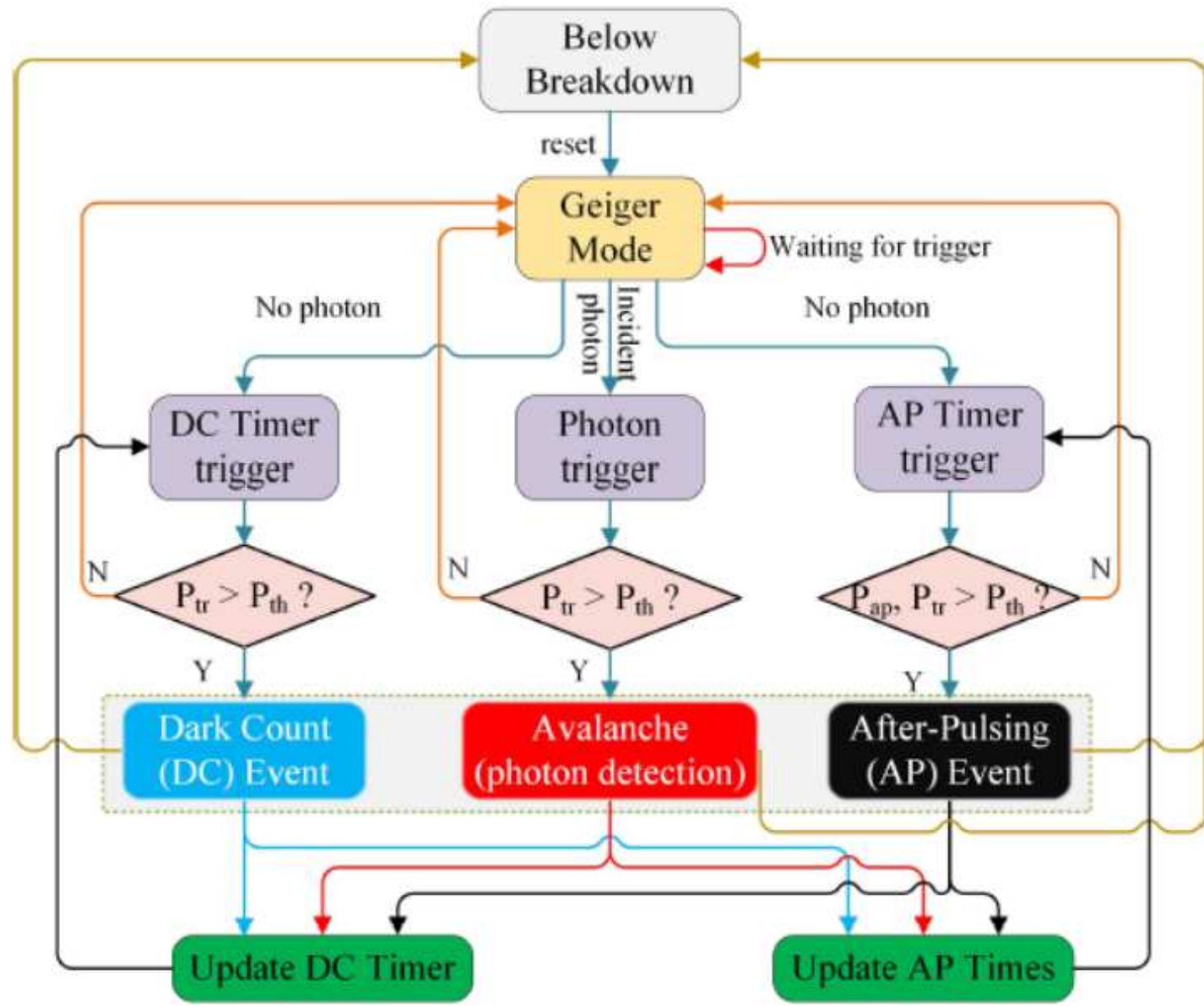
SPAD in Verilog-A

Simulation validated in Cadence Spectre, HSPICE



# SPAD Noise model

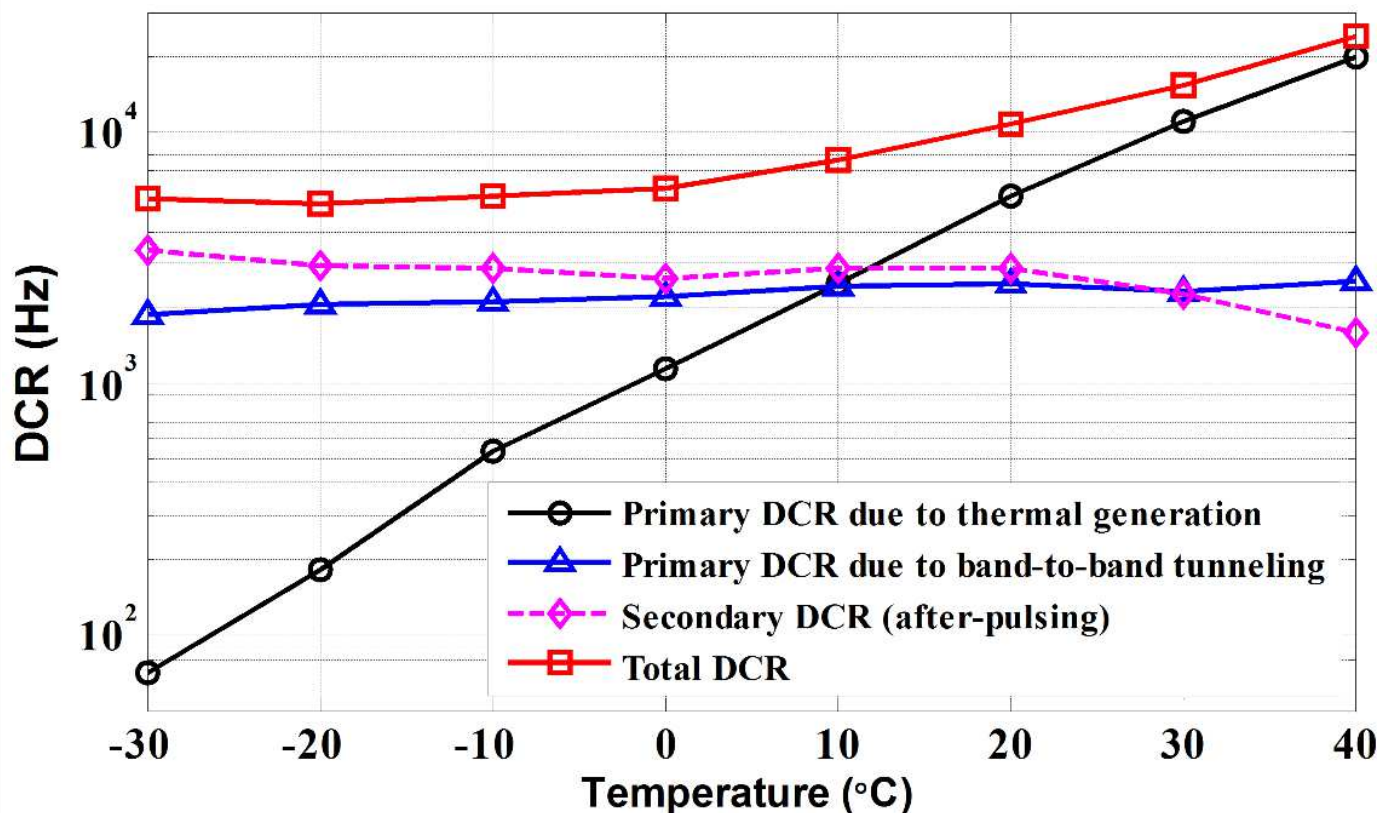
## □ Single-Photon Avalanche Diode (SPAD)





