

The Latest on SPAD Imaging in Japan

- Event Detection and Quick Readout Schemes -

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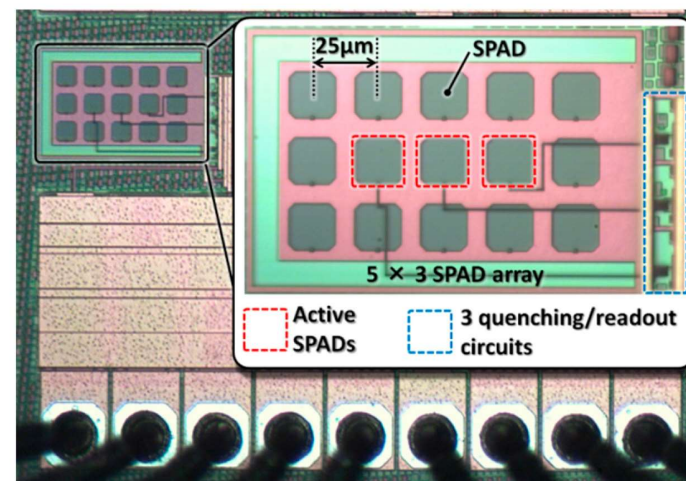
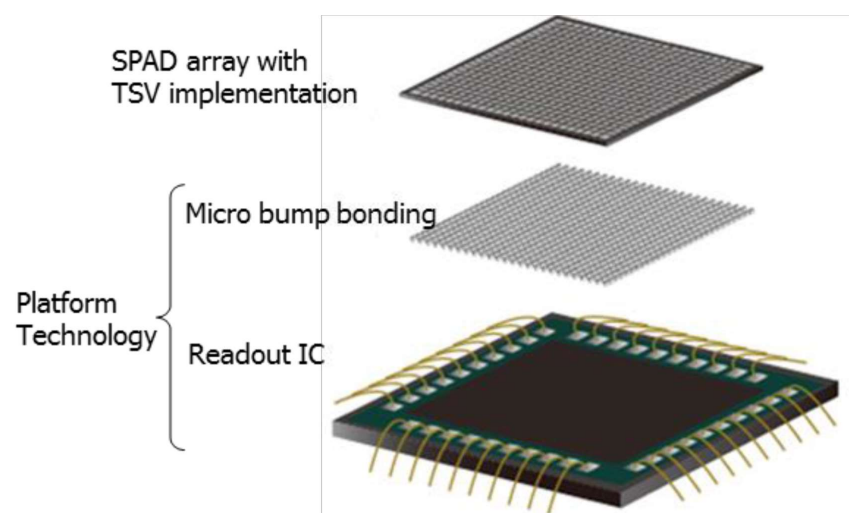
27th February 2018

ISSW 2018@Les Diablerets

Recent Trend of SPAD Studies in Japan

High Fill Factor & Near Infra-Red

- Hamamatsu Photonics/SPIE 2018
 - 3D Hybrid SPAD imager with SiPM
- Toyota Central R&D Lab/Sensor 2016



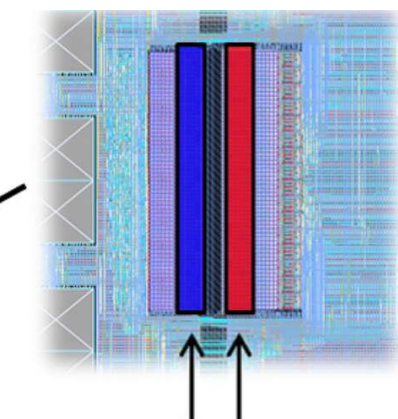
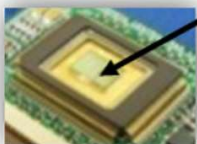
Recent Trend of SPAD Studies in Japan (2)

Information Processing: SPAD + DCNN

➤ Toyota Central R&D Lab/Sensor 2017

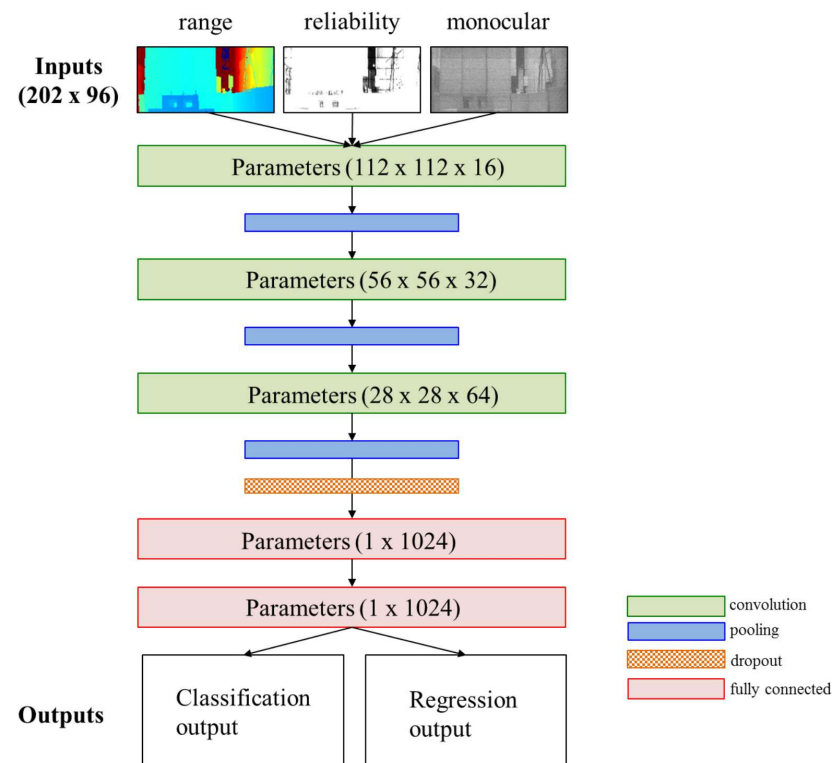
- Depth, Image, → Neural Net
→ Classification + Regression

CMOS
sensor chip



passive
intensity
pixels

active
TOF
pixels

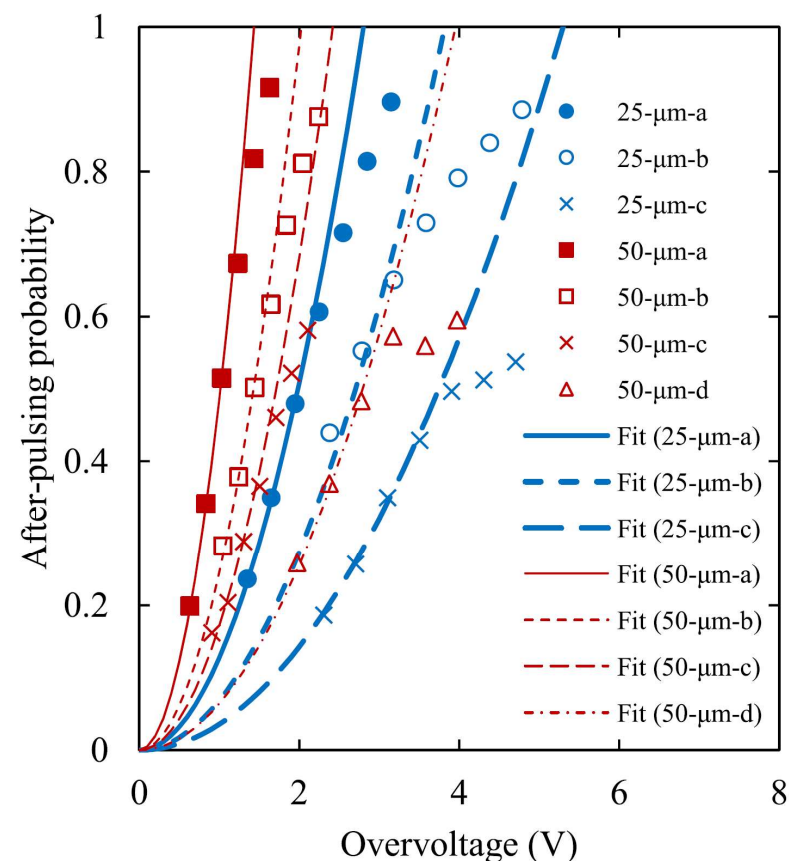
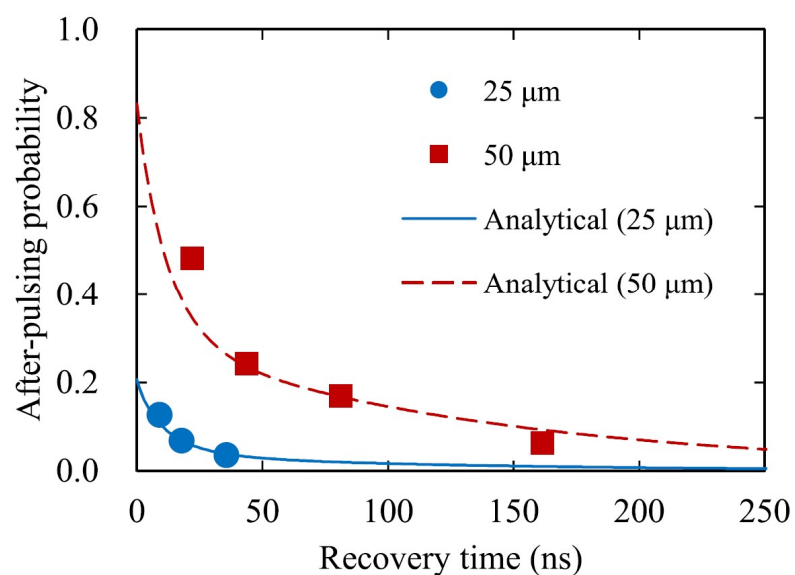


Recent Trend of SPAD Studies in Japan (3)

Basic Study on After-Pulse

➤ Toshiba R&D Center/IEEE Trans 2017

- Overdrive Voltage, Structure, Recovery Time → After-Pulse



Recent Trend of SPAD Studies in Japan (4)

Quick access to pixels in ROI

- University of Tokyo, VDEC/Sensor 2017
 - Selective quick access to breakdown-pixels
 - Background readout during photon detection
 - Filtering method of noise

Background of our Laboratory

History of Imagers

➤ CMOS APS 3D imagers

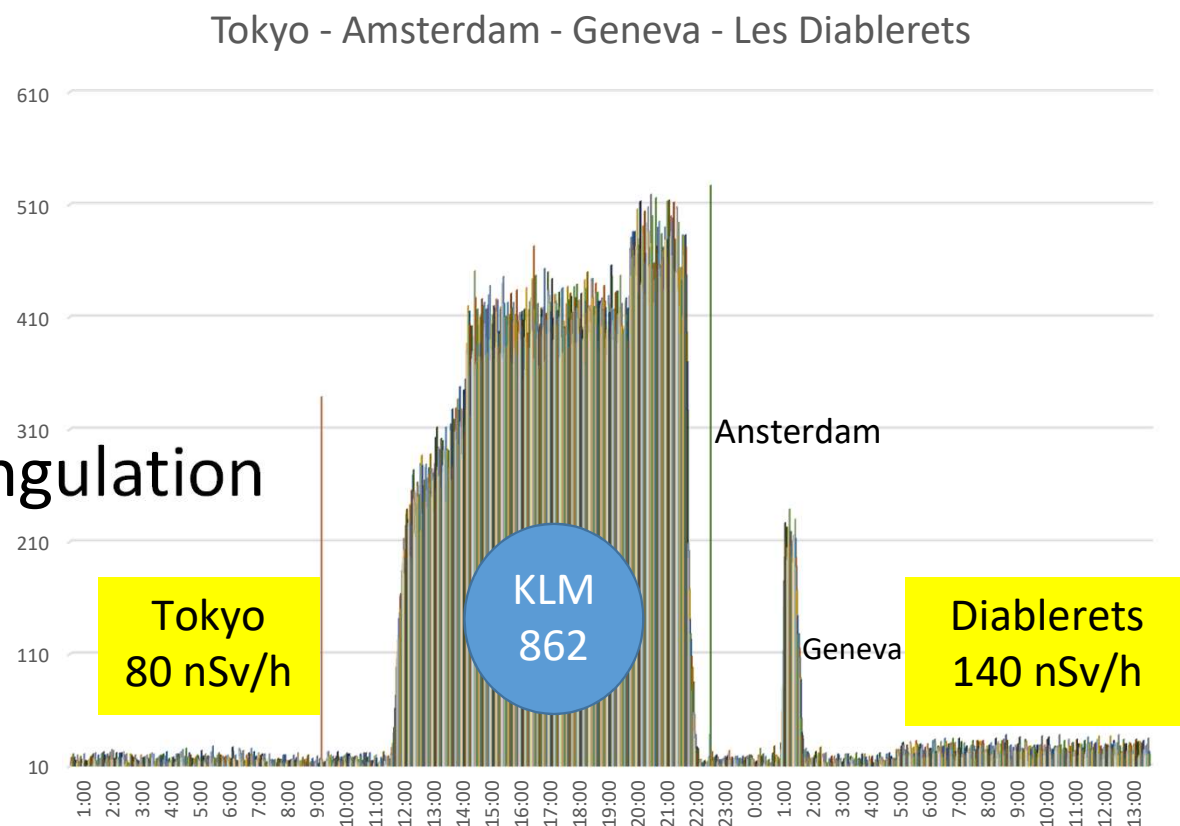
1995 – now

- Combination of APS & Selective quick readout
- 3D image sensing by triangulation

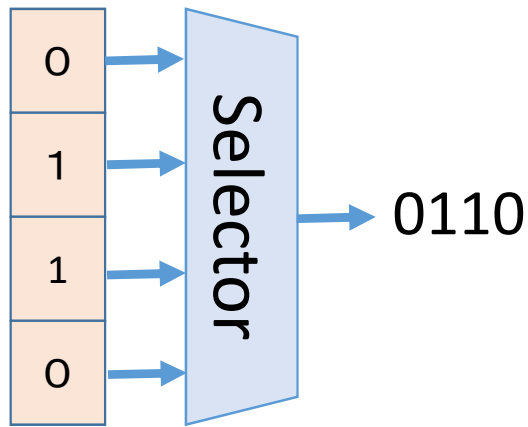
➤ CMOS SPAD imagers

2011 – now

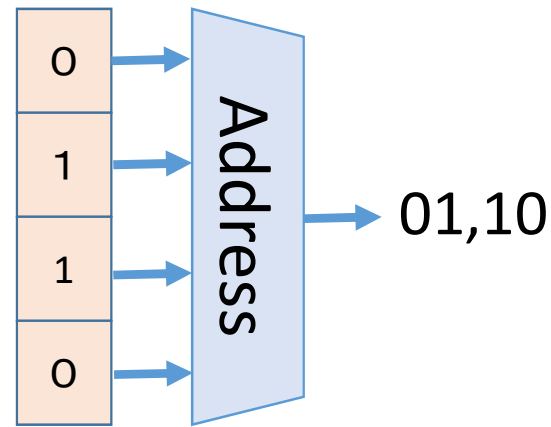
(after Fukushima disaster)



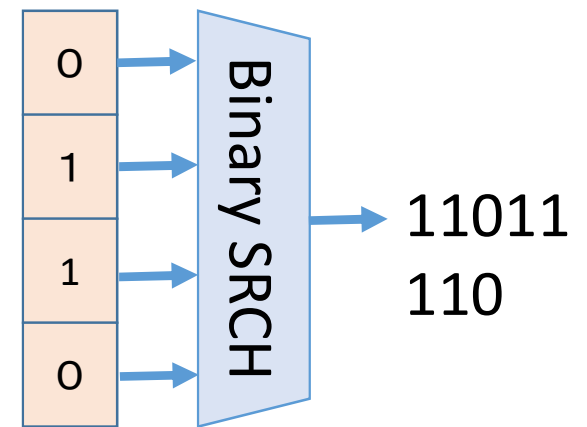
Comparison of 3 access methods



(1) Address Scan
N bits



(2) Data Scan
 $0 \dots N \cdot \log_2 N$ bits
(Priority Encode)
(This study)

