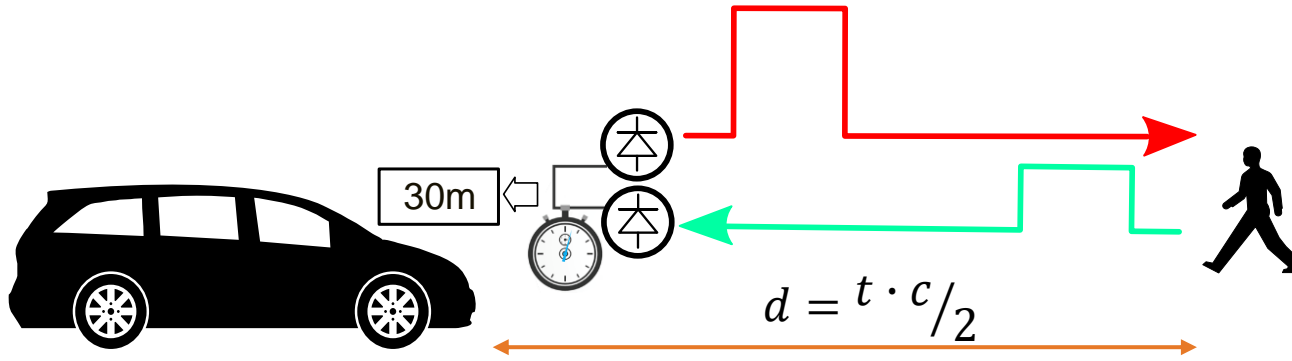


Comparison of SPAD, SiPM and APD performance for dToF LiDAR application

Andrii Nagai

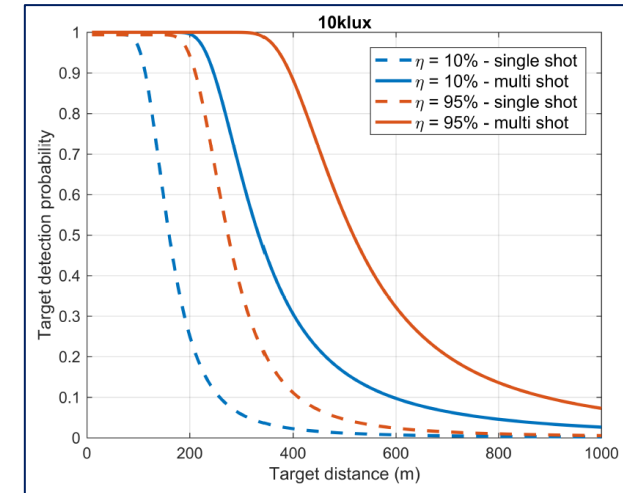
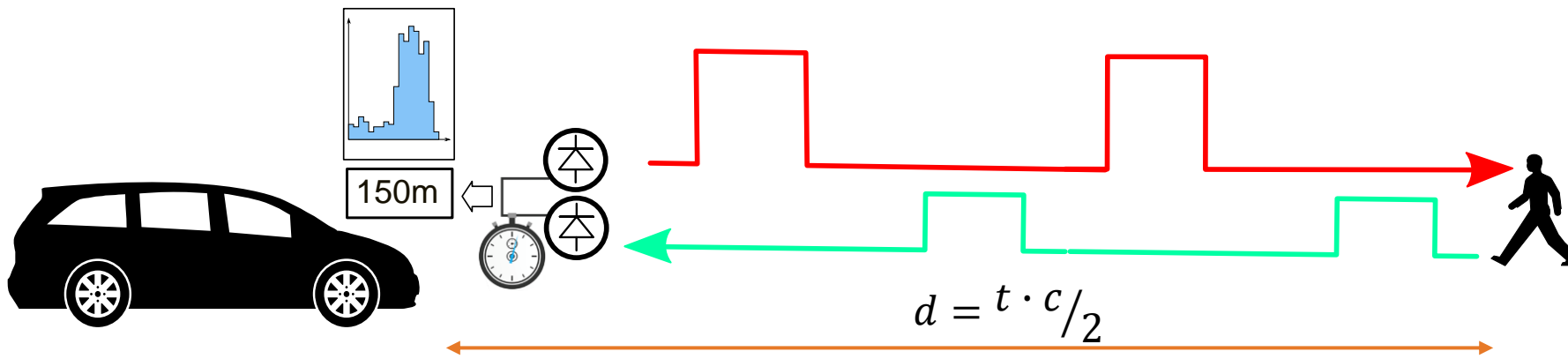
Direct ToF LiDAR – Simple Concept

- **Single Shot** laser pulse & photon arrival timestamp for Depth measurement



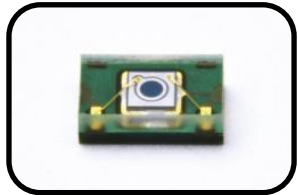
- **Multi Shot** laser pulses & photon arrival timestamps to calculate **Depth** from histogram

- Photon counting for **Intensity**



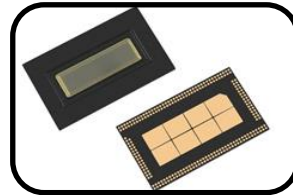
Typical photon detectors for LiDAR

Avalanche Photodiodes (APD)



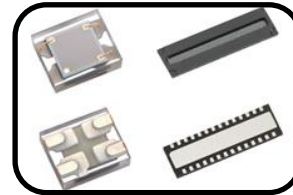
- ✗ High Voltage
- ✗ Poor Uniformity
- ✓ Moderate Gain (10^2)
- !! Linear Mode
- ↘ Market Adoption

Single Photon Avalanche Diode arrays (SPAD arrays)



- ✓ Low Voltage
- ✓ Excellent Uniformity
- ✓ Very High Gain (10^6)
- ✓ Geiger Mode – Single Photon
- ↗ Market Adoption

Silicon Photomultipliers (SiPM)