# SPAD Modeling in Verilog-A

## □ Simulation result example



1. Band-to-band tunneling shows least temperature dependence
2. Thermal generation is dominant noise source at high temperatures
3. After-pulsing effect reduces with temperature
4. Total DCR reduces when temperature decreases

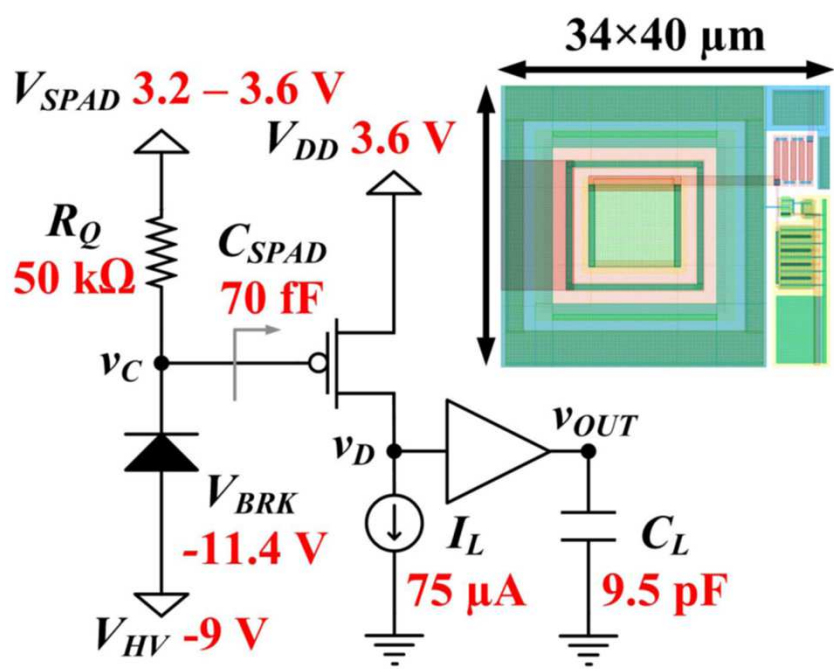
# Afterpulsing in TG SPAD

DP Palubiak, Z Li and MJ Deen, "Afterpulsing Characteristics of Free-Running and Time-Gated Single-Photon Avalanche Diodes in 130-nm CMOS," **IEEE Transactions on Electron Devices**, Vol. 62(11), pp. 3727-3733, 2015