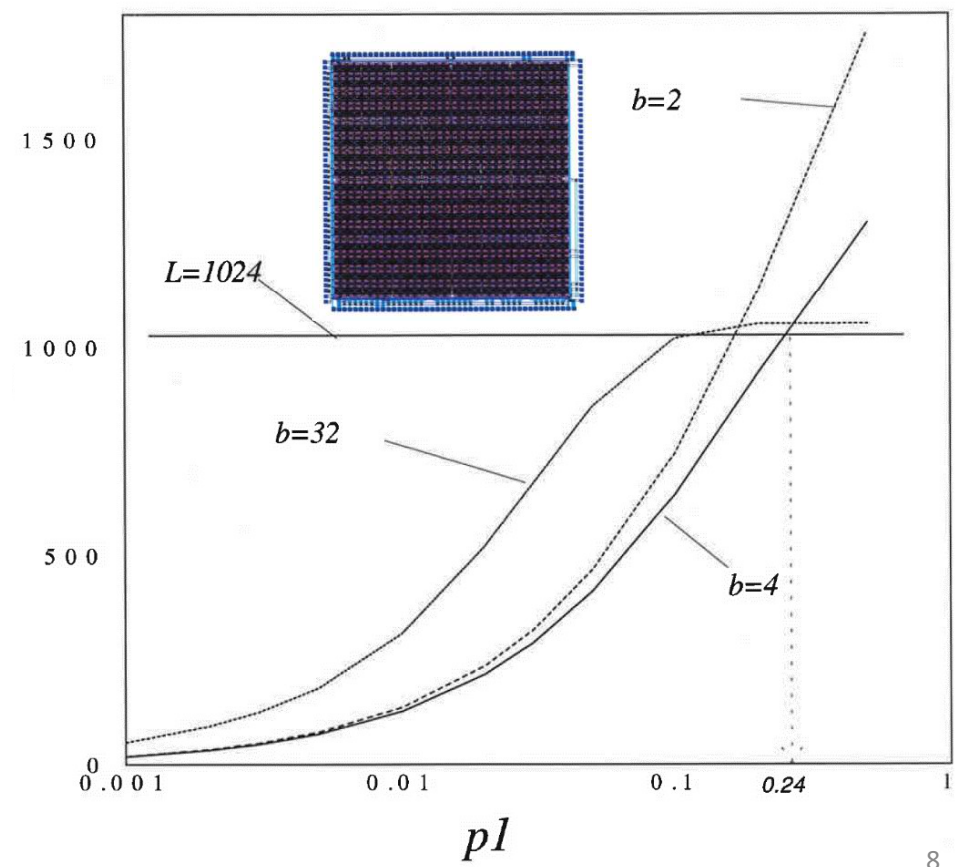
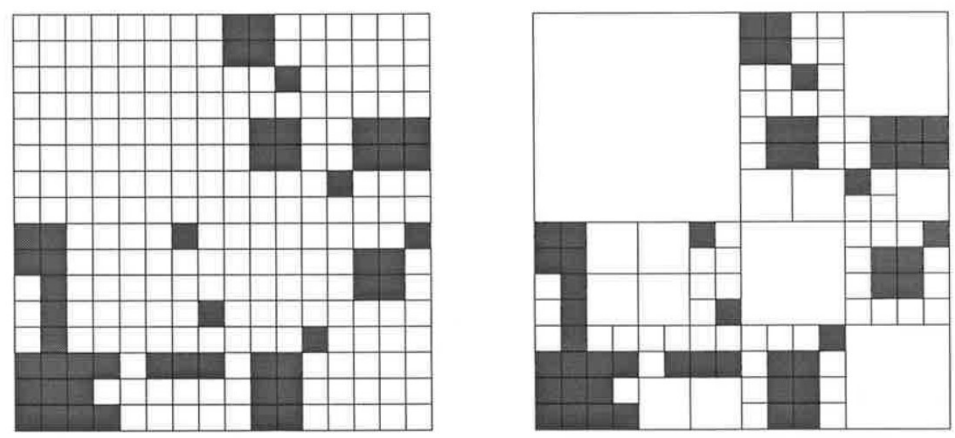


(3) Binary Scan
 $1 \dots 2N$ bits

L : Mean Code Length
 b : # of Branch
 N : # of Depth
 p_1 : Active Probability of Sensor

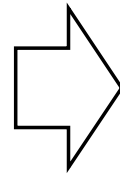
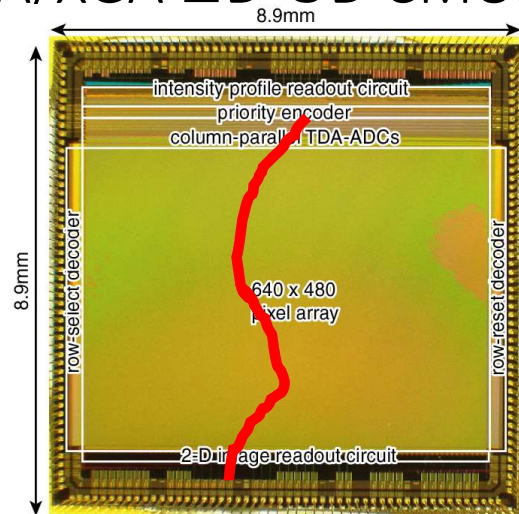
Example: Binary/Quarter Scan method

- Quad-Tree Search
 - Sequential priority encoder
 - Suitable for spot light detection



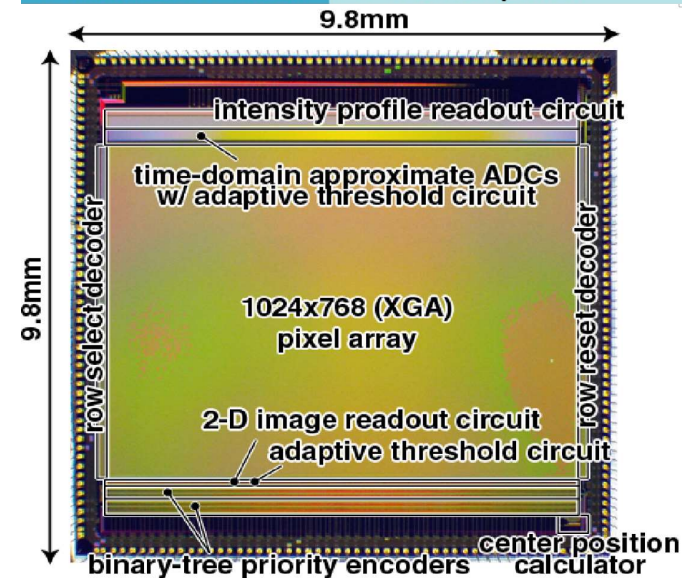
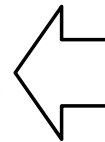
Example: Data Scan Method suitable for sheet light detection

VGA/XGA 2D-3D CMOS imager



Specifications	
Process	0.6μm CMOS process
Die Size	8.9mm × 8.9mm
# Pixels	640 × 480 pixels
Pixel Size	12.0mm × 12.0mm
Fill Factor	29.54%
# Trans. / Pixel	3 trans./pixel

Process	0.35μm 2P3M CMOS
Die size	9.8 mm x 9.8 mm
# pixels	1024 x 768 pixels (XGA)
# FETs	3.2M FETs
Pixel size	8.4 μm x 8.4 μm
Photo diode	pn junction
# FETs/pixel	3 FETs
Fill factor	29.0 %



Comparison of Speed v.s. Resolution at 2004

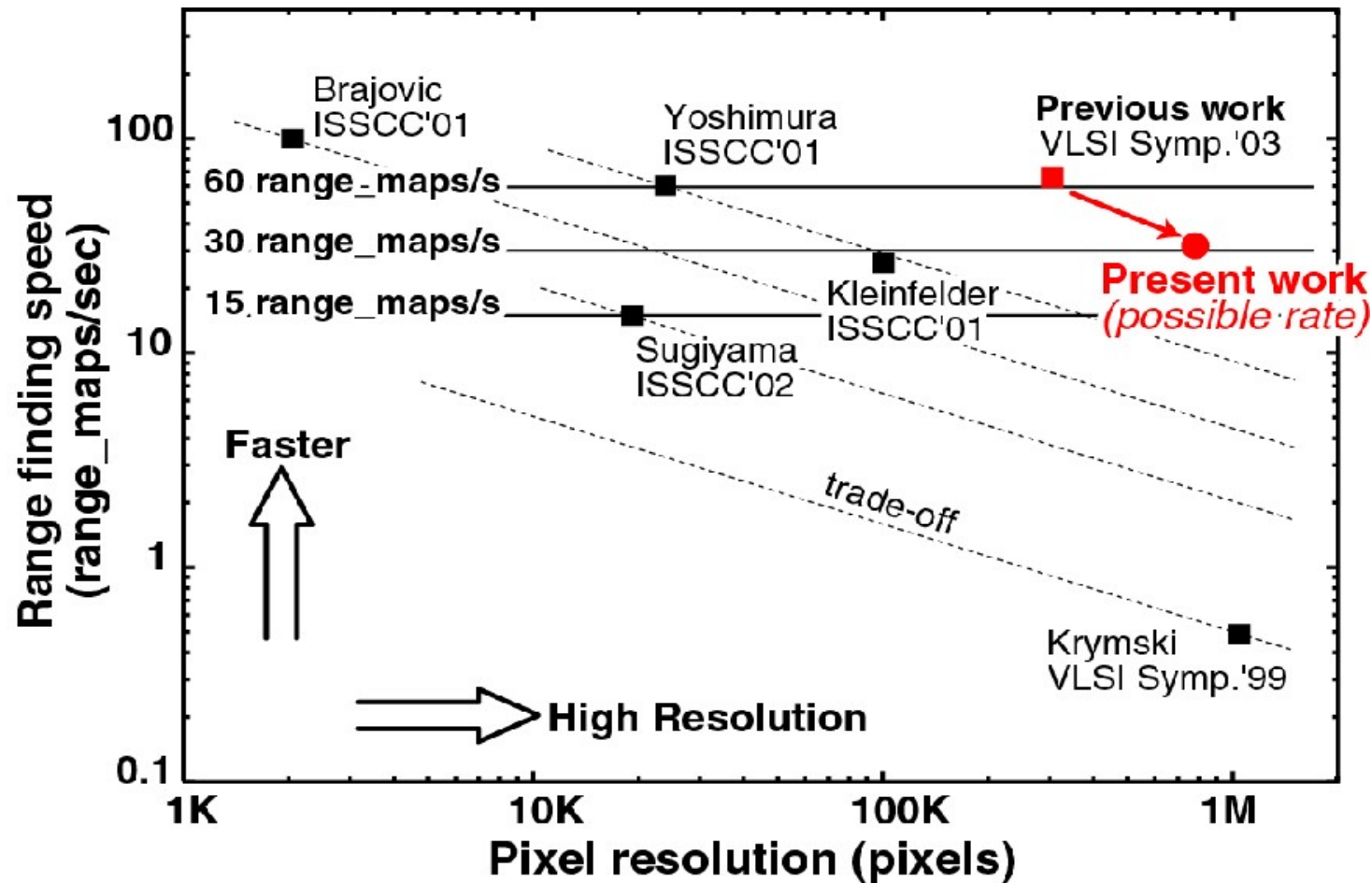


Table of Content

1. SPAD structures and characteristics

PAP: Probability of After-Pulse

2. Quick readout circuits for short time-window

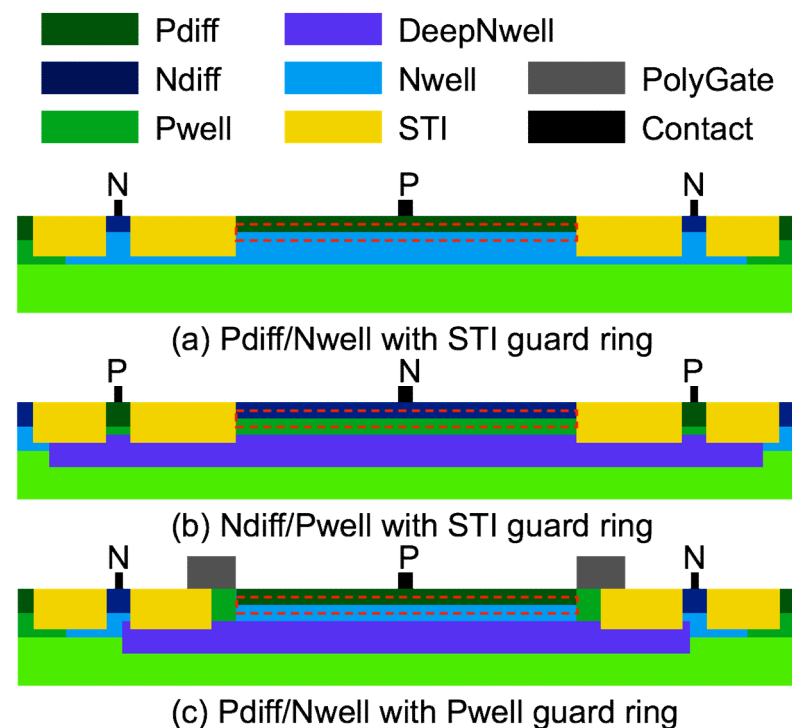
3. High resolution method of scintillation light position

SPAD design for Standard CMOS 0.18 with Deep Nwell

Unsuccessful trials:

- (a) Pdiff/Nwell with STI guard
- (b) Ndiff/Pwell with STI guard
- (c) Pdiff/Nwell with Pwell guard

SPAD	V_{BR} [V]	DCR [Hz]
(a)	7.9	>2 MHz@ $V_{EX} = 0.1V$
(b)	8.0	>2 MHz@ $V_{EX} = 0.1V$
(c)	7.9	>1 MHz@ $V_{EX} = 0.1V$



Dark counts rate is very large due to the low breakdown voltage (mainly tunneling)

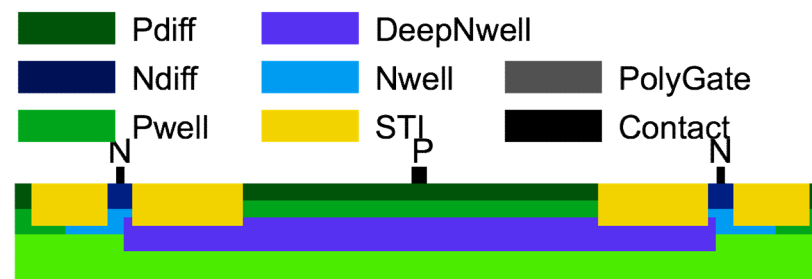
Final tolerable SPAD structure for Standard CMOS

Not yet tolerable

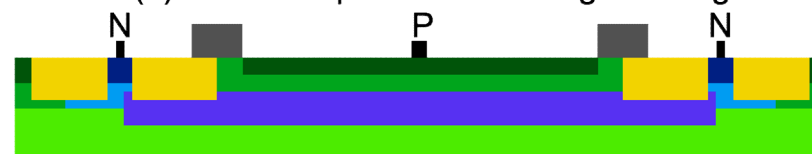
(d) Pwell/Deep_Nwell with STI guard

Tolerable:

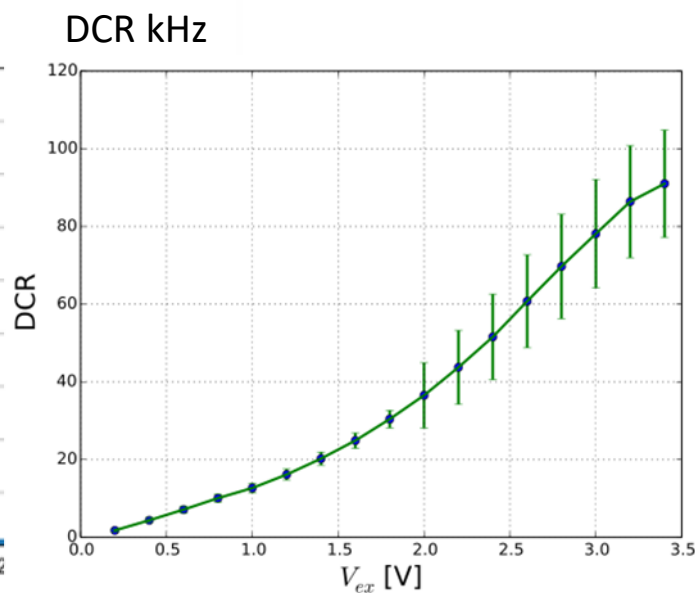
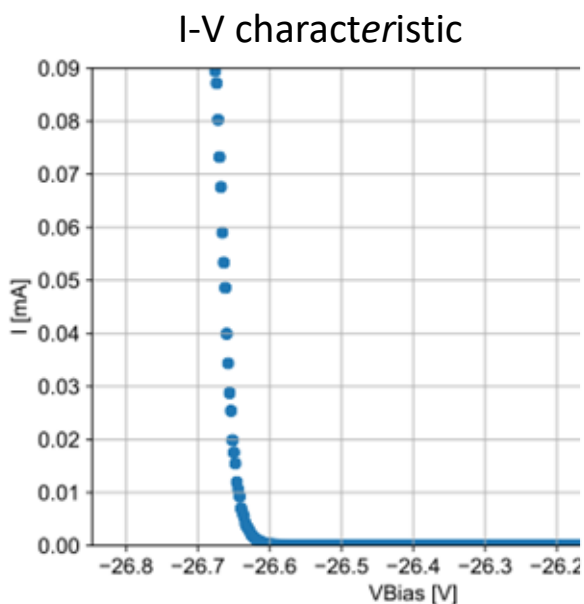
(e) Pwell/Deep_Nwell with STI & Poly guard



(d) Pwell/DeepNwell with STI guard ring



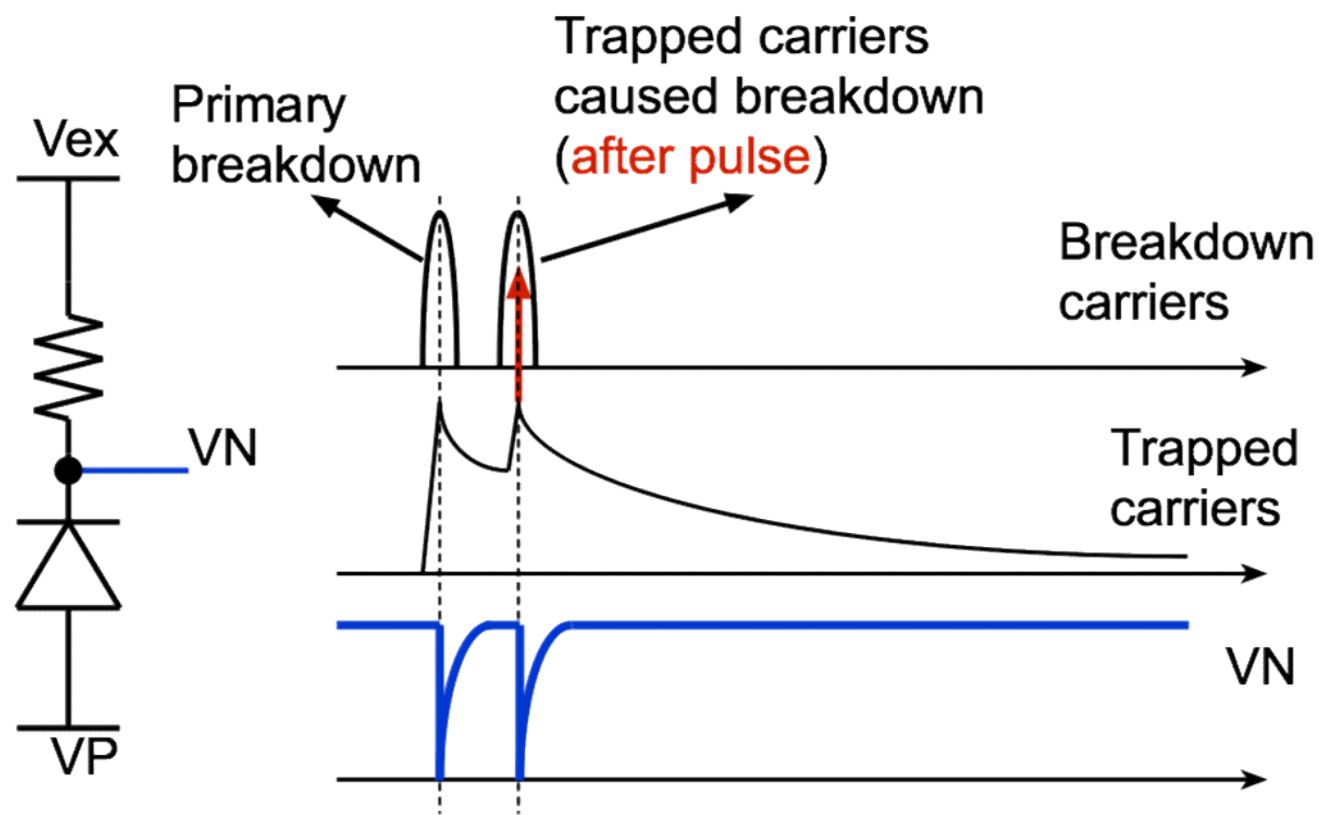
(e) Pwell/DeepNwell with STI guard ring and PolyGate



SPAD	V _{BR} [V]	DCR [Hz]
(d)	26.6	5.4 kHz@ V _{EX} = 0.1V
		331 kHz@ V _{EX} = 0.3V
(e)	26.6	<1 kHz@ V _{EX} = 0.3V
		7.3 kHz@ V _{EX} = 1.0V
		26.8 kHz@ V _{EX} = 2.0V

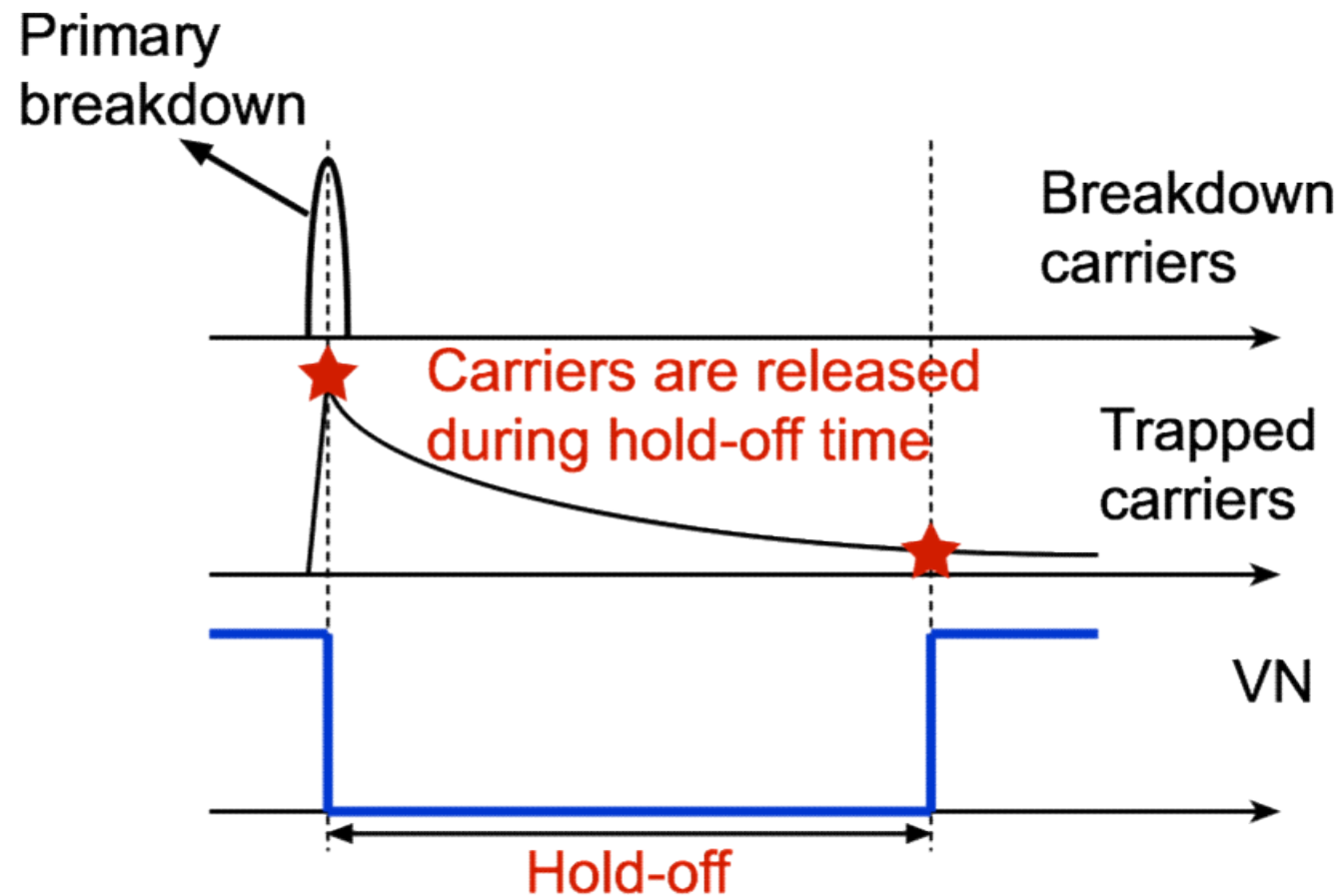
SPAD: After-pulse (AP) phenomenon

After pulse: dark counts caused by deep traps in depletion region.



After-pulse characterization on hold-off time

The probability of AP can be reduced by hold-off time

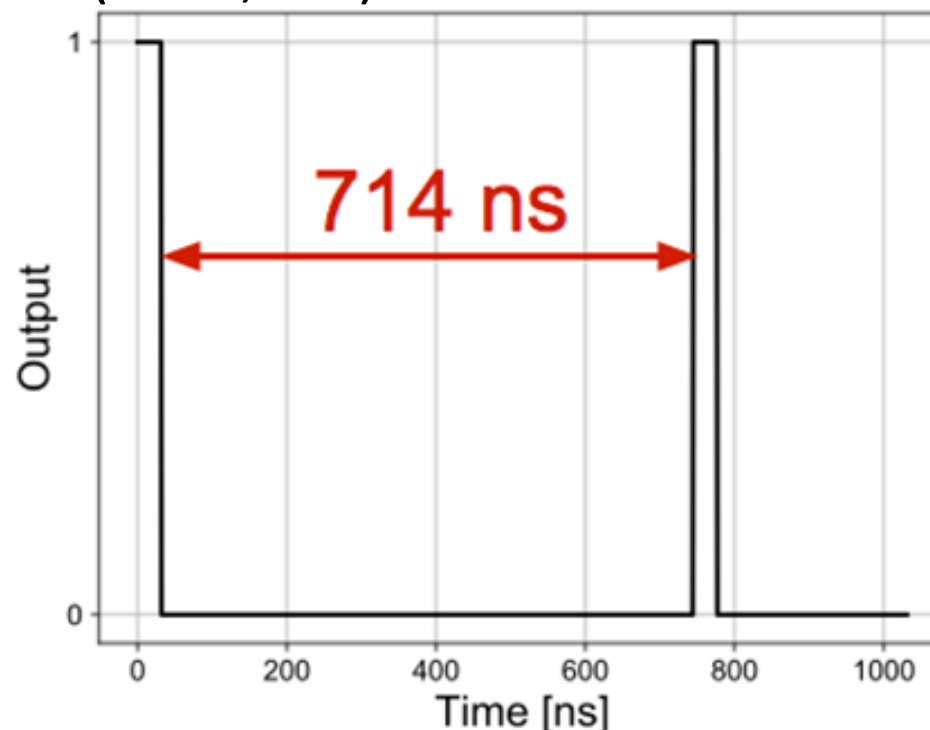


Breakdown Pulse interval measurement

- Measure time differences between the falling edge of a pulse and the rising edge of next pulse.
- Repeat the measurements many times (>100,000).
- Normalization.

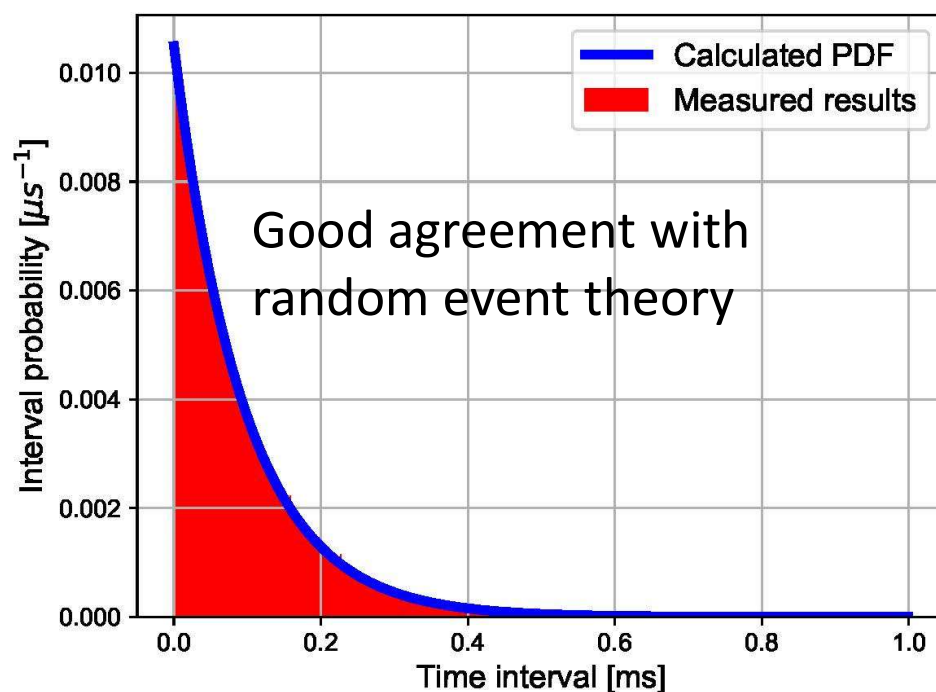


Time interval distribution analysis.