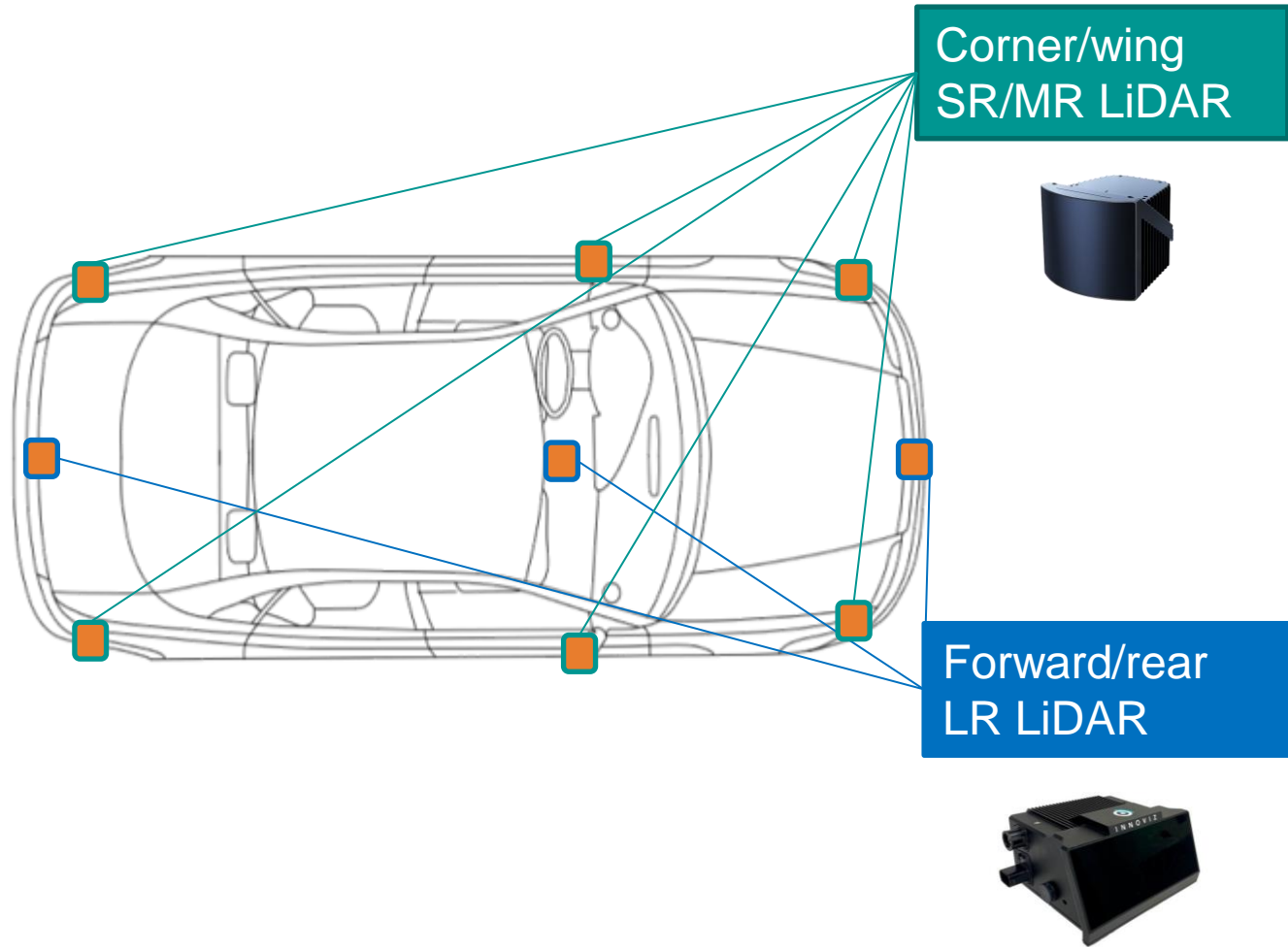


- ✓ Low Voltage
- ✓ Excellent Uniformity
- ✓ Very High Gain (10^6)
- ✓ Geiger Mode – Multi-Photon
- ↗ Market Adoption

	APD	SPAD		SiPM
PDE @ 905 nm	N/A	30%		
QE @ 905 nm	55%	N/A		
N_{pixels}	N/A	2x2	7x7	2400
T_{dead} ns	N/A	6		14
P_{XT} %	N/A	1		15
DCR MHz/mm ²	25 C	0.025		0.15
	105 C	25		150
F	4	1.01		1.19
R_0 A/W	0.4	N/A		
M or G	100	1E5		
I_D , nA	25 C	0.05		N/A
	105 C	102		N/A

Calculations were performed for APD, SiPM and SPAD array (w/ 2x2 & 7x7 SPAD`s per macro-pixel) at 25 °C & 105 °C

LiDAR typical system specification:



		Short	Long
System	$FoV\ H \times V$	120° x 80°	120° x 25°
	$AoV_x \times AoV_y$	0.3° x 0.3°	0.05° x 0.05°
	$P_{laser}\ per\ channel\ W$	10	100
	N_{shots}	20	1
	$d\ m$	30	250
Optics	$\epsilon_{RX}\ \%$	90	
	$\epsilon_{TX}\ \%$	90	
	$D_{lens}\ mm$	1 to 50	
	$\lambda\ nm$	905	
	$\Delta\lambda\ nm$	±15	
	$t_{laser}\ ns$	5	
Readout	$B_N\ MHz$	1	
	$R_f\ k\Omega$	10	
	$\langle V_{amp} \rangle\ nV/\sqrt{Hz}$	28	
Condition	ambient light flux kLux	100	
	$\eta\ \%$	10	

Calculations were performed for short & long range 905nm LiDAR`s, with different FoV and resolution

Some Initial Model Considerations

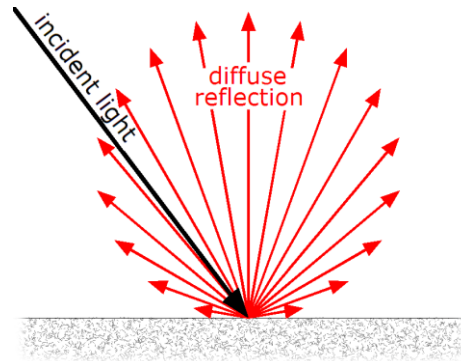
- Model assumptions:

- Single point LiDAR

- Lambertian target;

- Laser spot:

- within the sensor AoV;
- Smaller than the target;



- Ambient light power of 100 kLux

- Return laser power:

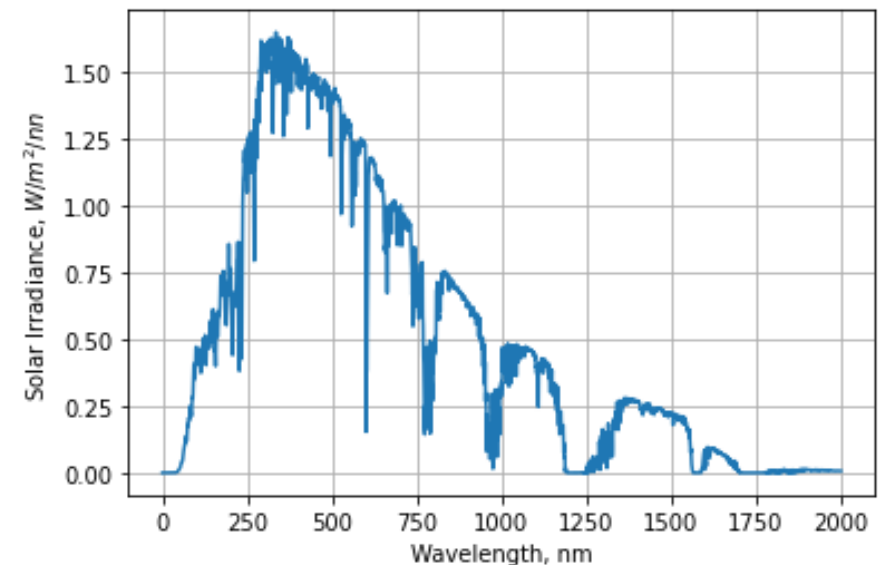
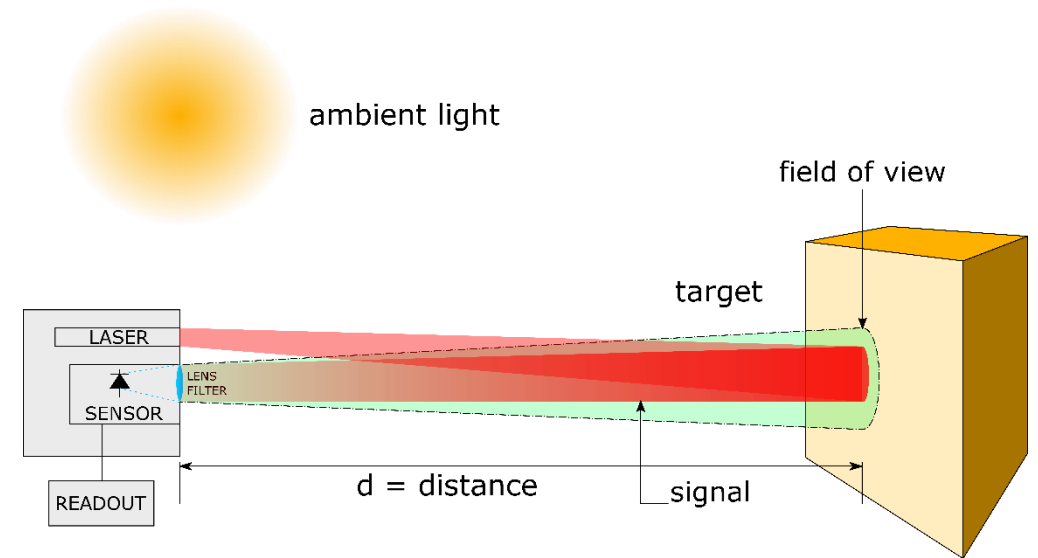
$$P_S(d) = P_{laser} \cdot \epsilon_{RX} \cdot \epsilon_{TX} \cdot \frac{1}{2\pi d^2} \times \eta \times A_{aperture}$$

- Background optical power:

$$P_B = \frac{1}{2\pi \cdot d^2} \cdot \Phi_{amb.} \cdot A_{FoV} \cdot \eta \cdot \epsilon_{RX} \cdot A_{aperture}$$

- Aperture:

$$A_{aperture} = \pi \frac{D_{lens}^2}{4}$$



Aperture & Rx lens diameter D_{lens}

Return laser power:

$$P_S(d) = P_{laser} \cdot \epsilon_{RX} \cdot \epsilon_{TX} \cdot \frac{1}{8d^2} \times \eta \times D_{lens}^2$$

Background optical power:

$$P_B = \frac{1}{8 \cdot d^2} \cdot \Phi_{amb.} \cdot A_{FoV} \cdot \eta \cdot \epsilon_{RX} \cdot D_{lens}^2$$

- Lens diameter D_{lens} defined the return laser power and collected ambient light;
- It should be optimized for each particular case:
 - Laser power;
 - Filter width;
 - Sensor performance;
 - Ranging;
 - etc.