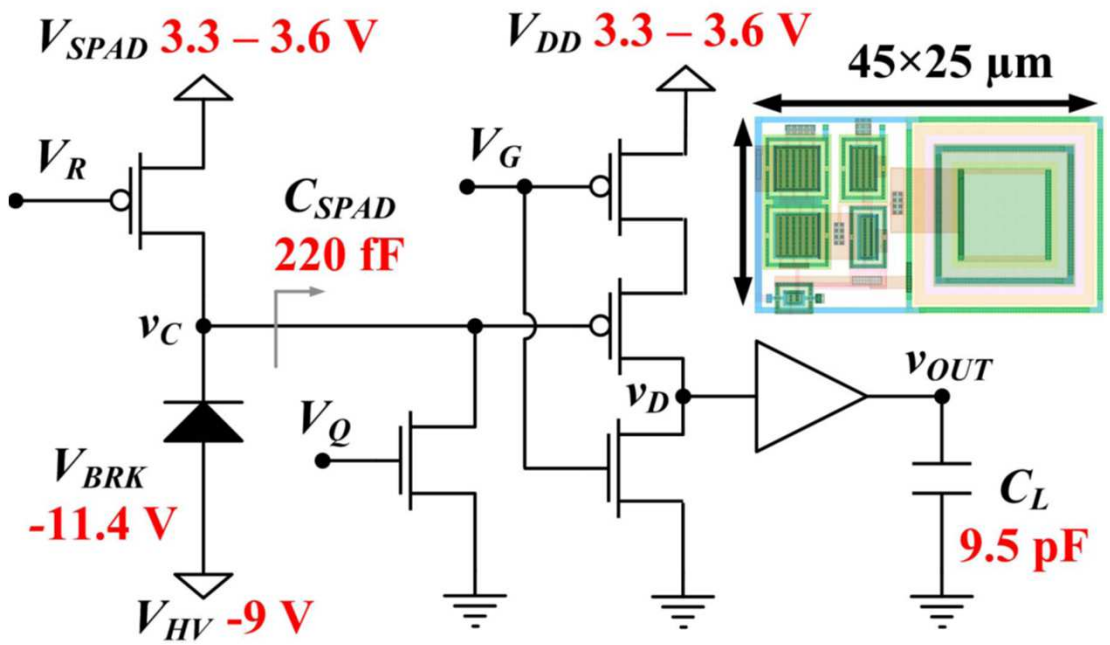
# Afterpulsing in Time-gated SPAD

## □ Two operational modes

### Free-running SPAD



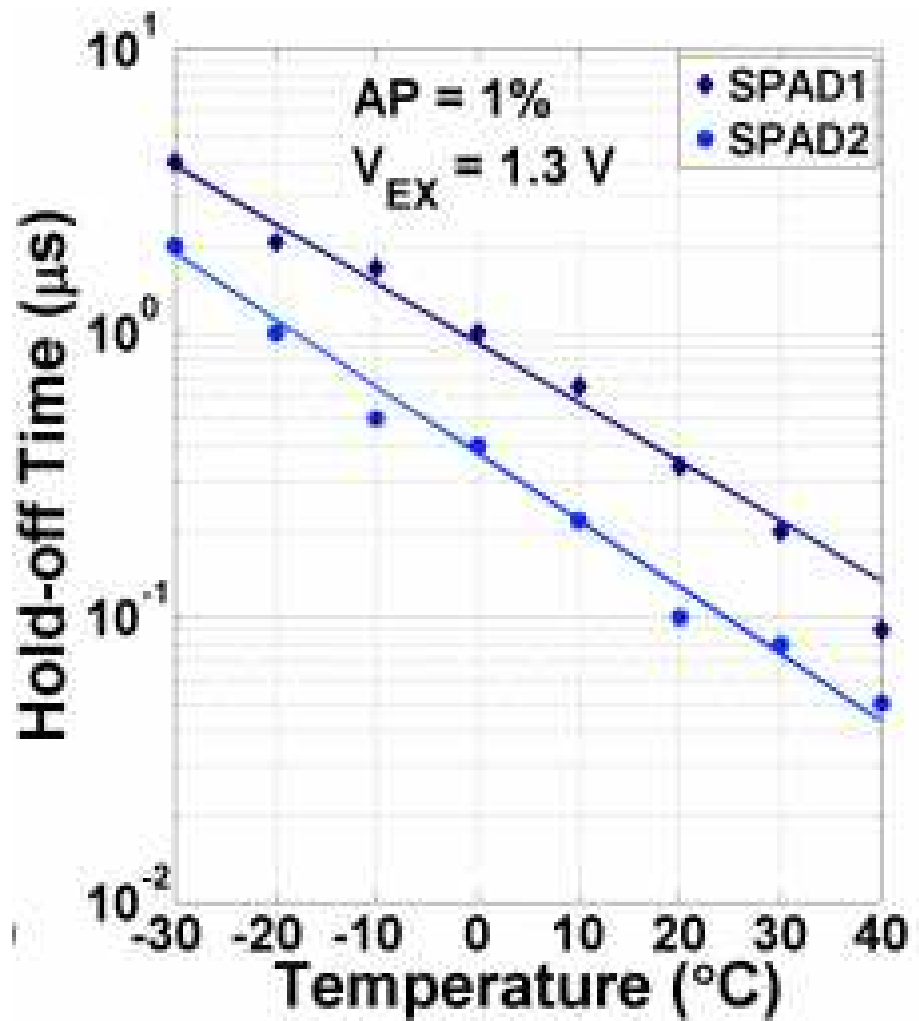
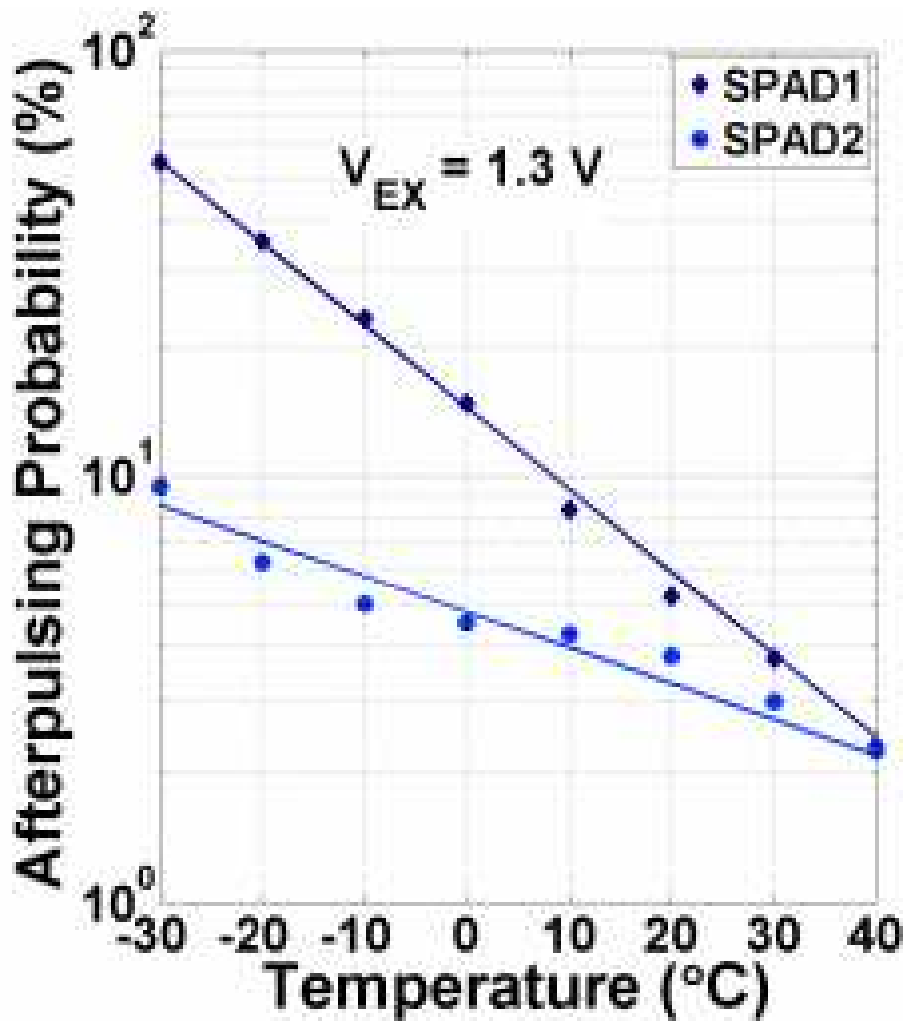
### Time-gated SPAD



DP Palubiak, Z Li, MJ Deen, "Afterpulsing characteristics of free-running and time-gated single-photon avalanche diodes in 130-nm CMOS," *IEEE Transactions on Electron Devices*, vol. 62 (11), pp. 3727-3733, 2015