Pulse interval probability for Long hold-off time

- Hold-off time is 1 ms set by the external 'Force_off'
- Free of after-pulsing
- Time interval: Exponential distribution
- 250,000 measurements, bin=100 ns



Measured :

Mean : $95.32 \mu s$

Var : $8.986 \times 10^9 ns^2$

Std : $94.8 \mu s$

Median : $66.36 \mu s$

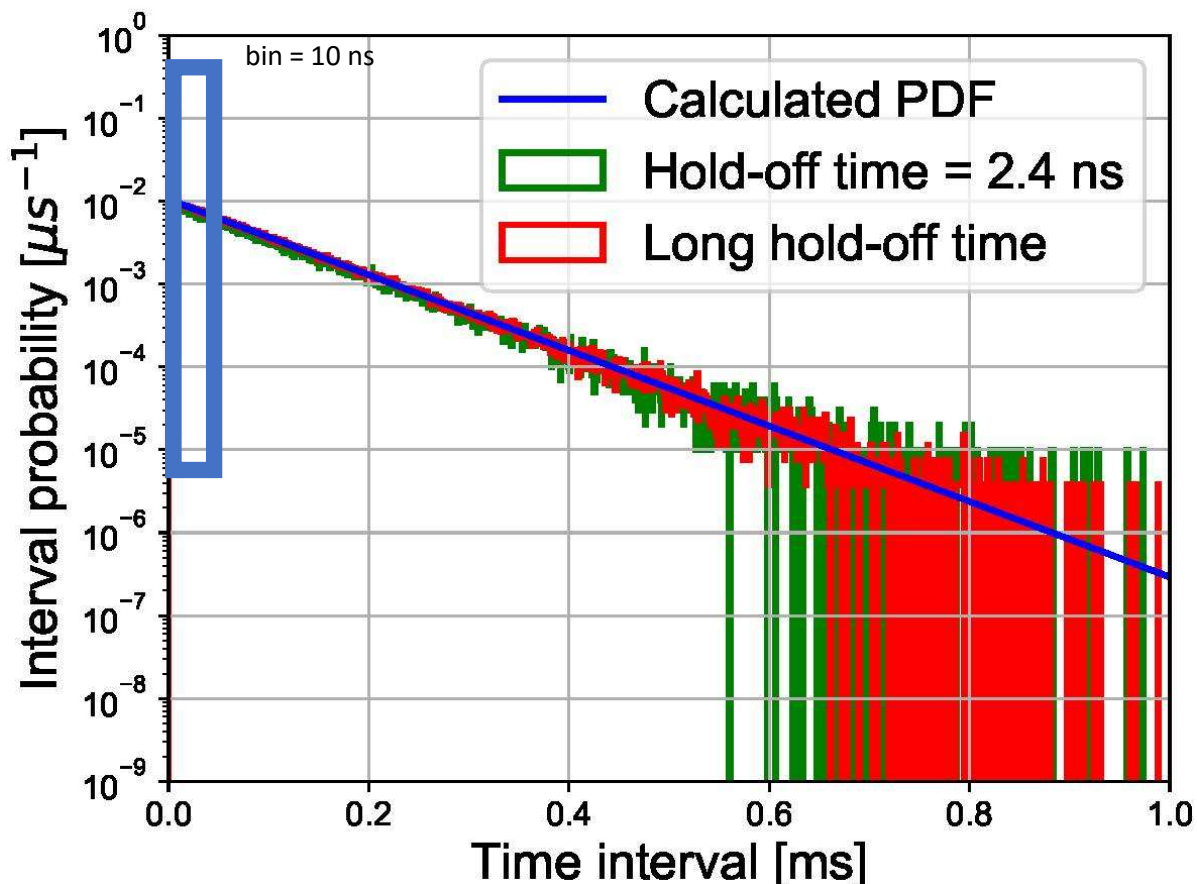
Exp distribution

$$p(t) = \lambda e^{-\lambda t}, \lambda = \frac{1}{\text{Mean}}$$

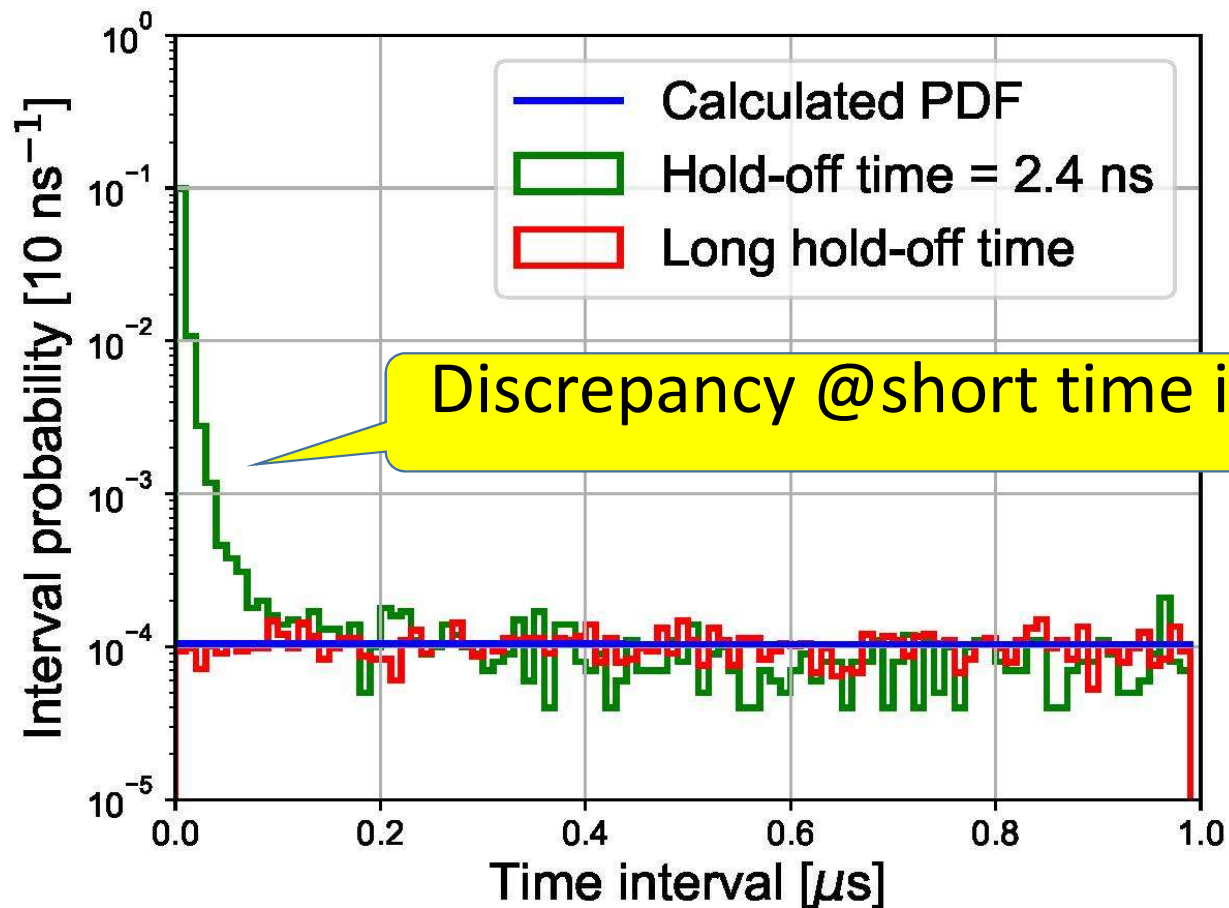
$$\text{Var} : \frac{1}{\lambda^2} = 9.085 \times 10^9 ns^2$$

$$\text{Median} : \frac{\ln(2)}{\lambda} = 66.08 \mu s$$

Pulse interval probability with short & long hold-off



Discrepancy for short & long hold-off time \rightarrow After-pulse



Calculation of After-Pulse probability

For a certain time period ' Δt ' ($=1 \mu\text{s}$):

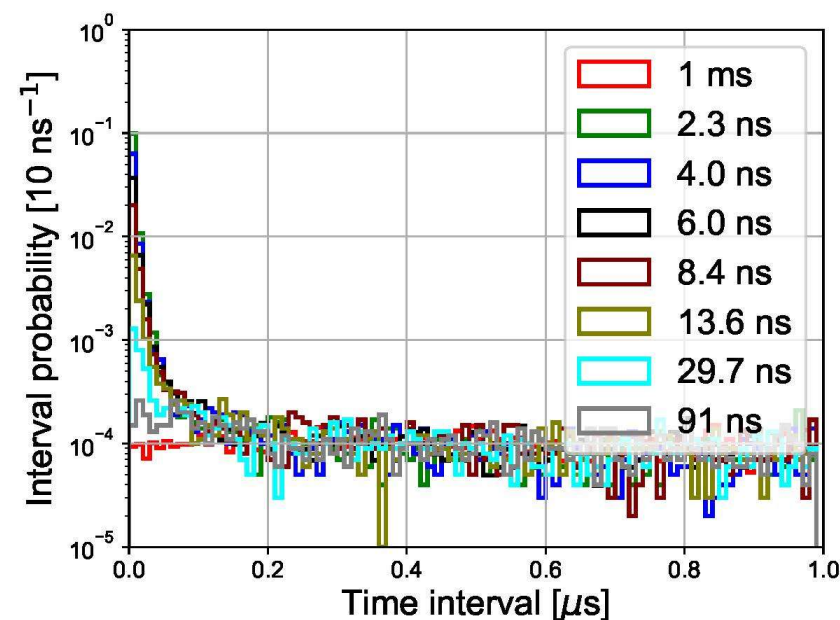
$P_{BD}(t)$: measured breakdown probability

$P_{DC}(t)$: measured breakdown probability at long hold-off time

$P_{AP}(t)$: After-pulsing probability

$$P_{BD}(t) = 1 - (1 - P_{DC}(t))(1 - P_{AP}(t))$$

$$P_{AP}(t) = 1 - \frac{1 - P_{BD}(t)}{1 - P_{DC}(t)}$$



Hold-off time vs. After Pulse Probability

P_{AP} can be reduced to 1 % @ 20 ns hold-off

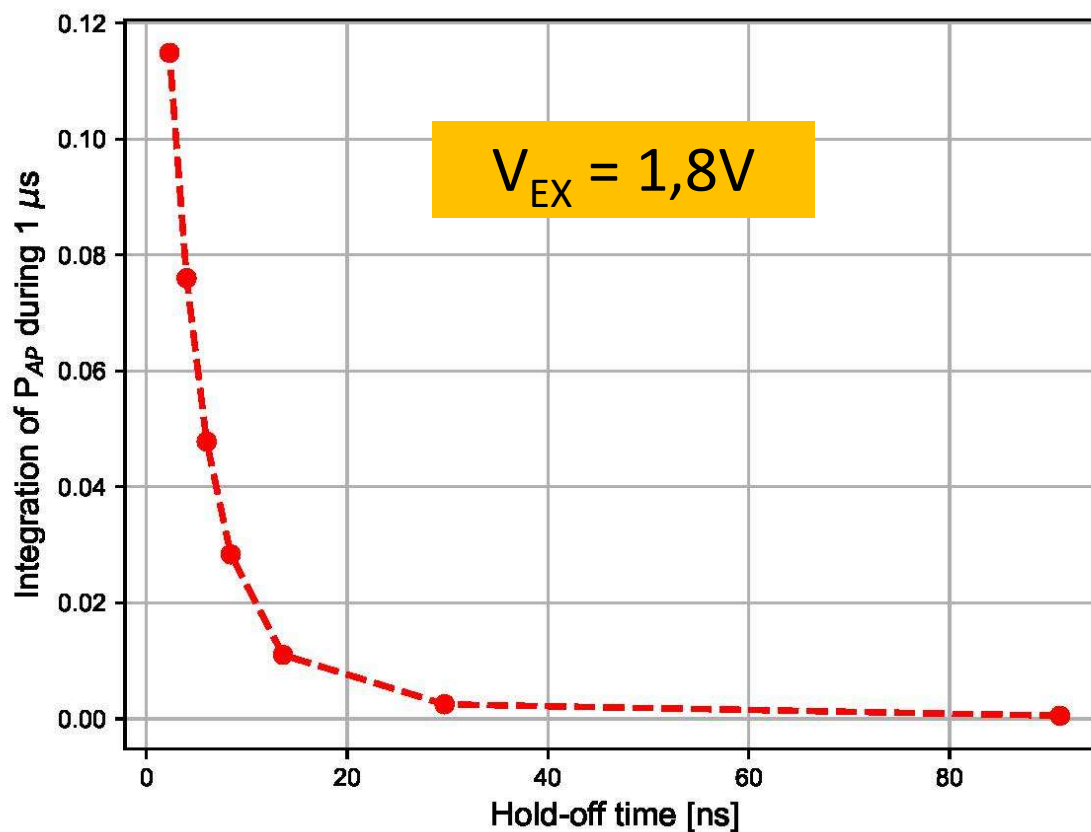
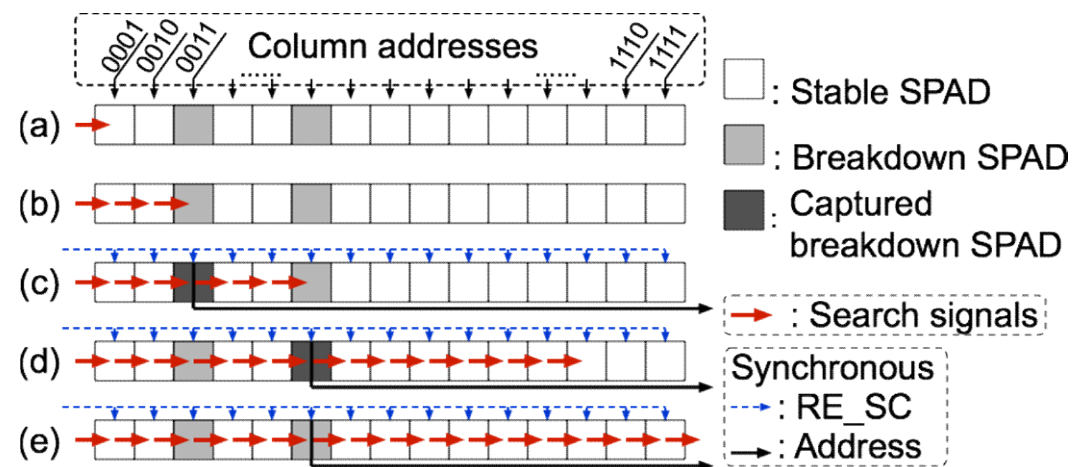
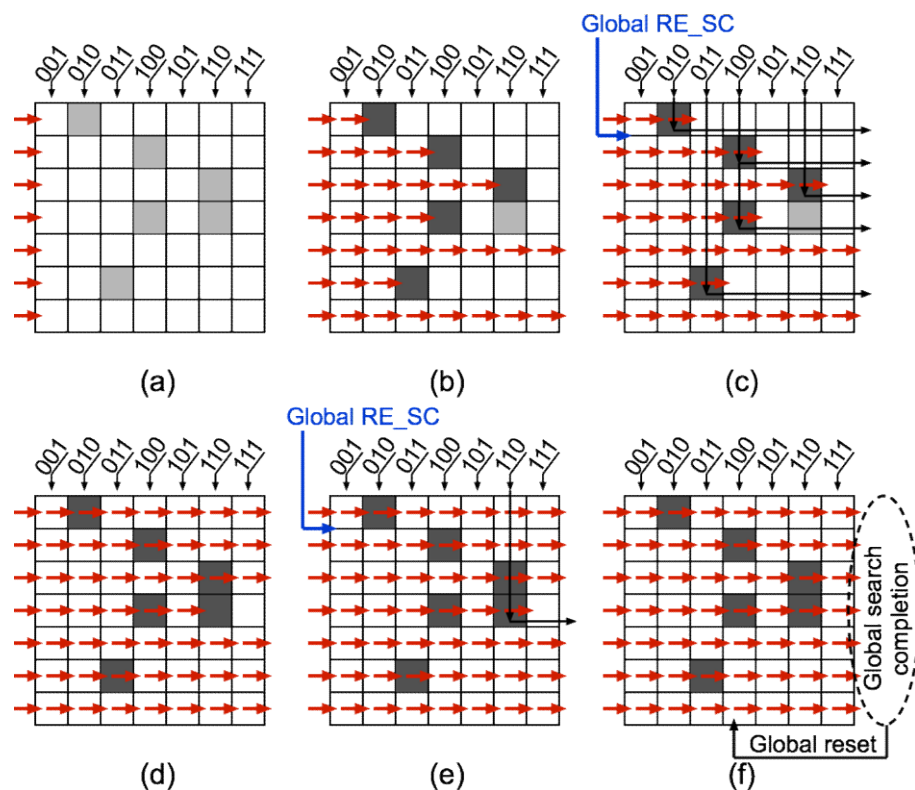