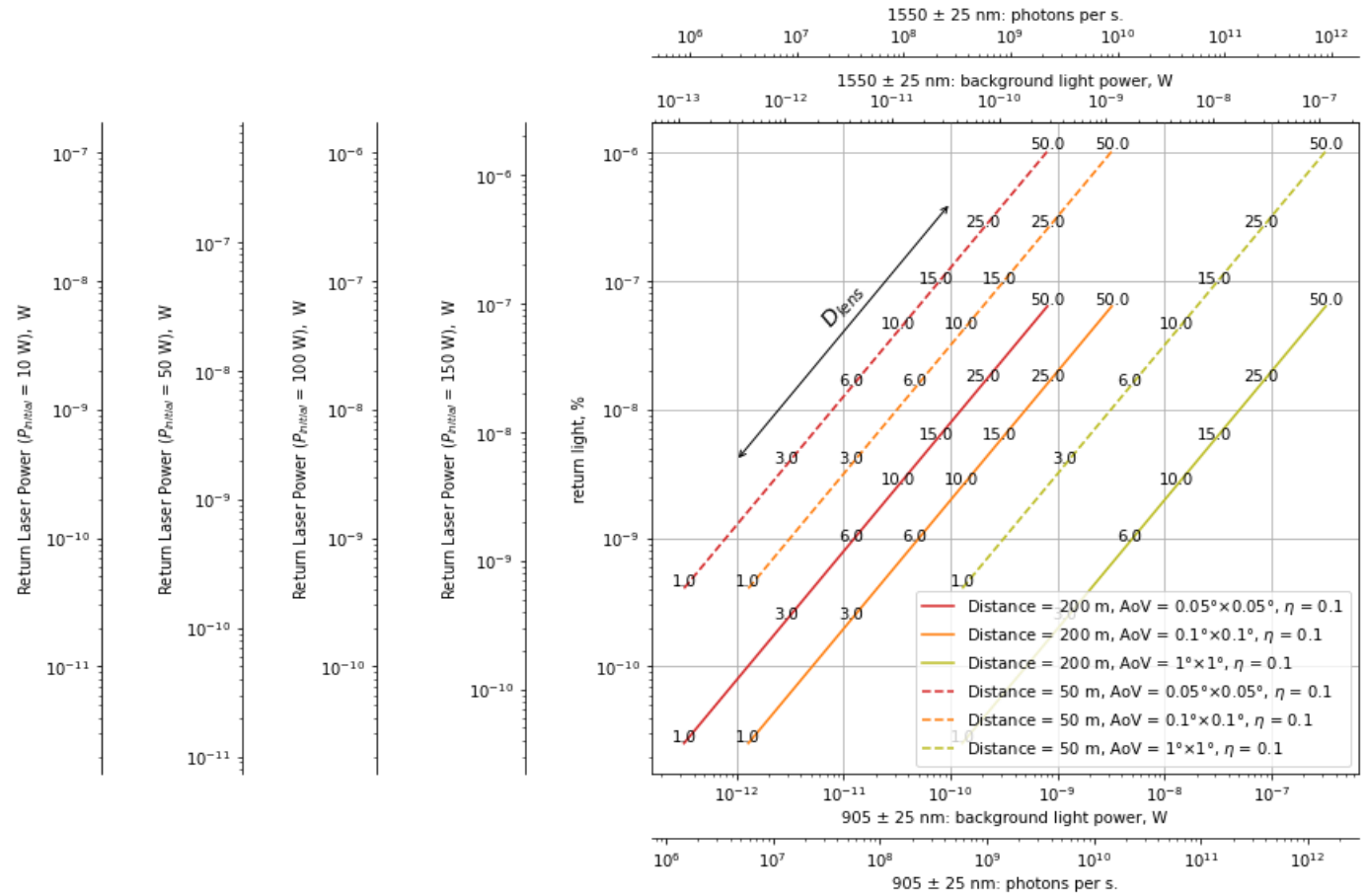
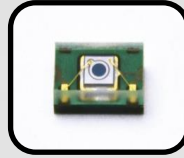


Figure 1 Return laser power (expressed in percentage and watts for initial laser power of 150, 100, 50 and 10 W) as a function of background light power (expressed in watts and photons per second) for 905 and 1550 nm systems. Results presented at different D_{lens} and AoV values and for two target distances of 200 m and 50 m.

SNR calculations:

APD:

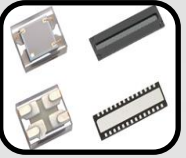


$$SNR_{APD} = \sqrt{N_{shots}} \frac{R_0 \times P_S}{\sqrt{2eB_N \times F \times (R_0 \cdot P_B + I_D) + \frac{B_N}{M^2} \left(\frac{4k_B T}{R_f} + \frac{\langle V_{amp} \rangle^2}{R_f^2} \right)}}$$

where:

- R_0 is responsivity without multiplication;
- F is excess noise factor;
- I_D is dark current, $I_D(T) = I_D(25^\circ\text{C}) \times 1.1^{T - 25^\circ\text{C}}$
- M is multiplication factor or Gain;
- T is temperature in K,
- $\langle V_{amp} \rangle$ is amplifier input voltage noise density;
- R_f is feedback resistance

SiPM & SPAD:



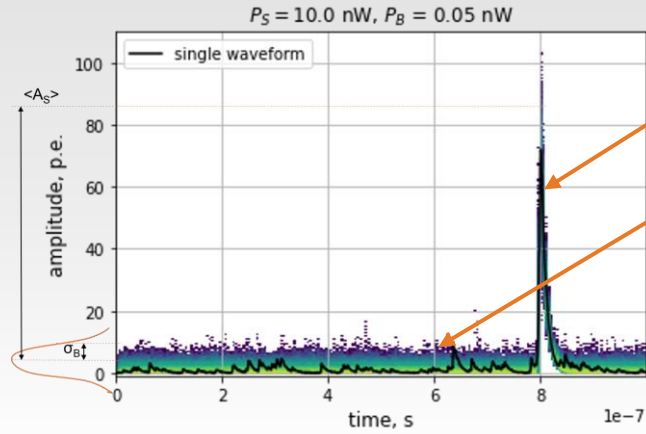
$$SNR = \sqrt{N_{shots}} \frac{N_{laser}}{\sqrt{N_{amb} + N_{elec}^2}}$$

where:

- $N_{amb} = N_{cells} \cdot \left(1 - e^{-\left(\frac{P_B \cdot PDE + DCR}{hc/\lambda} \right) \frac{\tau_{dead}}{N_{cells}/(1-\langle XT \rangle)}} \right)$
- $N_{laser} = (N_{cells} - N_{amb}) \times \left(1 - e^{-\left(\frac{P_S \cdot PDE + DCR}{hc/\lambda} \right) \frac{\tau_{laser}}{N_{cells}/(1-\langle XT \rangle)}} \right)$
- $N_{elec}^2 = \left(\frac{\tau_{dead}}{e \times G} \right)^2 \times B_N \times \left(\frac{4k_B T}{R_f} + \frac{\langle V_{amp} \rangle^2}{R_f^2} \right)$
- PDE is SiPM or SPAD photon detection efficiency;
- τ_{dead} is dead time;
- N_{cells} is number of microcells,
- DCR is dark count rate, $DCR(T) = DCR(25^\circ\text{C}) \times 2^{T - 25^\circ\text{C}/8^\circ\text{C}}$
- $\langle XT \rangle = -\ln(1 - P_{XT})$ is an average number of crosstalk events per single avalanche, P_{XT} is optical crosstalk probability

SNR calculations: validation

Toy Monte Carlo:

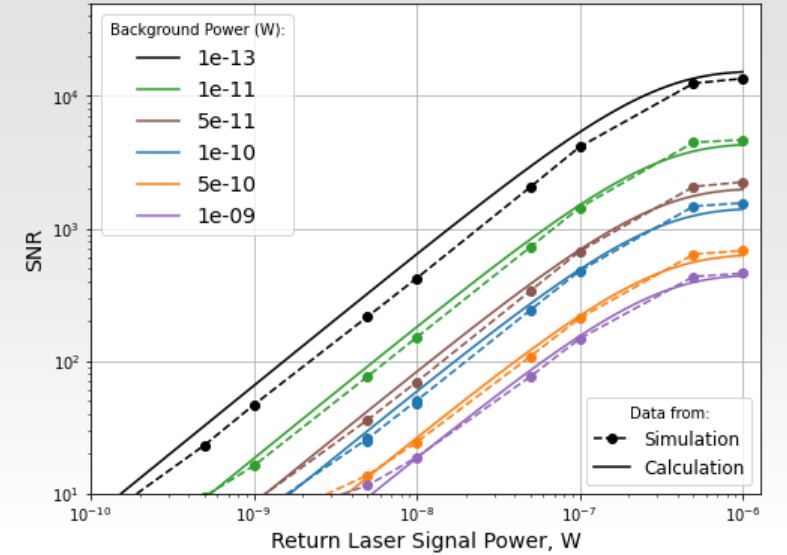


Returned laser signal

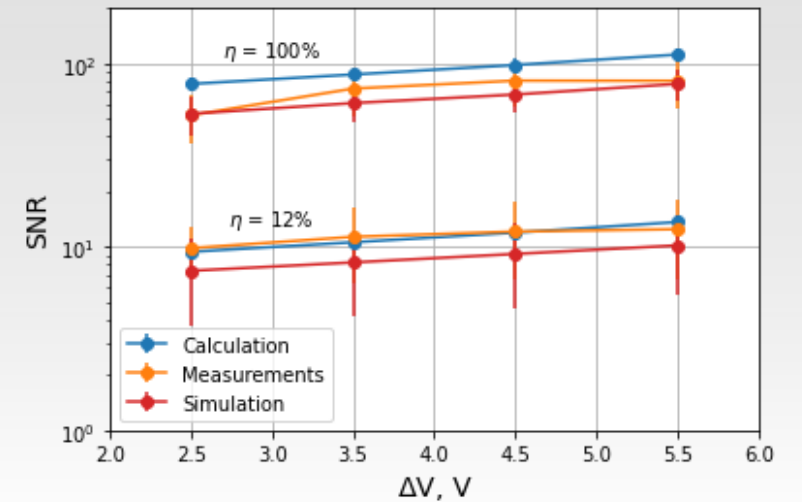
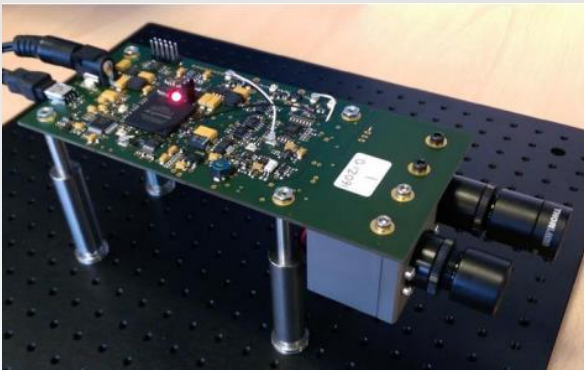
Ambient background

Signal to Noise Ratio:

$$SNR = \frac{A_S}{\sigma_B}$$

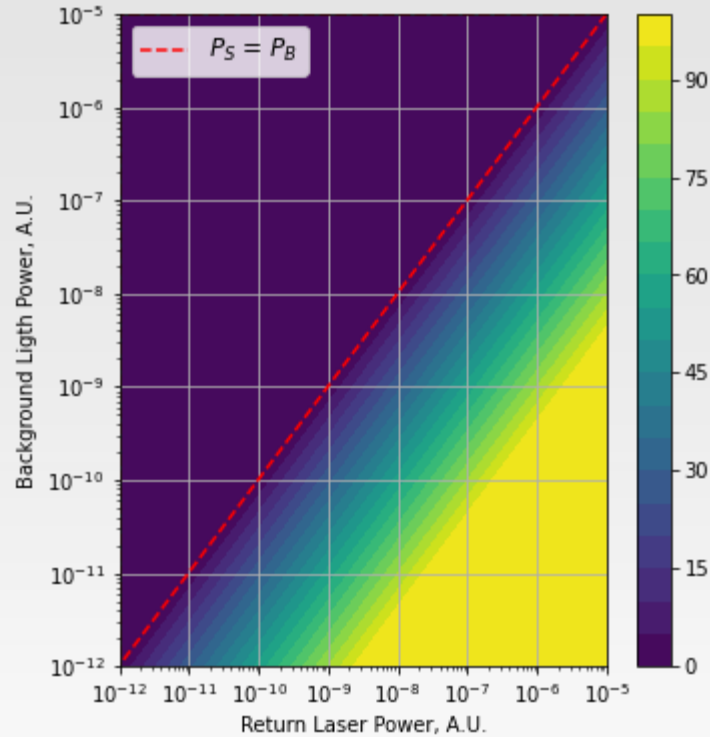


Single point Lidar



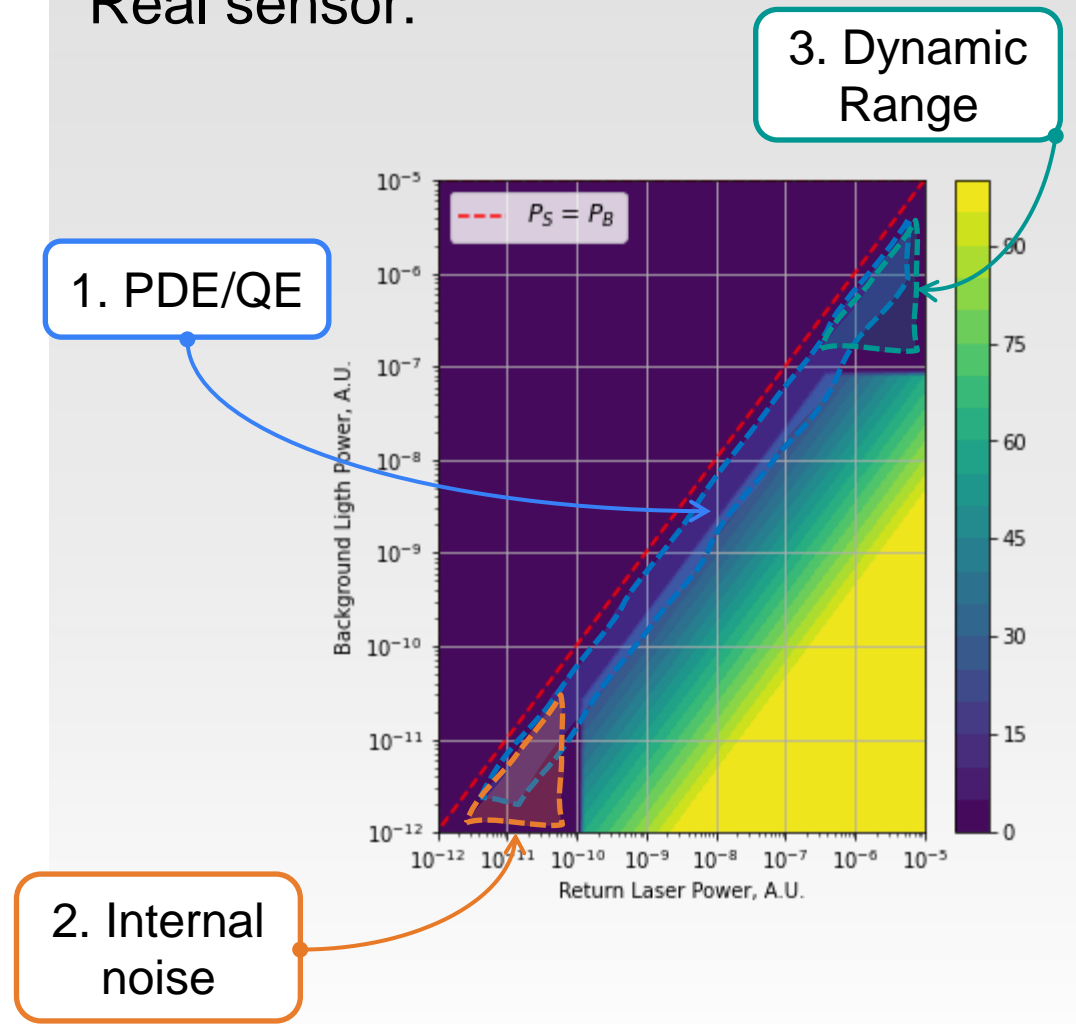
SNR results: from perfect to real sensor

Perfect sensor:



- $SNR \searrow$ with $P_B \nearrow$
- $SNR \nearrow$ with $P_S \nearrow$

Real sensor:

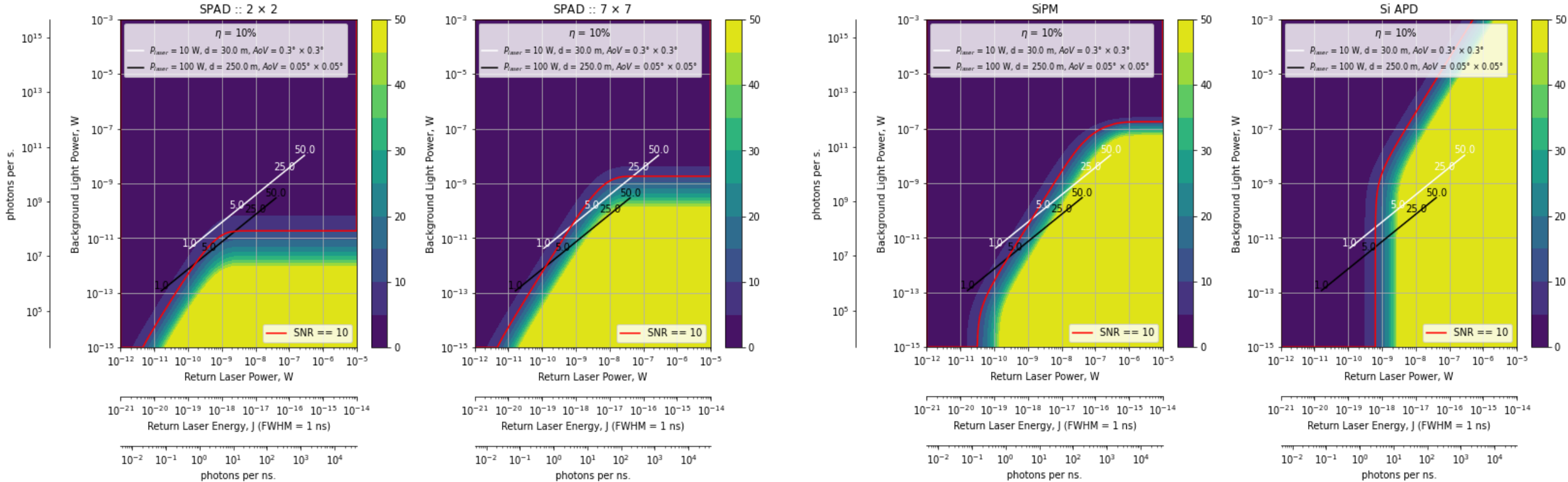


For real sensor, $SNR \searrow$ due to:

1. Detection probability
2. Internal noise
3. Dynamic range

SNR results: APD vs. SiPM vs. SPAD @ 25 °C

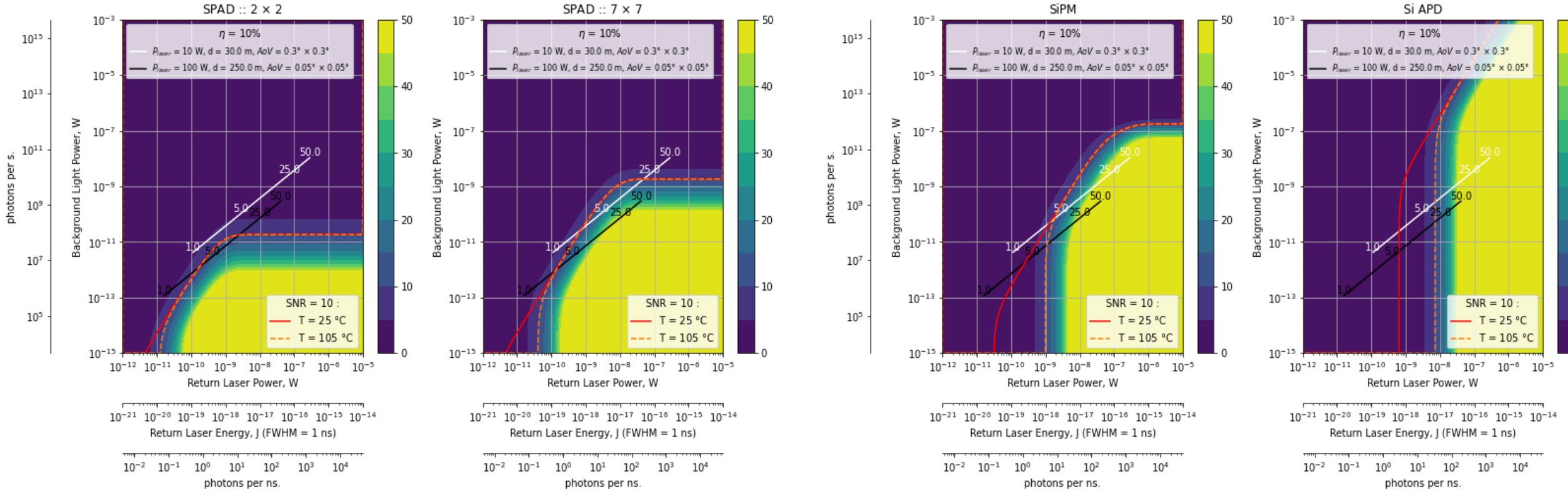
Detector Internal Noise ↗



Detector Dynamic Range ↗

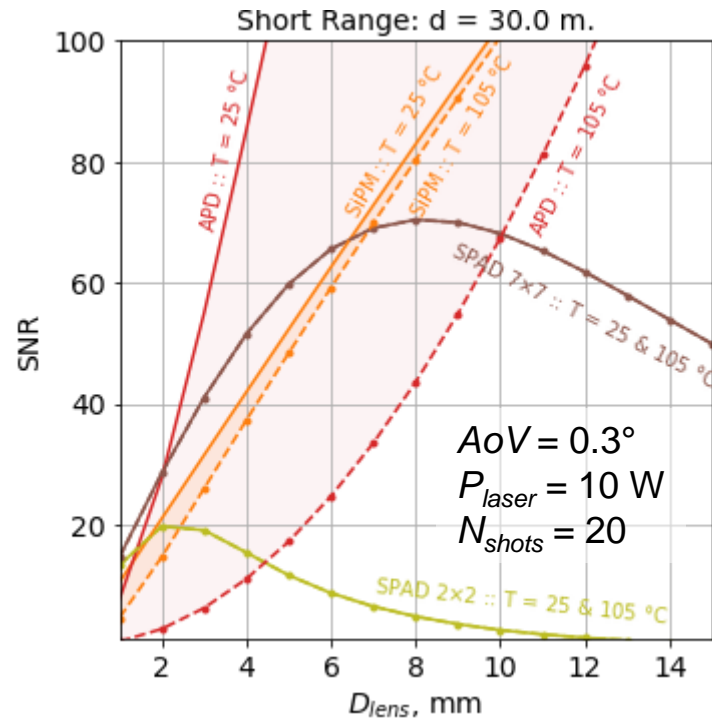
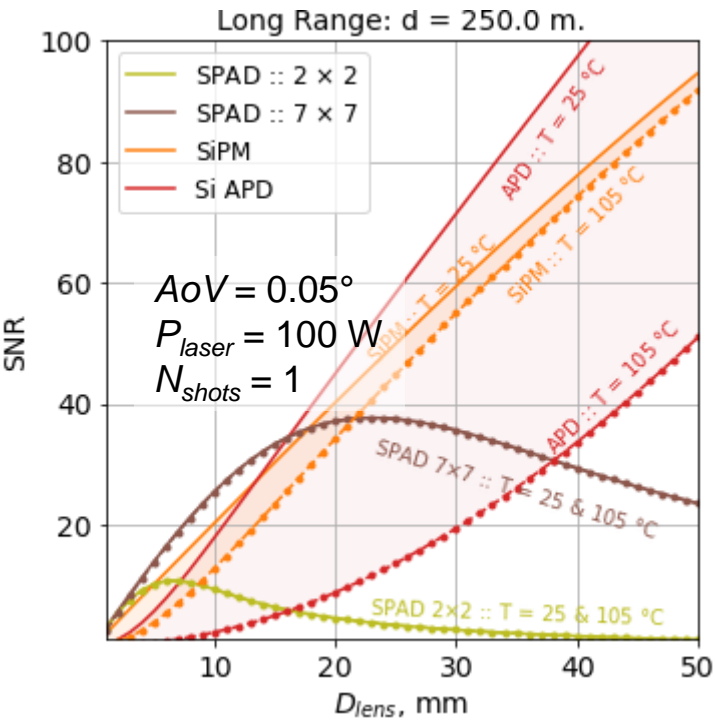
SNR results: APD vs. SiPM vs. SPAD @ 105 °C

Detector Internal Noise ↗



Detector Dynamic Range ↗

SNR vs. D_{lens} :



APD based system:

- Better performance with high D_{lens}
- High SNR fluctuation with T due to dark current

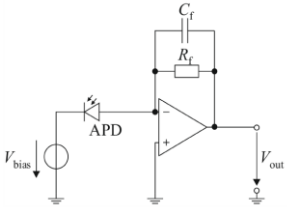
SiPM based system:

- Good performance over different D_{lens}
- SNR fluctuation with T due to DCR

SPAD based systems:

- Better performance at low D_{lens}
- No SNR fluctuation with T due to low DCR

Effect of Read-out Electronics on SNR

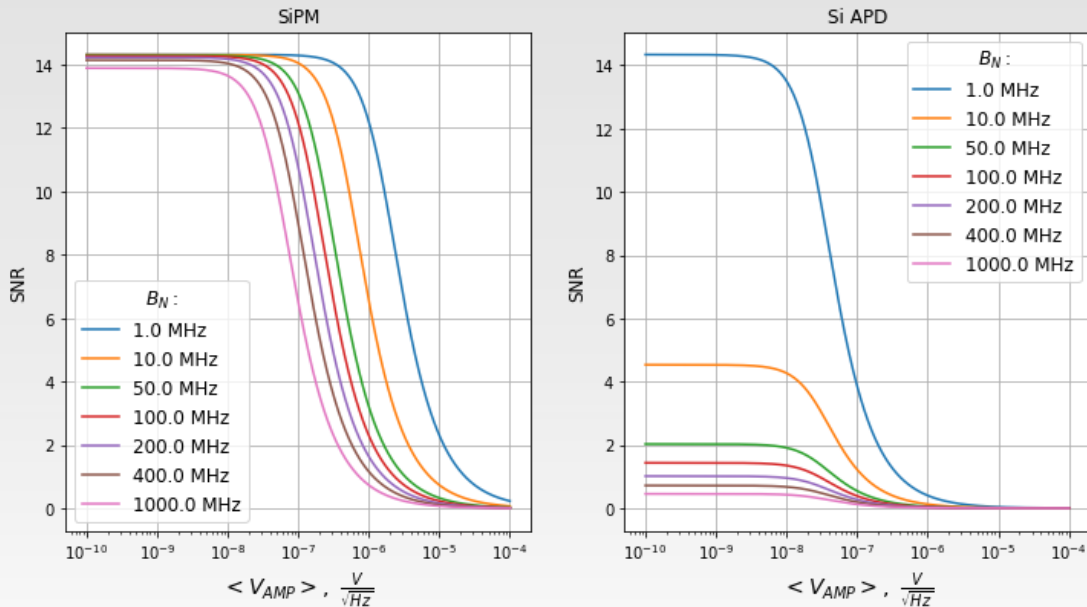


$$Noise^2 = \frac{B_N}{M^2} \left(\frac{4k_B T}{R_f} + \frac{\langle V_{amp} \rangle^2}{R_f^2} \right)$$

- B_N is defined as the frequency at which the gain of the amplifier becomes 0 dB;
- $\langle V_{amp} \rangle$ is amplifier input voltage noise density;
- R_f is feedback resistor which set the gain of the transimpedance amplifier;

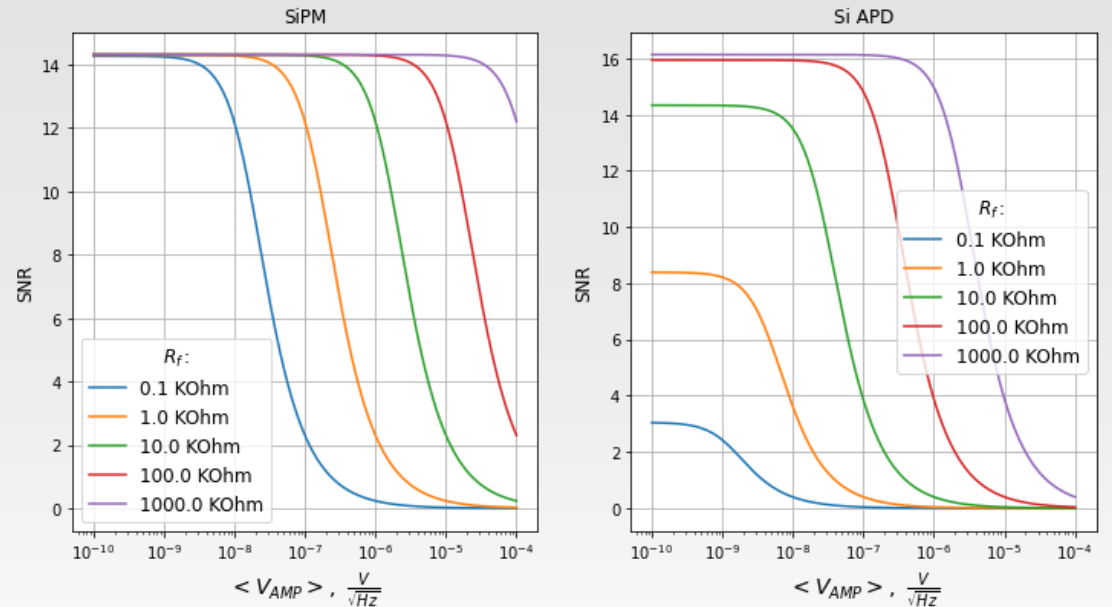
SNR vs $\langle V_{amp} \rangle$ vs B_N :

$P_S = 1.0 \text{ nW}, P_B = 0.07 \text{ nW}$



SNR vs $\langle V_{amp} \rangle$ vs R_f :

$P_S = 1.0 \text{ nW}, P_B = 0.07 \text{ nW}$



Due to much higher internal gain (i.e. $10^5 - 10^6$) SiPM or SPAD devices less sensitive to electronics noise with respect to APDs which Gain (or multiplication $\sim 10^2 - 10^3$) is limited by dark current

Conclusions:

- To realize all the advantages the SiPM or SPAD could provide, the optical system should be designed to suppress unwanted interference from ambient background light (i.e. small D_{lens} and FoV);
- Due to relatively small internal multiplication M , the choice of read-out electronics is critical for APD-based LiDAR system, while SiPM & SPAD-based systems could tolerate much higher electronics noise due to high internal $Gain$
- SPAD vs. SiPMs → smaller number of micro-cells leads:
 - 😊 to smaller DCR as a result better sensitivity to return laser light ;
 - 😊 smaller SNR variation with temperature;
 - 😞 higher device nonlinearity and sensitivity to ambient light;
- “*Smart*” SiPM device:
the possibility to activate (e.g. at high ambient or low T) or deactivate (e.g. at low ambient or high T) unused micro-cells on the fly will increase the LiDAR performance, and mitigate its performance degradation at high temperature.