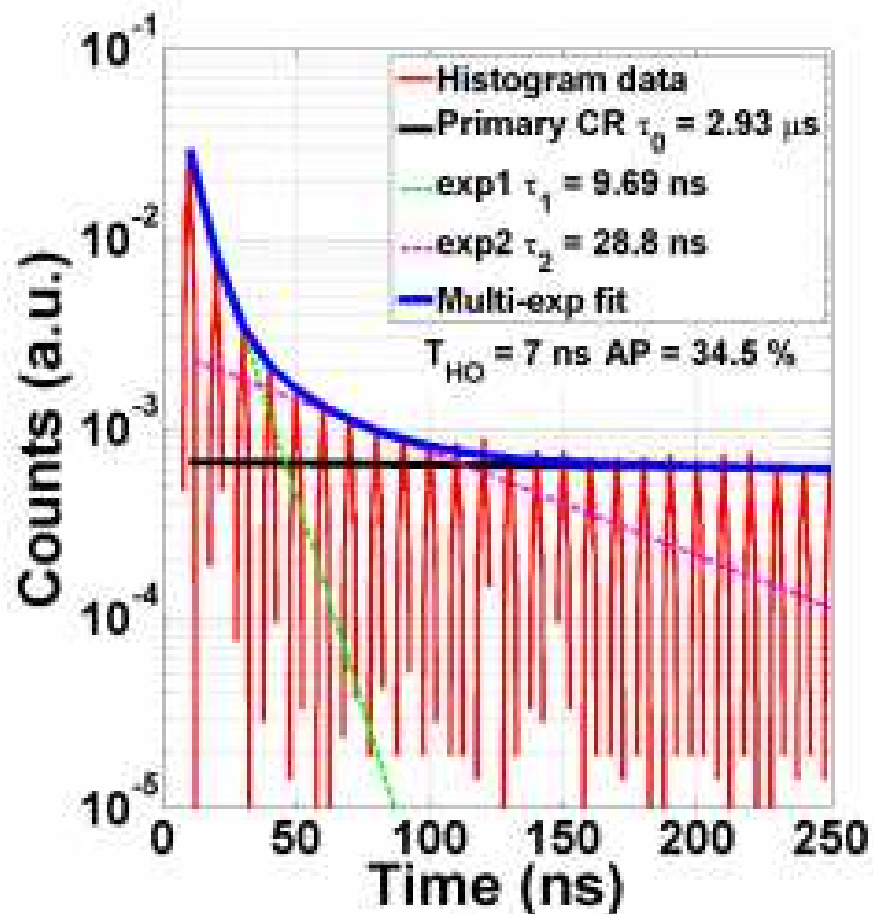
# Afterpulsing in Time-gated SPAD

## □ Afterpulsing for free-running SPAD

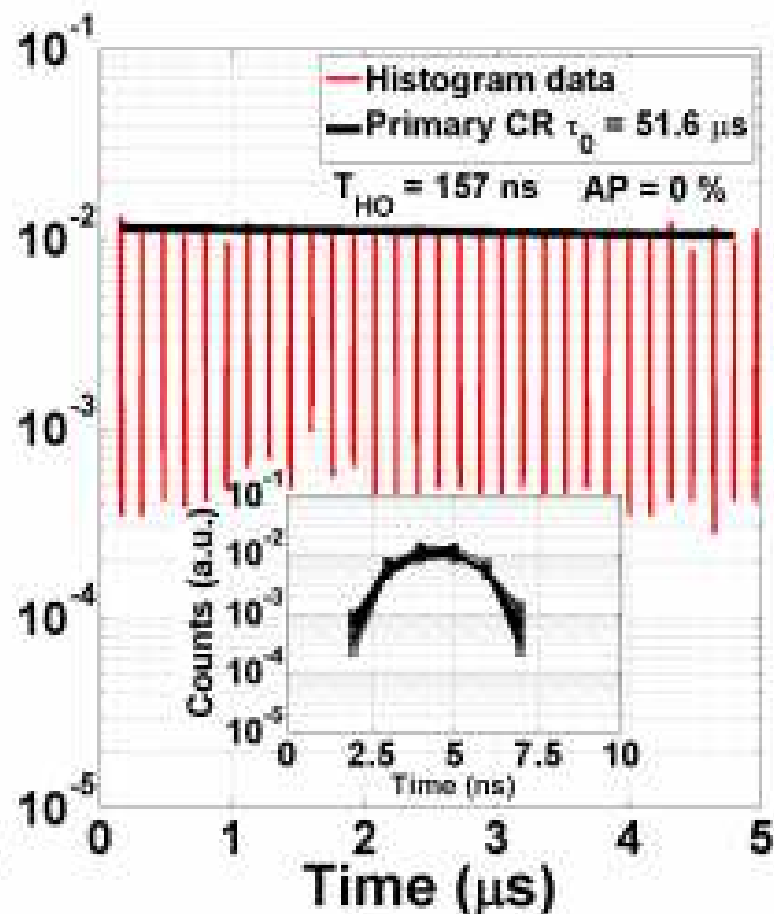


# Afterpulsing in Time-gated SPAD

## □ Afterpulsing for time-gated SPAD



a)



b)

A strong reduction in AP was evident in the TG mode compared with the FR SPAD pixels



# RTS Noise

W Jiang and MJ Deen, “*Random Telegraph Signal in  $n^+p$ -Well CMOS Single-Photon Avalanche Diodes,*” **IEEE Transactions on Electron Devices**, vol. 60, 6 pages (On-line 12 April 2021).

W Jiang, R Scott and MJ Deen, “*Differential Quench and Reset Circuit for Single-Photon Avalanche Diodes,*” **IEEE/OSA Journal of Lightwave Technology** Vol. 39(22), pp. 7334-7342 (15 November 2021).

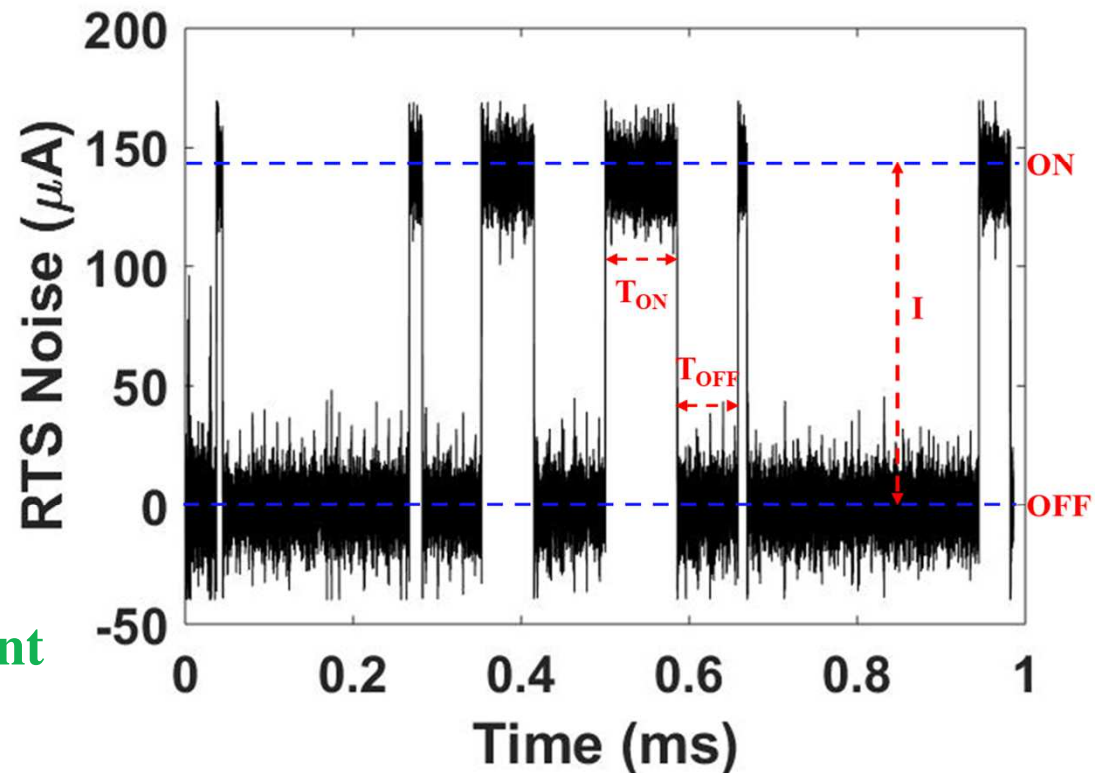
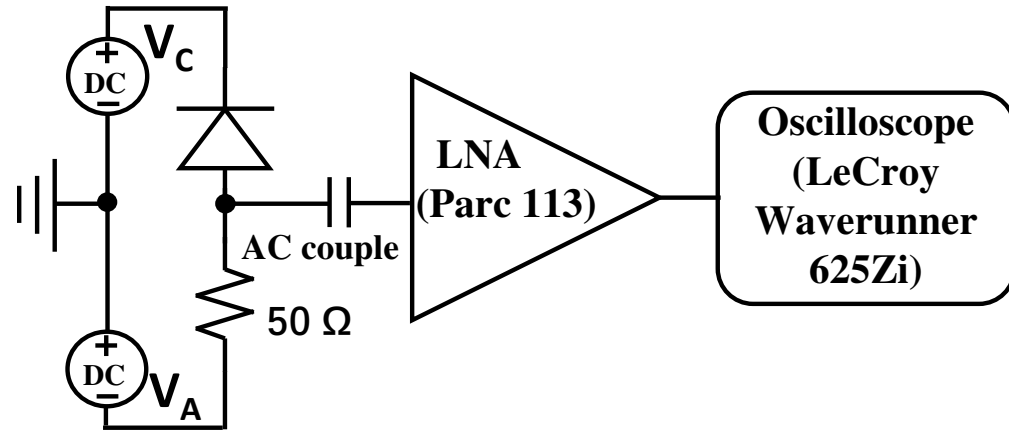
# Random Telegraph Signal (RTS)