Table of Content

1. SPAD structures, a typical pixel circuit and characteristics
2. Quick readout circuits for short time-window
 - Methods of readout
 - DCR: Dark Current Rate
 - PDP: Photon Detection Probability
3. High resolution method of scintillation light position

Row-parallel: Breakdown-pixels-extraction (BPE)

- Row parallel scan of breakdown pixels
- Readout cycles: $1 + \text{Max}(N_{\text{BD},i}) \times (4 + 1)$ for 15 x 15 SPAD array



N_{BD} : the # of breakdown pixels in the whole chip
 $N_{\text{BD},i}$: the # of breakdown pixels in the i -th row

Readout after photon detection (Non-pipeline)

- Typical pulse light (20 ns~100 ns)
- Short window time for low dark counts & high frame rate

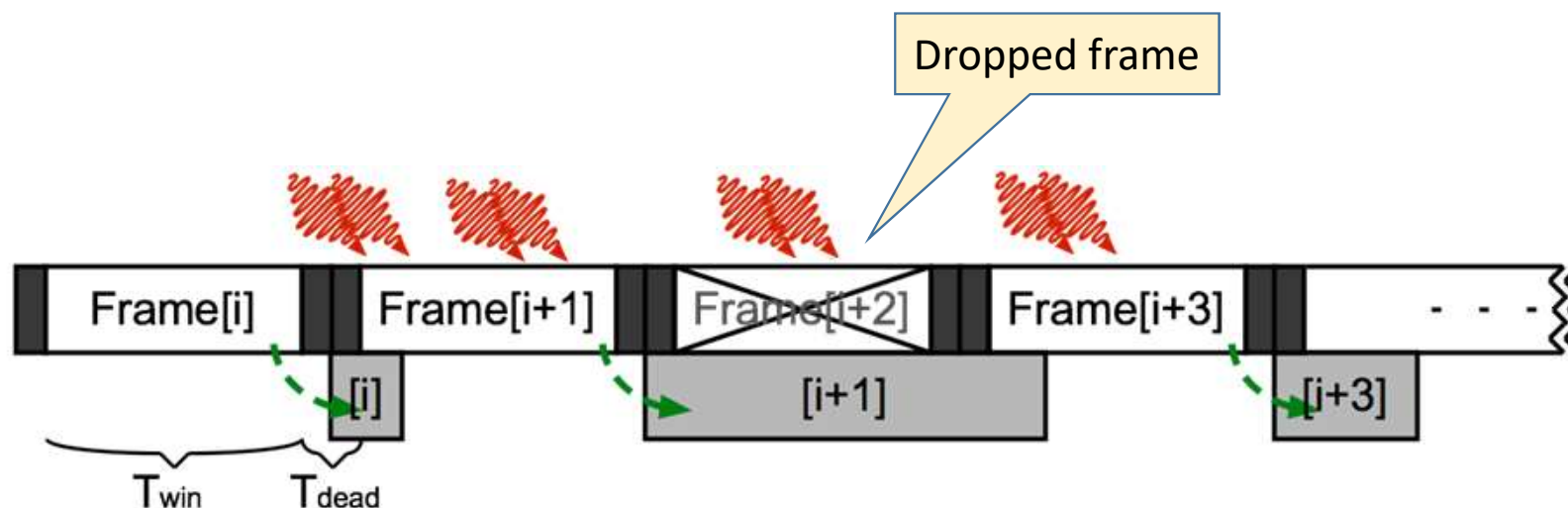


Window time:
100 ns ~ 300ns

- Photons are not detected during readout time (dead time).
- Readout time is proportional to incident photons.
→ Duty ratio of detection becomes low

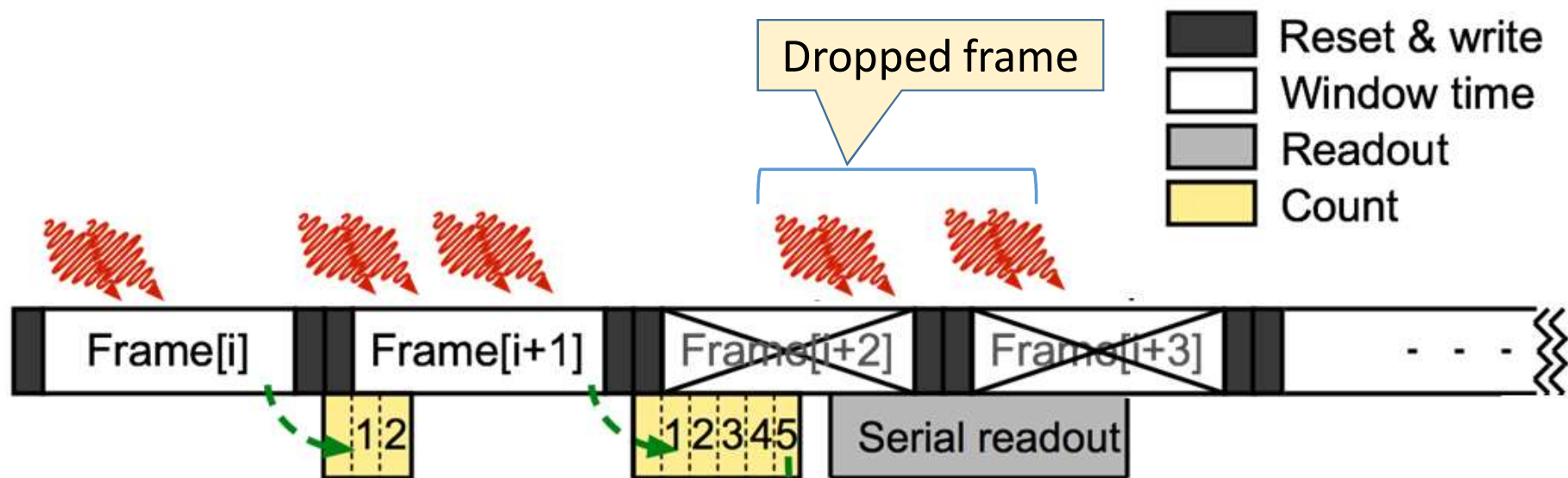
Photon detection & background readout (Pipeline)

- One more bit memory to each pixel
- Pipelined photon detection & readout
- Minimized dead time
- Drop a frame if readout is longer than window time

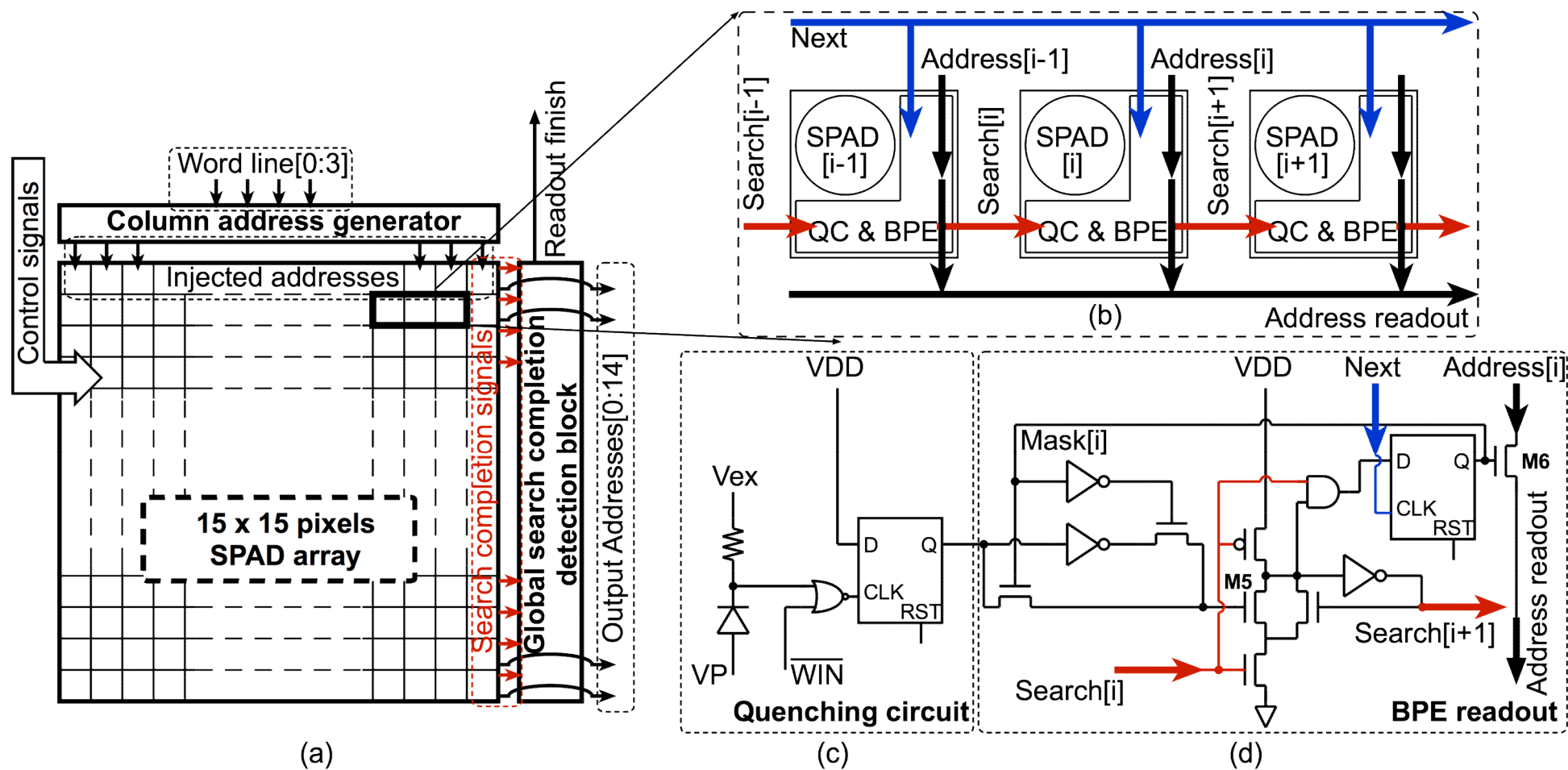


Readout with event discriminator (pre-screening)

- # of breakdown pixels is counted before readout
- Total counting cycles are proportional to $\max(N_{BD,i})$
- Noise discrimination based on cycle count

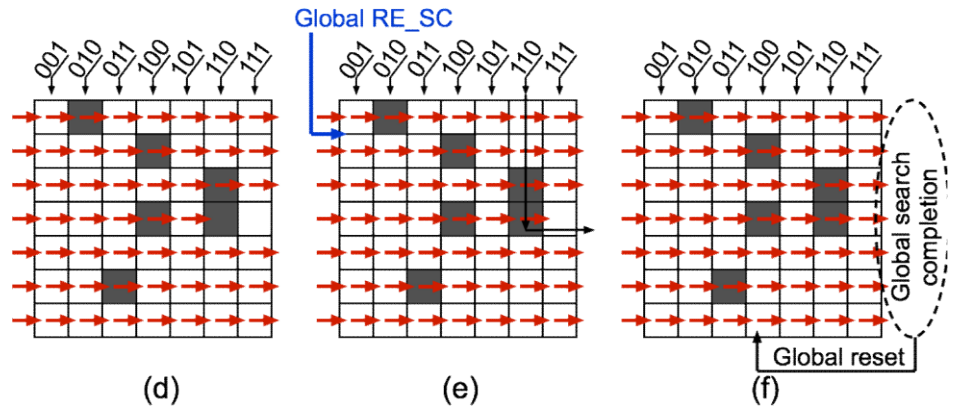
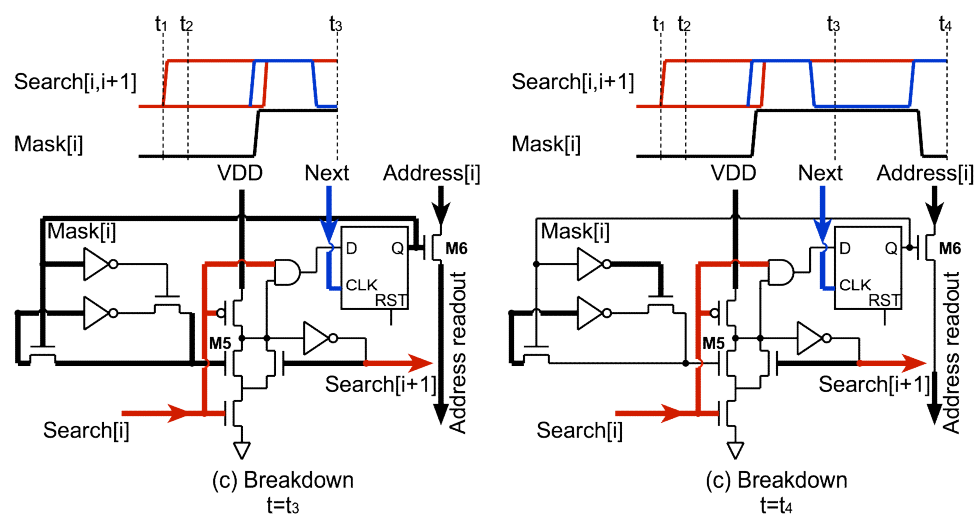
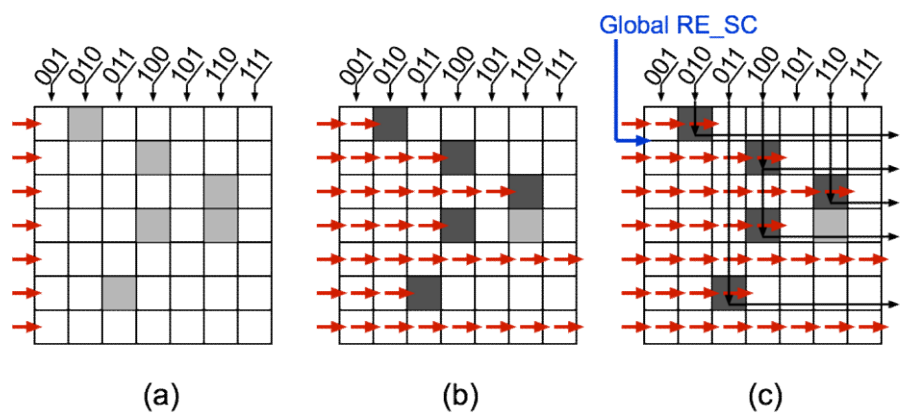
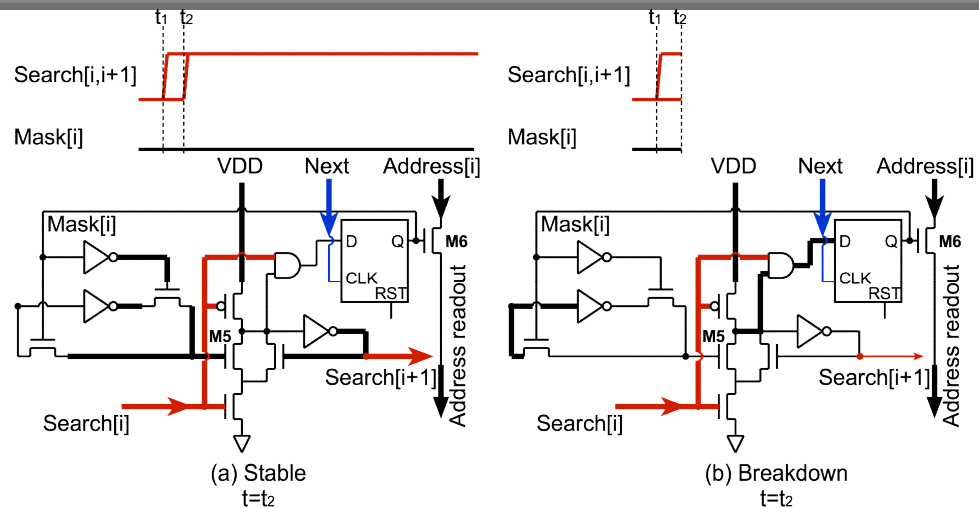