## □ What is RTS noise?

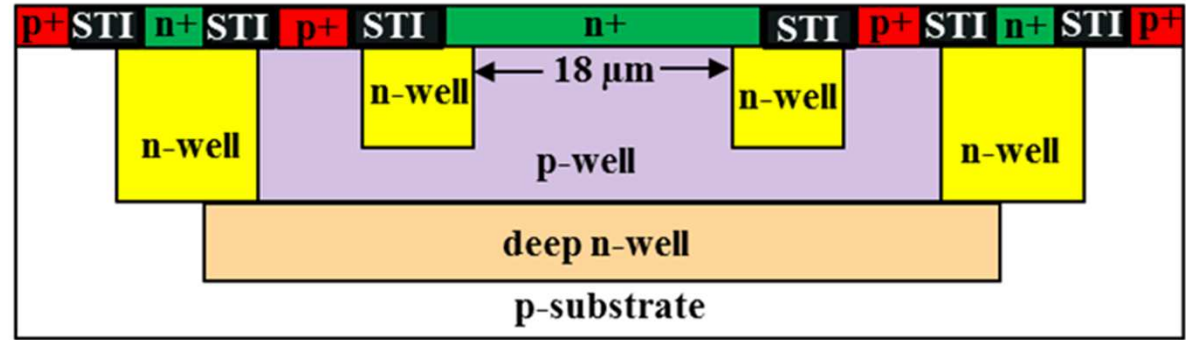
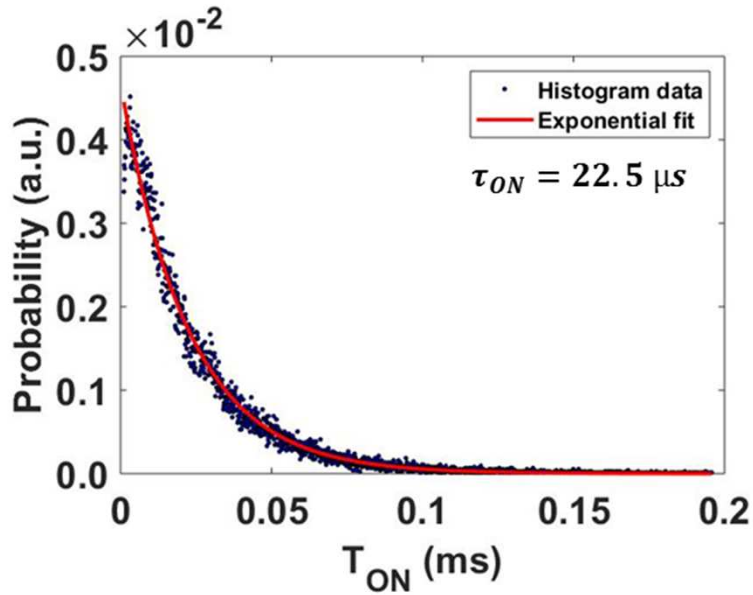
- Known as burst noise, popcorn noise, impulse noise, bi-stable noise
- Looks like square wave with several levels of amplitude & random pulse width
- Originate from defects

## □ Typical waveform

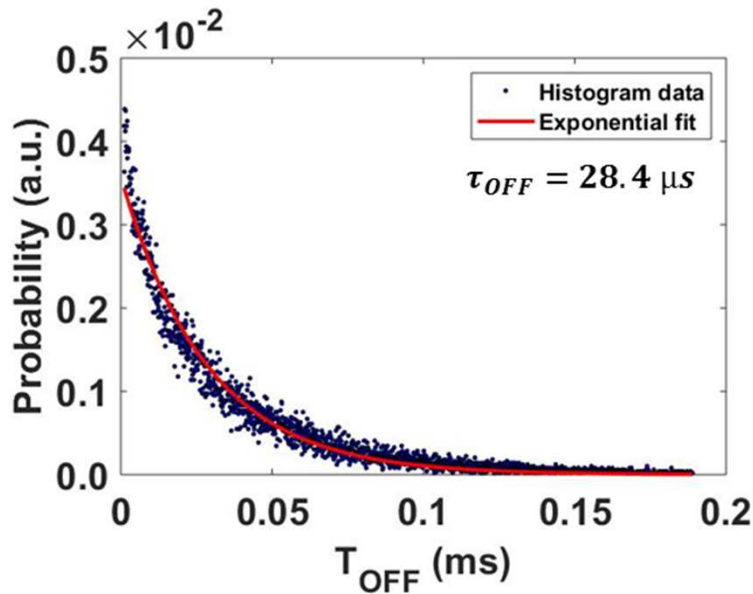
- $T_{ON}$  - time spent in “on” state of the avalanche
- $T_{OFF}$  - time spent in “off” state of the avalanche
- $I$  - Amplitude of RTS noise current



# RTS Noise Parameters

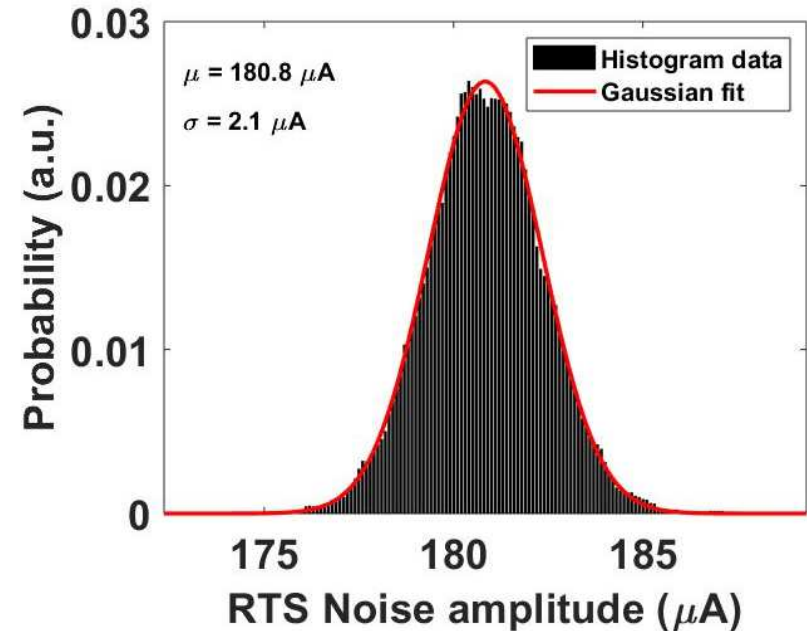


- n+/p-well SPAD; n-well guard ring
- Square shape  $\sim (18\mu m)^2$



## Histograms

- $T_{ON}$   $T_{OFF}$  with exp. fits
- RTS noise amp. - Gaussian fit



# DCR and AP Measurement

## Setup

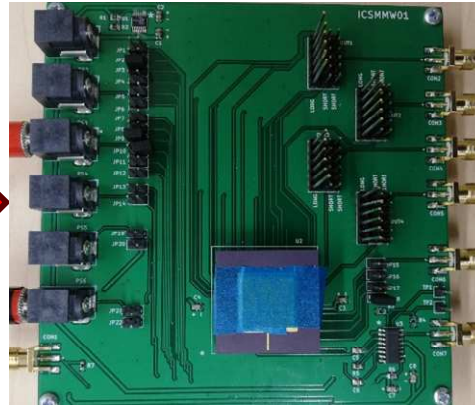
Agilent 66312A



Agilent E3646A



Power

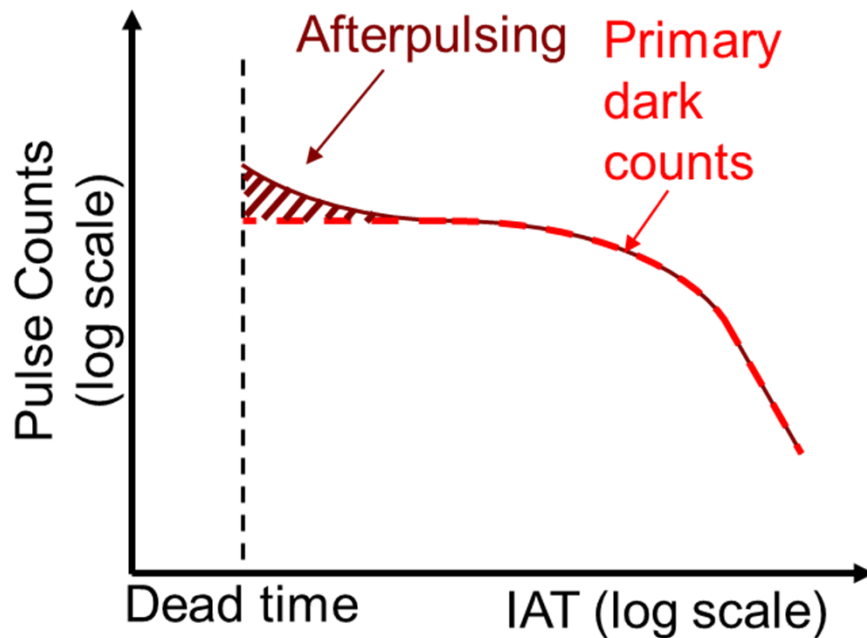


Printed circuit board

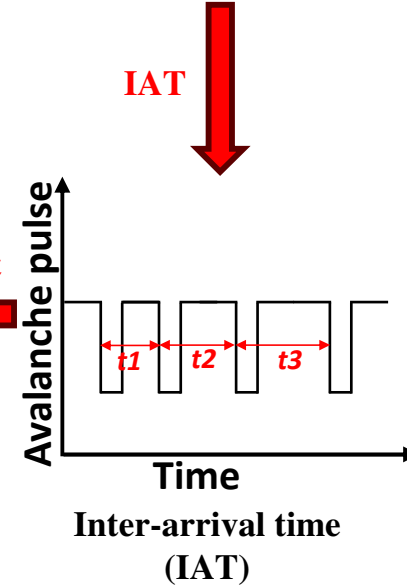
Trigger



Oscilloscope  
(LeCroy Waverunner 625Zi)



Exponential fit



IAT

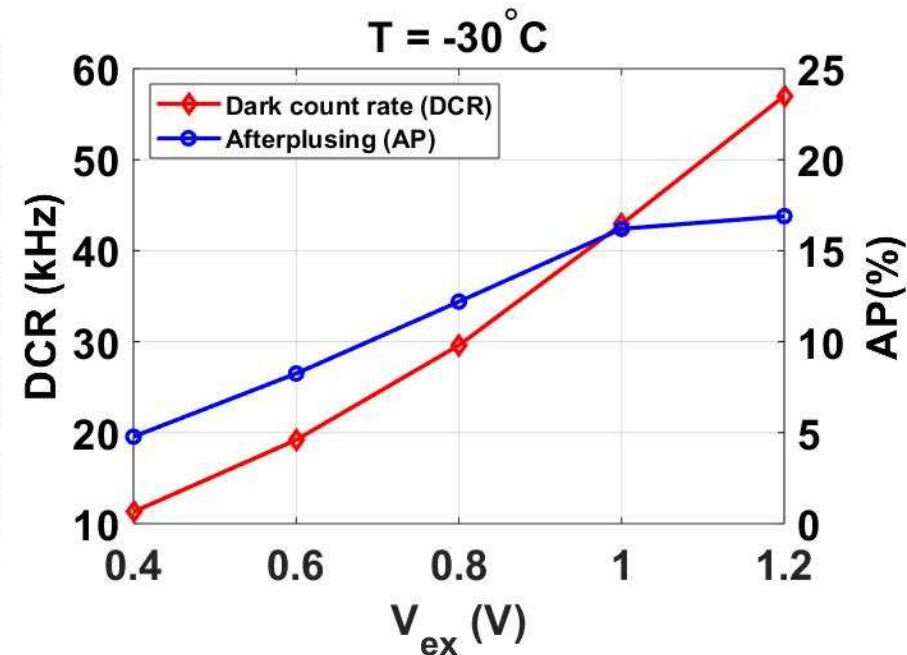
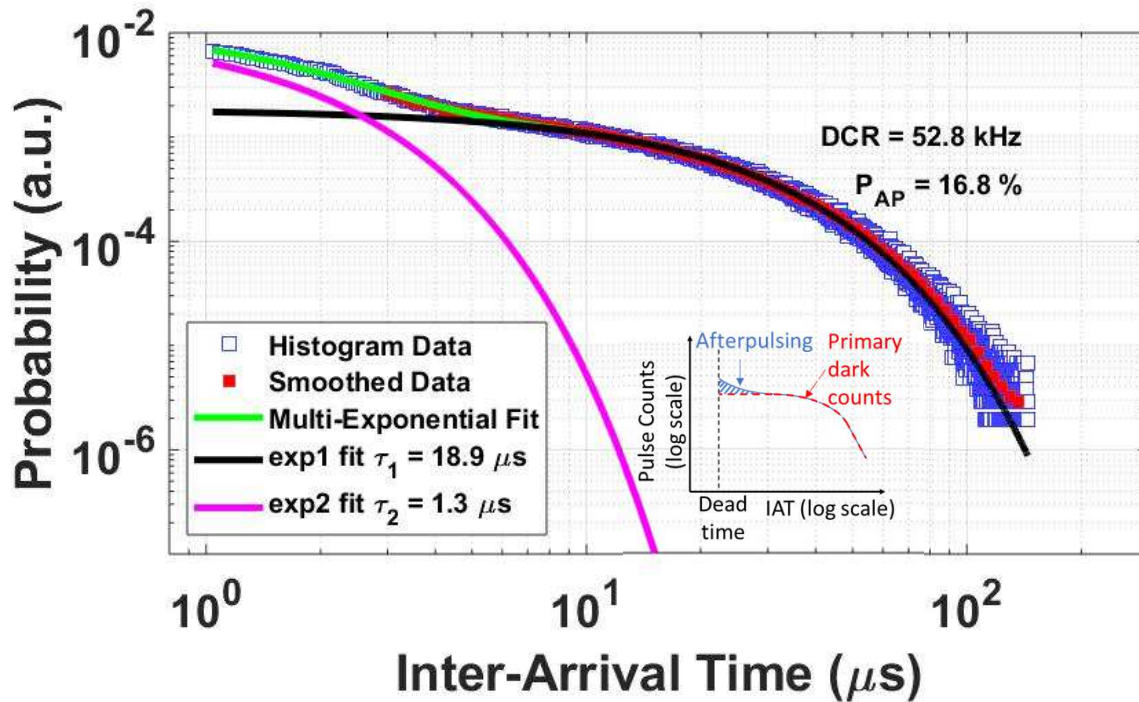
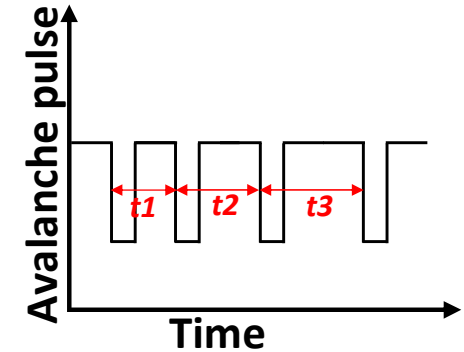
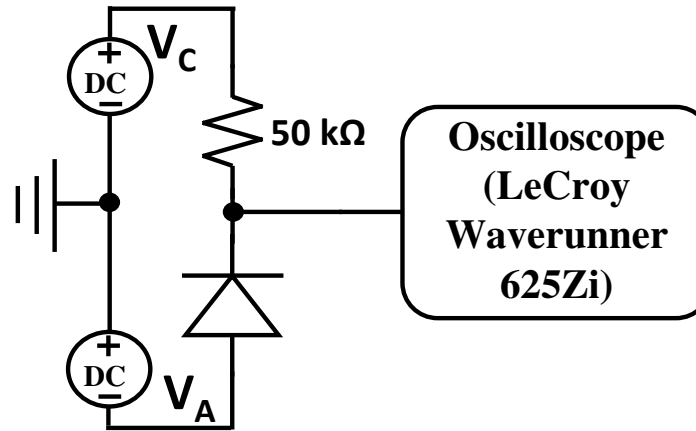
Avalanche pulse  
Time  
Inter-arrival time  
(IAT)