Circuit details: non-pipeline architecture



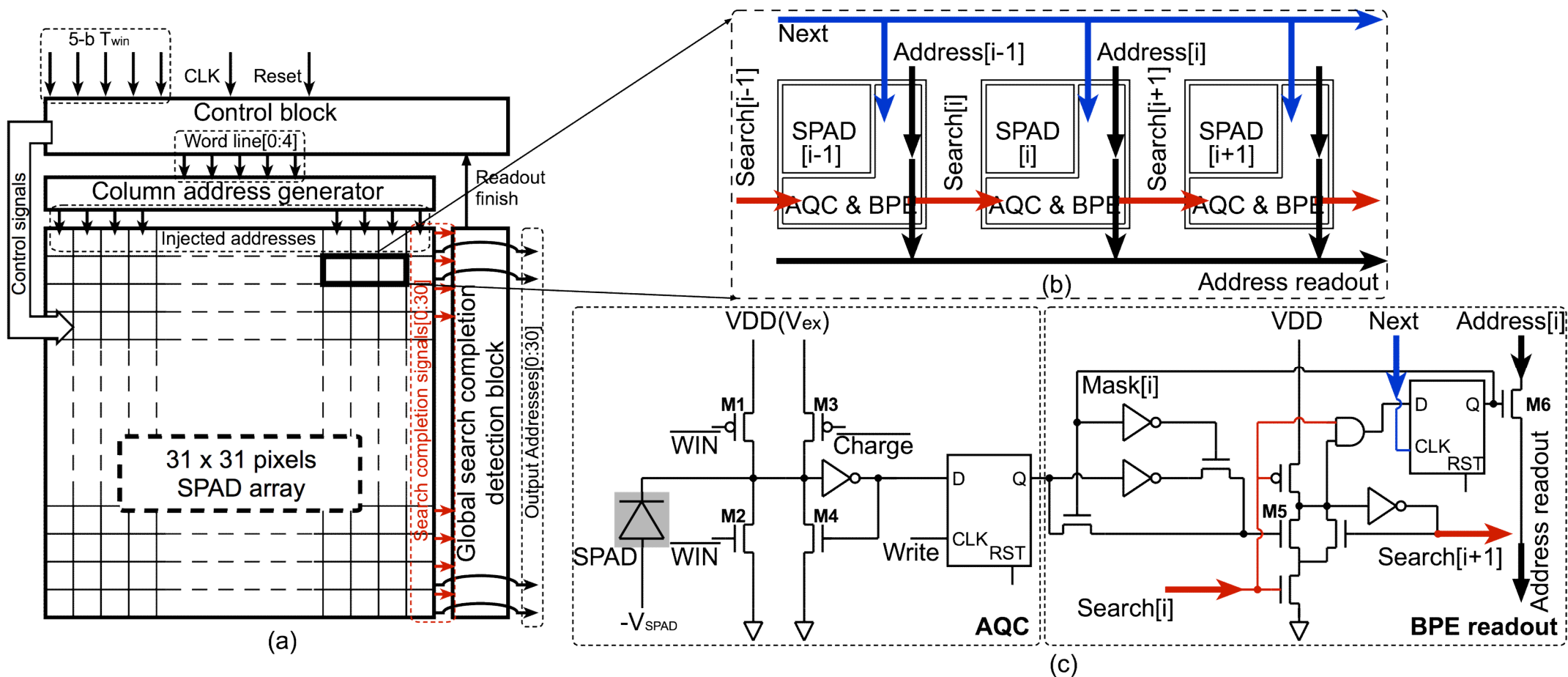
Quick readout circuits for short time-window

Detailed sequence of readout operation



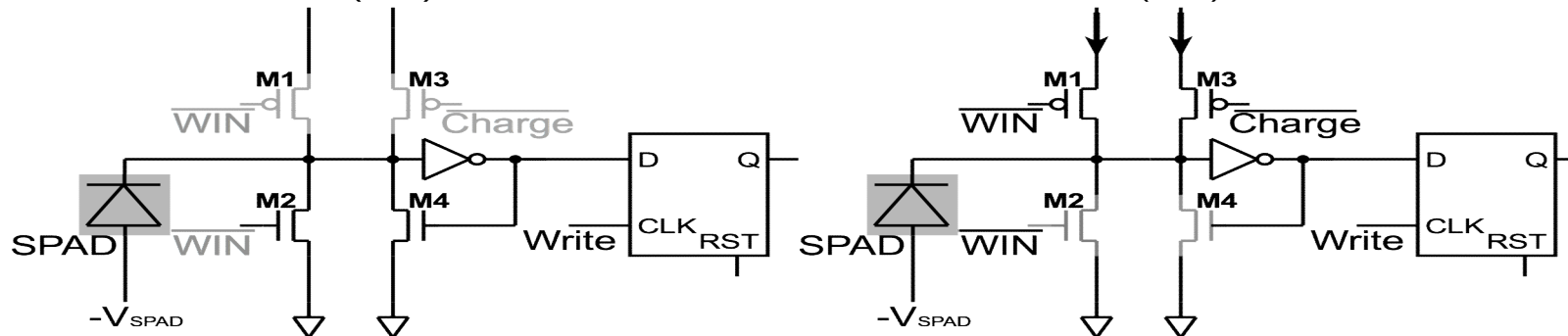
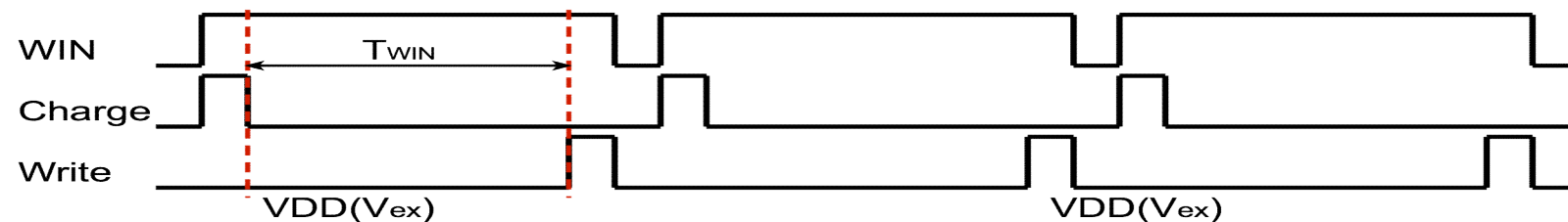
Quick readout circuits for short time-window

Circuits details: Pipeline architecture



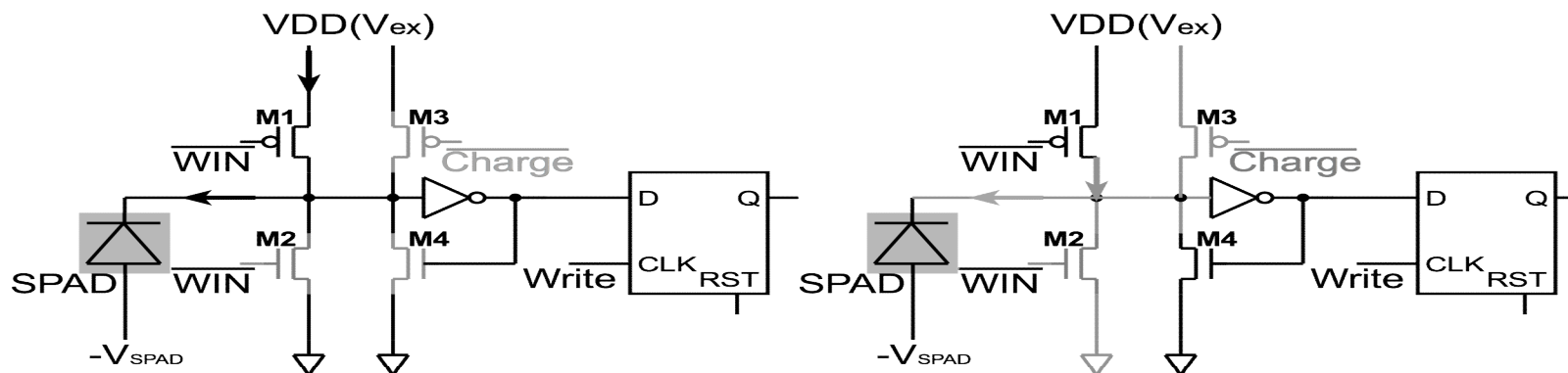
Quick readout circuits for short time-window

Circuits details: Active quenching circuit for pipeline



(a) WIN is low, SPAD is OFF

(b) Charge before T_{WIN}

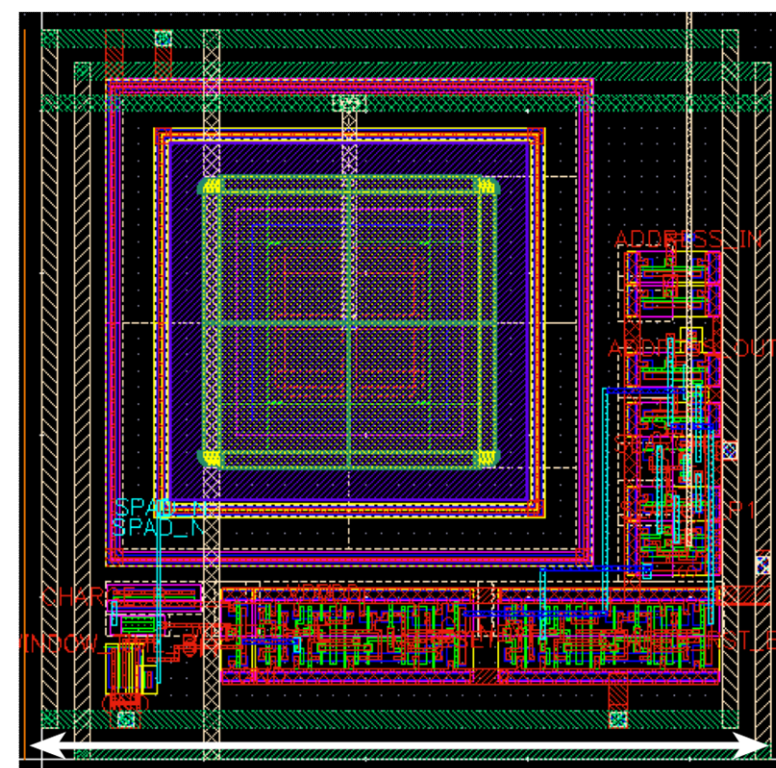
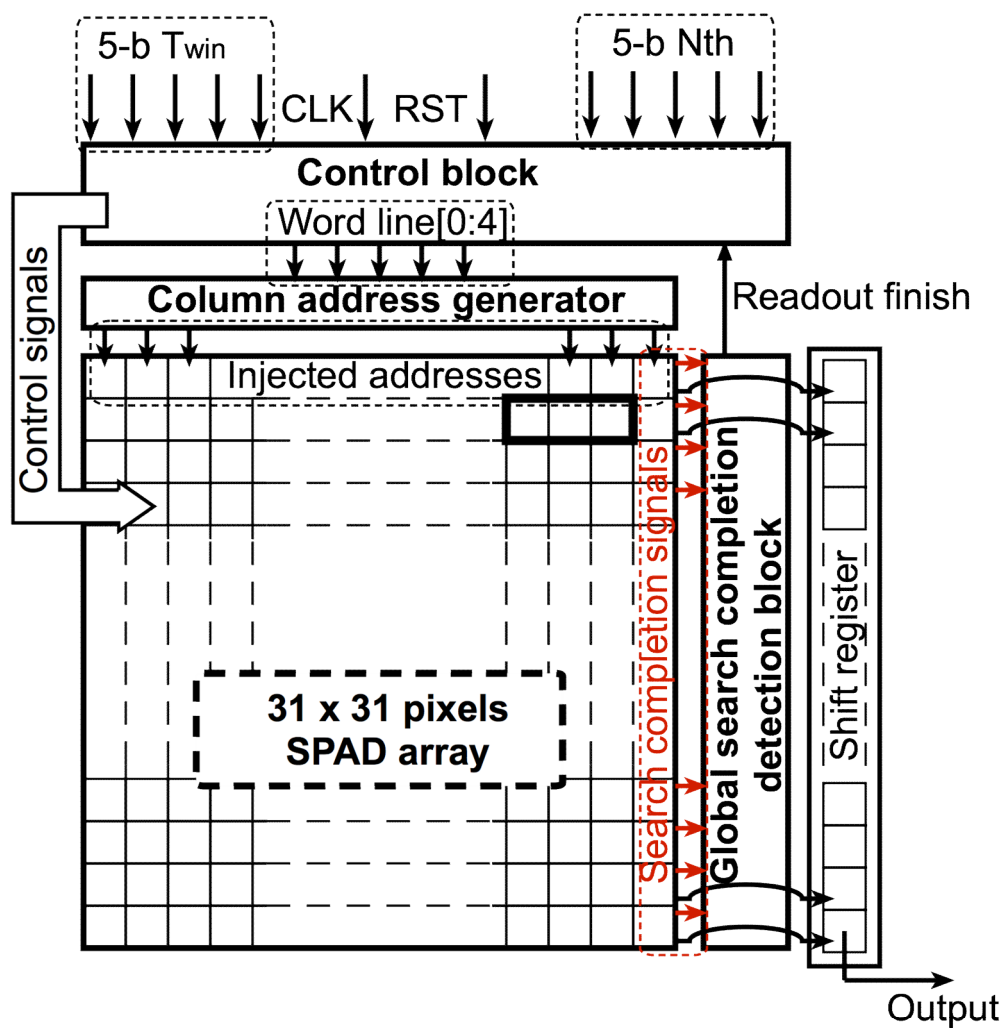


(c) SPAD is stable @ T_{WIN}

(d) SPAD is breakdown @ T_{WIN}

Quick readout circuits for short time-window

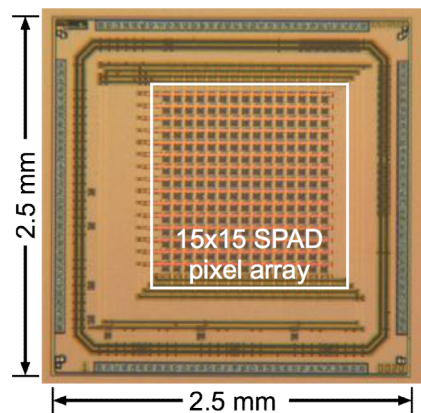
Chip outline: Pre-screening architecture