# DCR and AP Measurement

## Setup

## DCR and AP

( $V_{ex} = 1.2V$ ,  $T = -30\text{ }^\circ\text{C}$ )

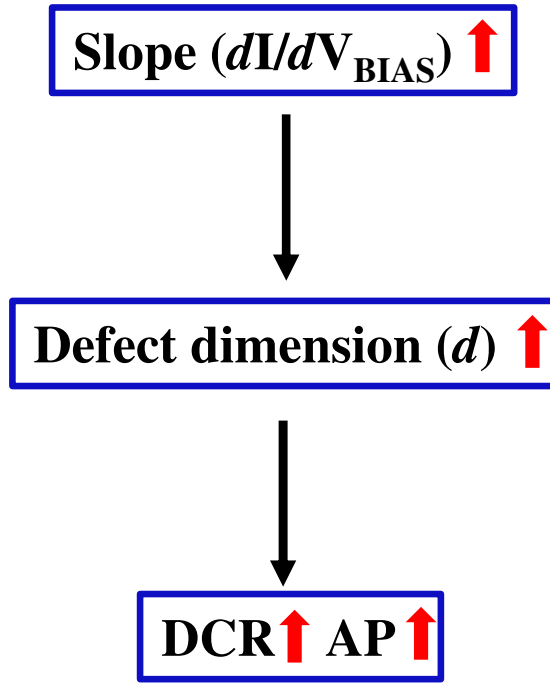
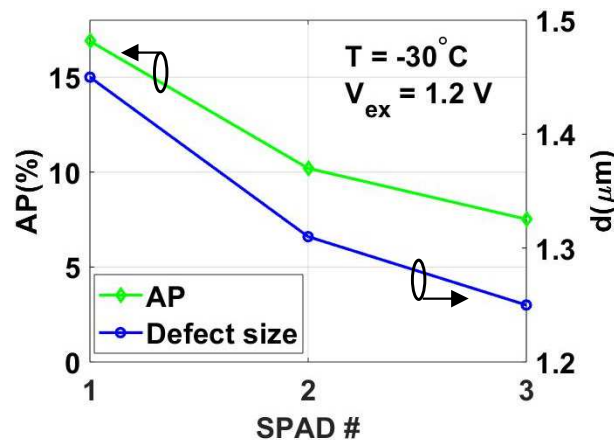
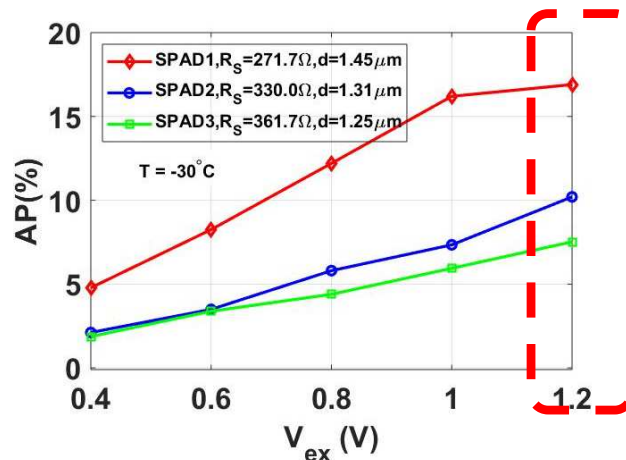
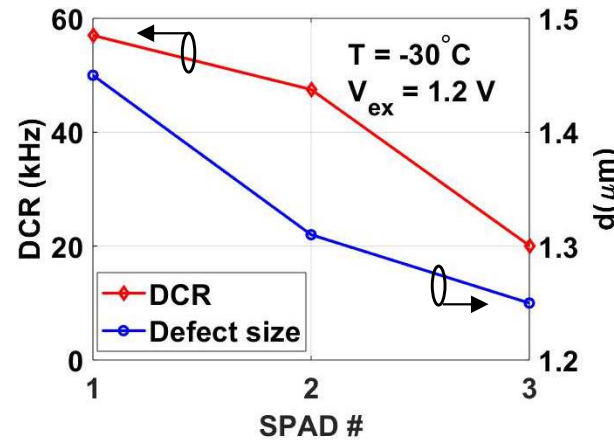
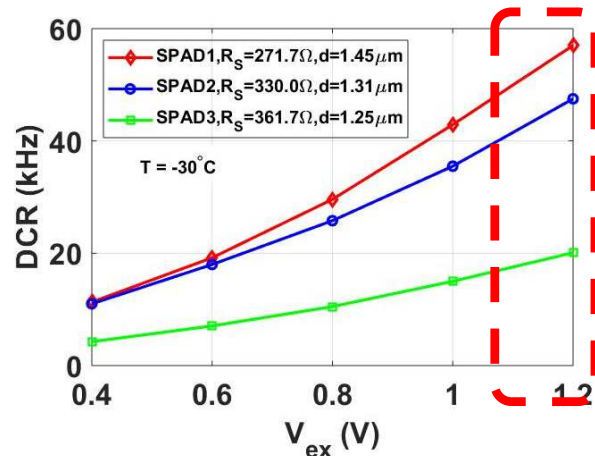