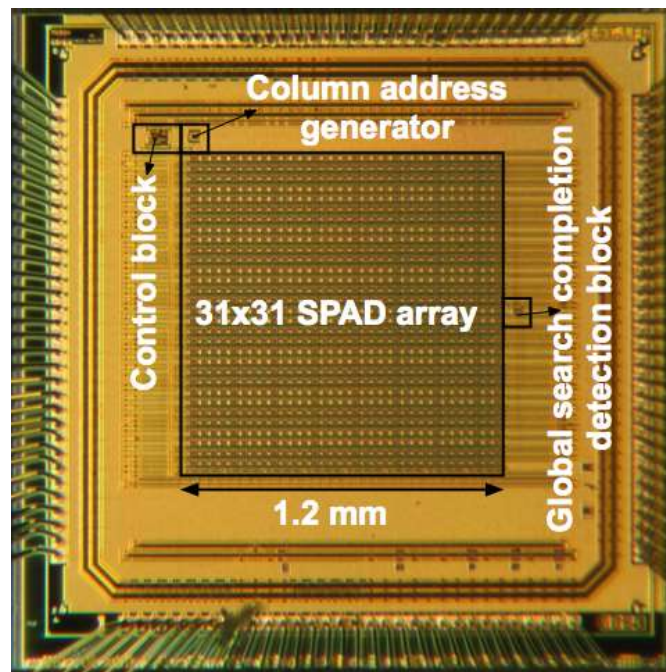
42 um

Quick readout circuits for short time-window

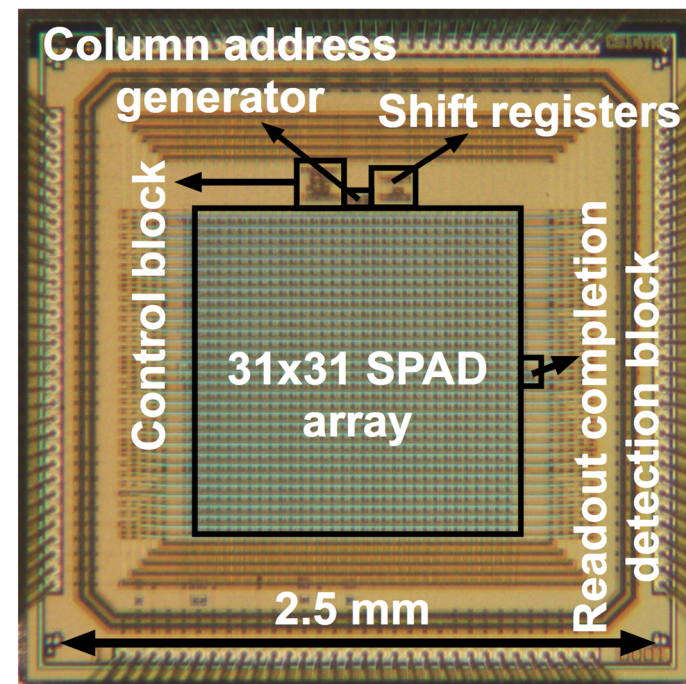
Chip micro-photographs (CMOS 0.18 μ m)



Type A:
Non-pipeline



Type B: Pipelilne



Type C: Pre-screening

Quick readout circuits for short time-window

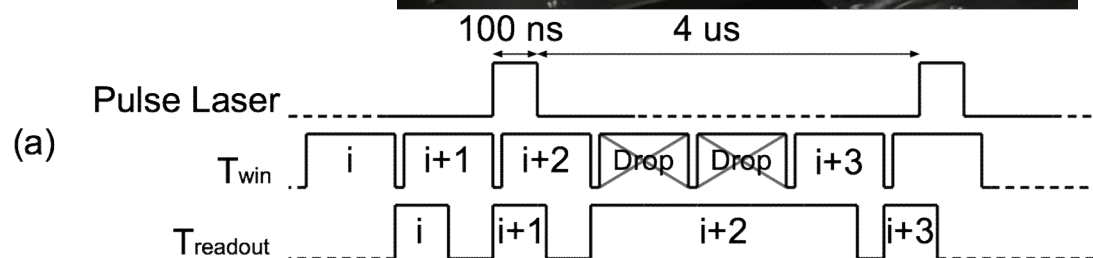
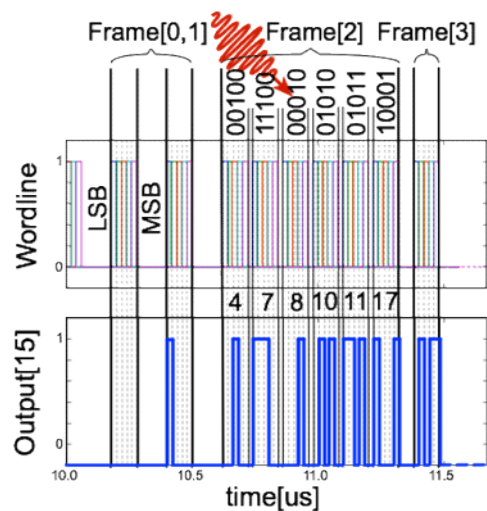
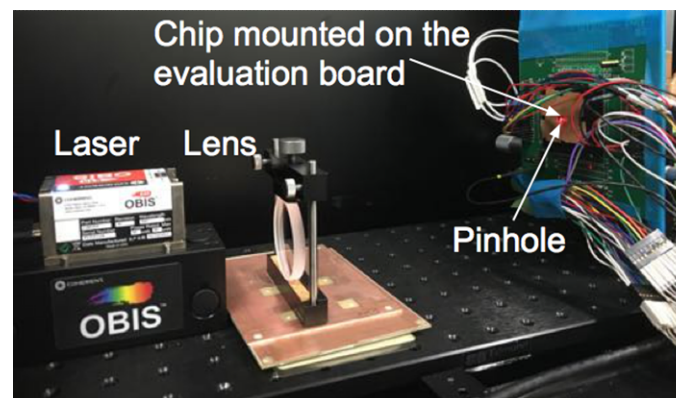
Measurement setup: Pulse-laser pinhole imaging

Laser

- Pulse width: 100ns

Chip

- Window time: 200 ns
- Frequency: 50 MHz
- Vex: 1.8 V



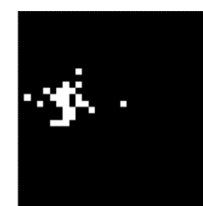
Frame[0]
Max($N_{BD,i}$)=1

(b)



Frame[1]
Max($N_{BD,i}$)=1

(c)



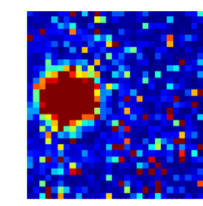
Frame[2]
Max($N_{BD,i}$)=6

(d)



Frame[3]
Max($N_{BD,i}$)=1

(e)

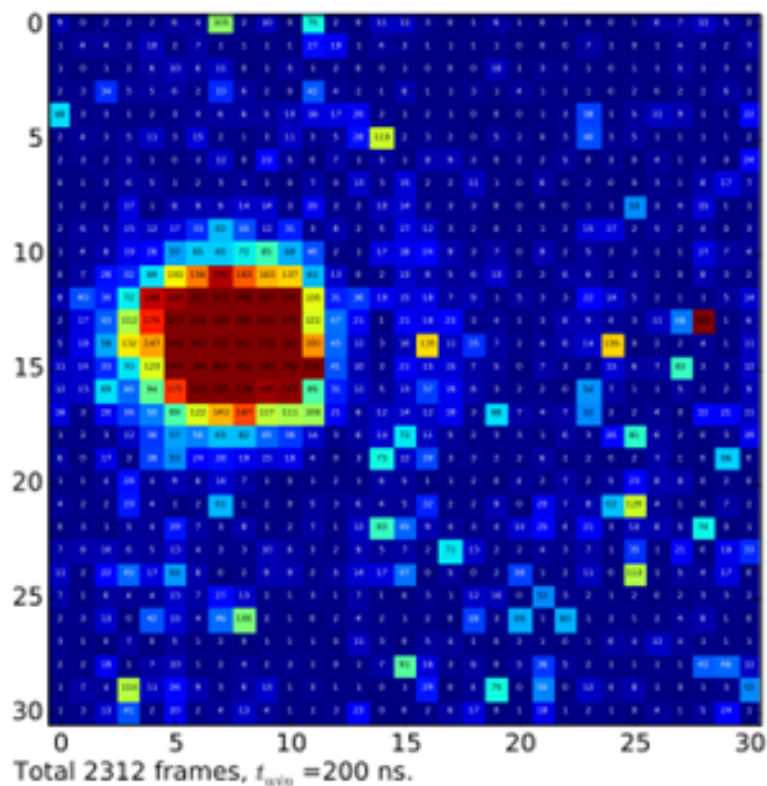


Summation of
11970 frames

(f)

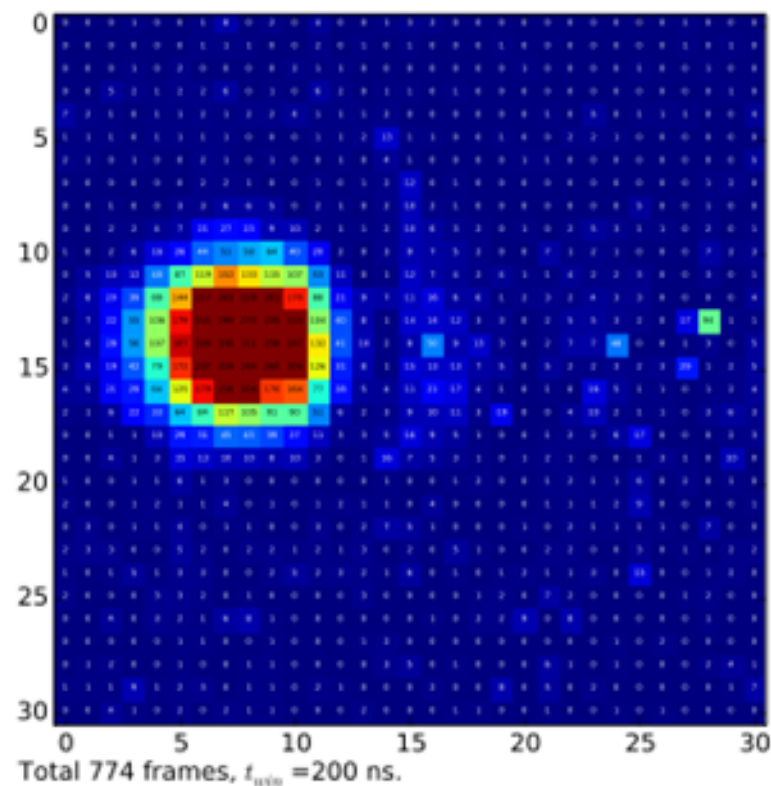
Integrated Images: Effect of event discrimination

$\text{Max}(N_{\text{BD},i}) > 1$



(a)

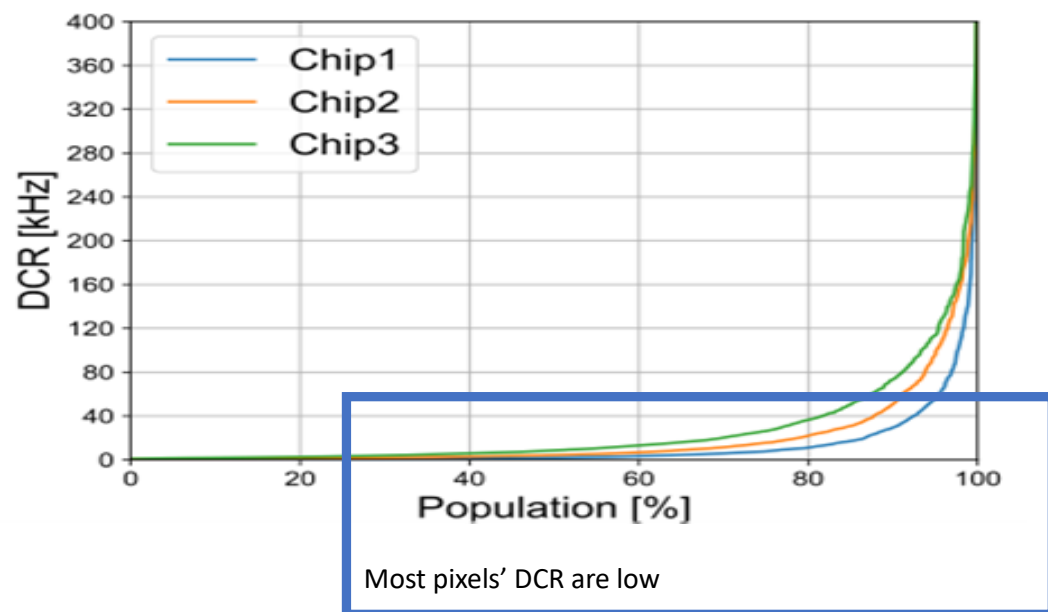
$\text{Max}(N_{\text{BD},i}) > 2$



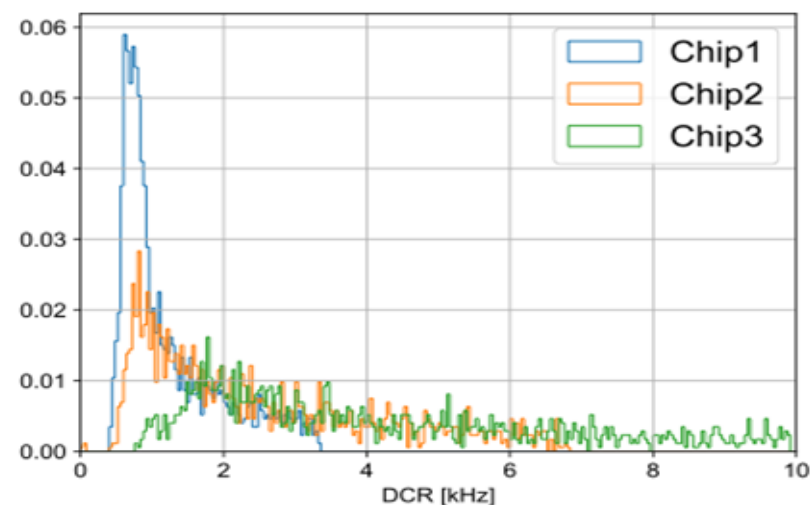
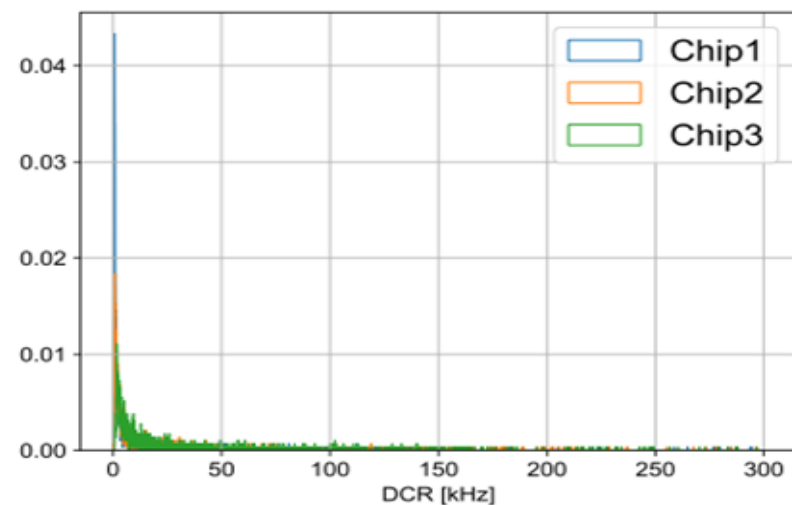
(b)

Quick readout circuits for short time-window

Measurement of Dark Count Rate (DCR) distribution



	Chip1	Chip2	Chip3
Area [μm^2]	144	196	256
Perimeter[μm]	24	28	32
Mean[kHz]	12	19	27
Median[kHz]	2	4	9
Max[kHz]	444	591	966