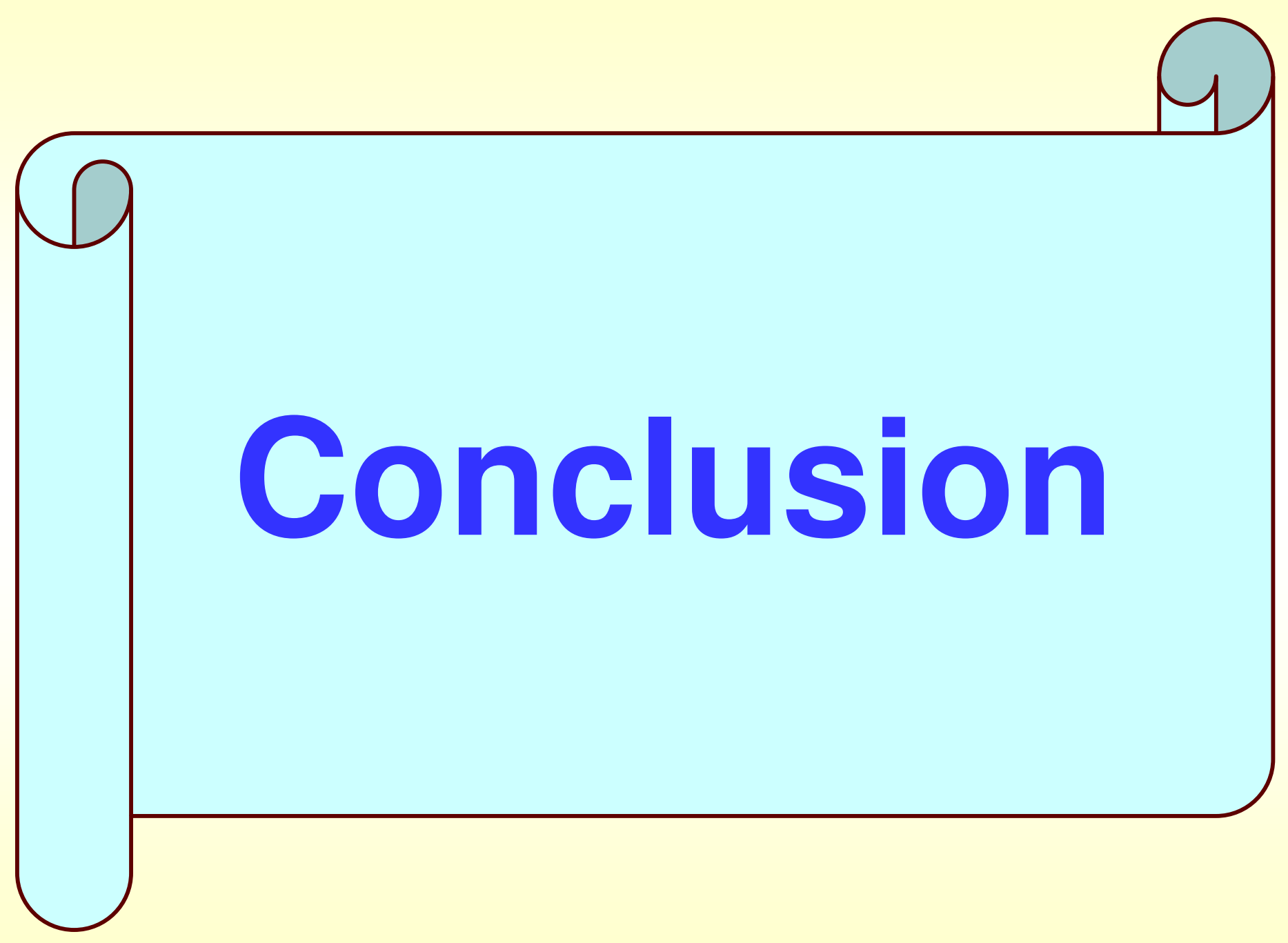


# RTS noise correlates with DCR and AP

- Effective defect dimensions - 3 SPAD samples
- DCR and AP comparison

SPAD#	Slope ( $dI/dV_{BIAS}$ ) ( $\mu A/V$ )	$R_S$ ( $\Omega$ )	$d$ ( $\mu m$ )
SPAD1	3681	271.7	1.45
SPAD2	3030	330.0	1.31
SPAD3	2765	361.7	1.25





**Conclusion**

# Conclusions

## □ SPAD noise model using Verilog-A

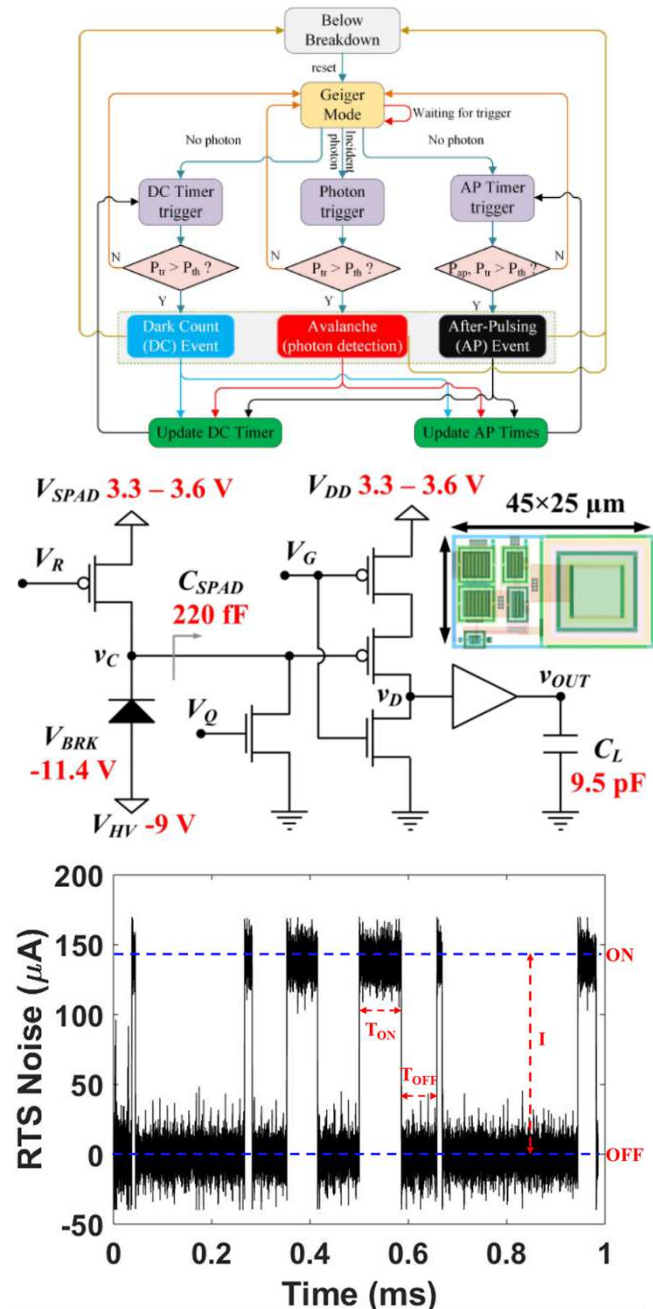
- ↪ Compatible with mainstream simulators
- ↪ Includes band-to-band tunneling mechanism & temporal dependence of afterpulsing (AP) probability

## □ Afterpulsing in Time-gated (TG) SPAD

- ↪ Compare AP for free-running & TG SPADs
- ↪ AP reduction in TG SPAD

## □ Random Telegraph Signal (RTS) Noise in SPAD

- ↪ RTS noise dependence on temp & voltage
- ↪ RTS correlates with DCR & AP



THANK YOU



**Acknowledgements: Numerous students,  
Collaborators and Funding Agencies**