Measurement of photon detection probability (PDP)

Photon detection probability (PDP):

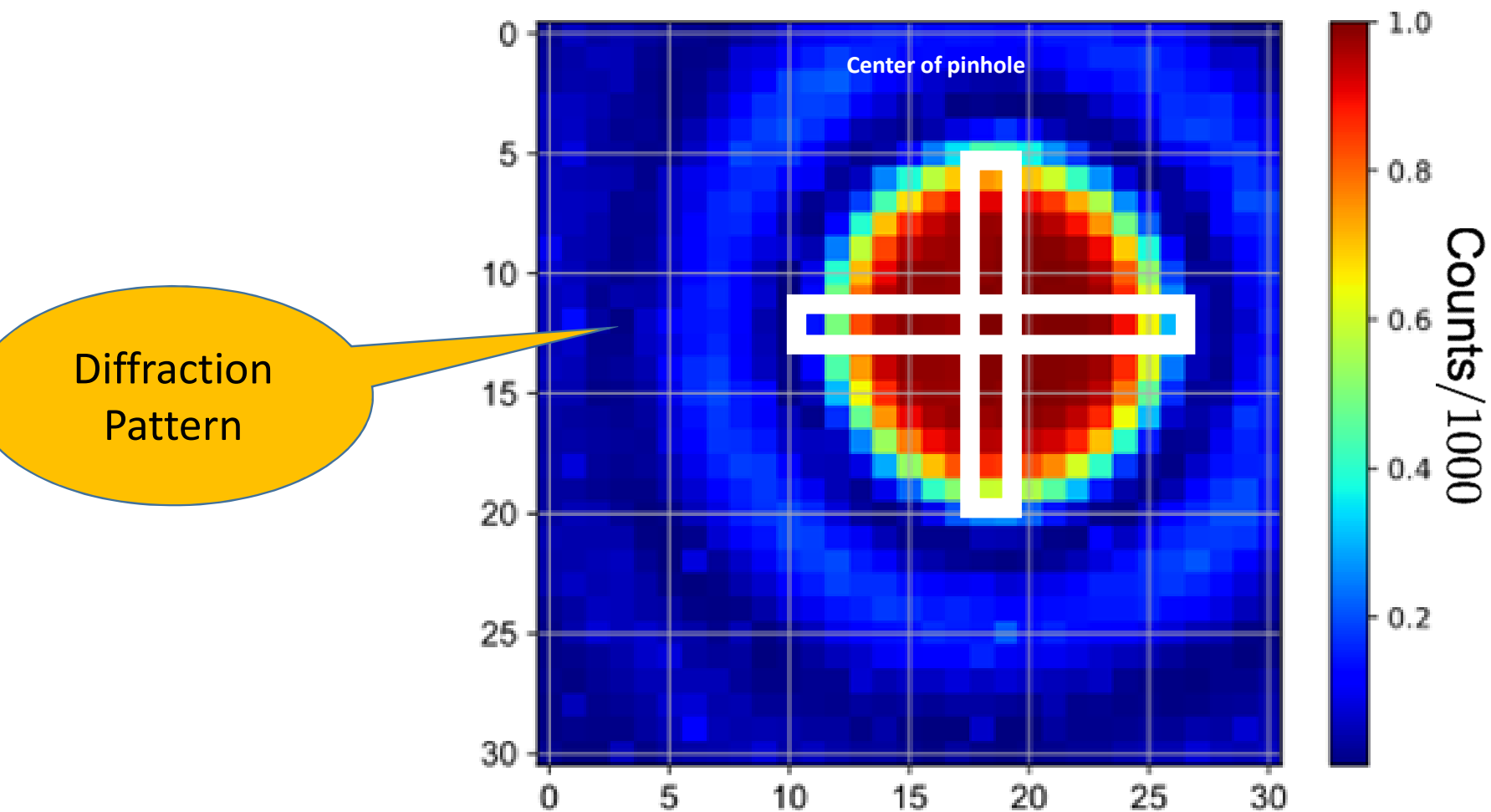
Probability that one single incident photon triggers breakdown.

Measurement procedure:

- Put a 25 um pinhole in front of SPAD imager socket.
- Activate a pulsed laser after the global reset.
- Record the first frame after the laser pulse.
- Repeat the measurement 1000 times → **Counts**
- Calculate the # of incident photos/pixels → **N**
- Take into account the probability of the dark counts → **P_{DC}**

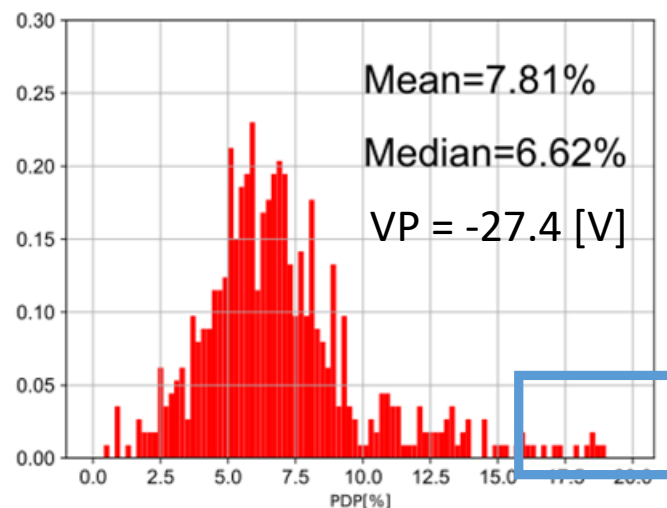
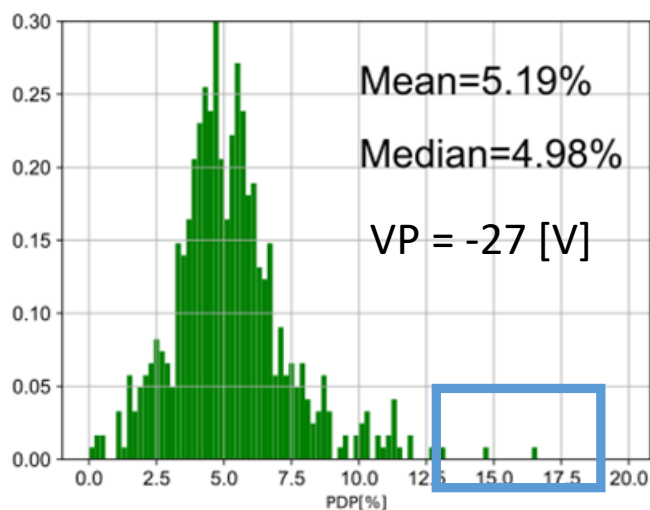
$$\frac{\text{Counts}}{1000} = 1 - (1 - PDP)^N (1 - P_{DC})$$
$$PDP = 1 - \left(\frac{1 - P_{BR}}{1 - P_{DC}} \right)^{\frac{1}{N}}$$

Pinhole matching for calculating detracted photon map



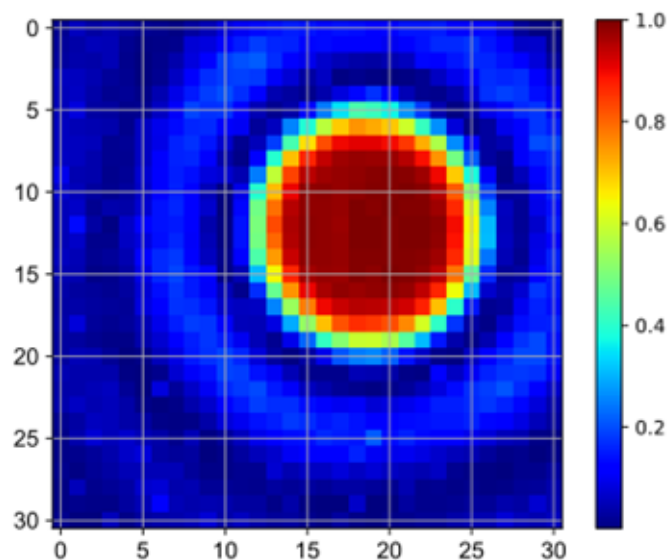
Quick readout circuits for short time-window

PDP distribution calculated from measurement results

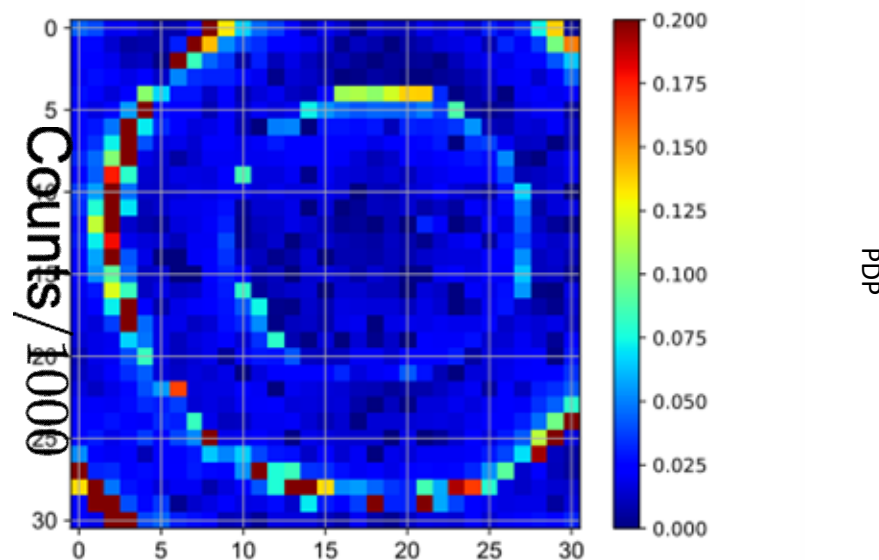


- $PDP = (\text{Quantum efficiency}) \times (\text{Breakdown probability})$
- Higher over-bias voltage \rightarrow higher PDP
- There are a few
- False pixels with very high PDP

PDP distribution



Captured image



Calculated PDP mapping

False pixels with very high PDP;
located at the ring zones of low defracted photons

Duty ratio: photon-sensitive-time/total-time

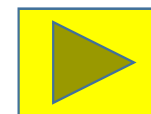
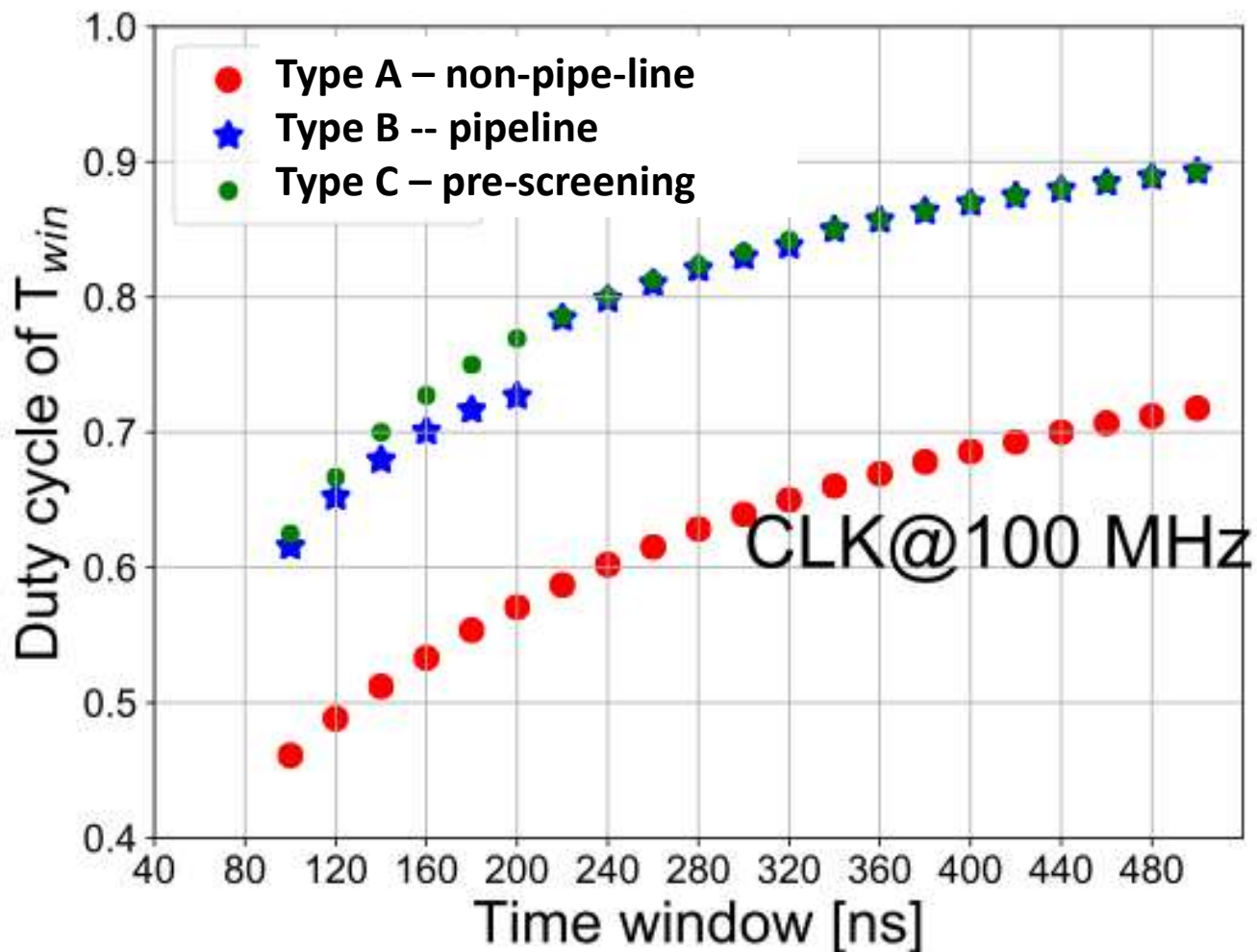


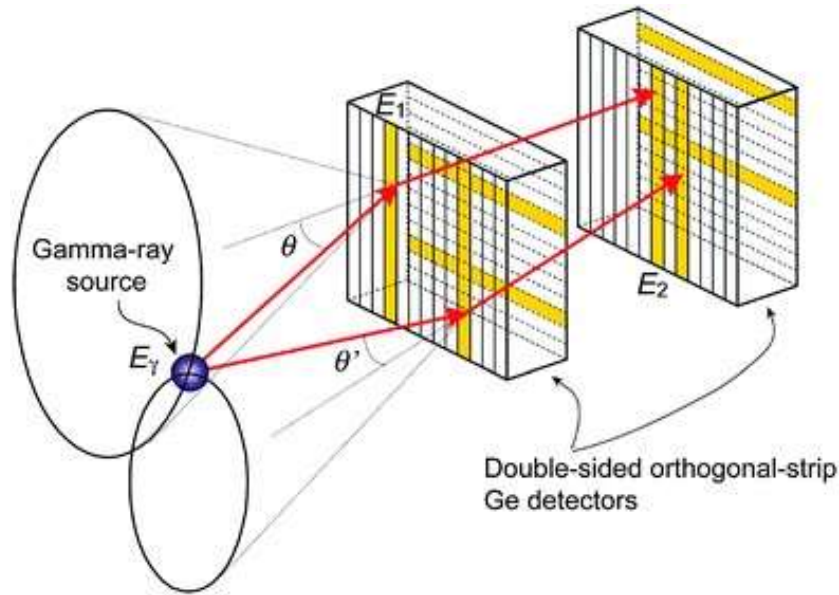
Table of Contents [skip]

1. SPAD structures, a typical pixel circuit and characteristics
2. Quick readout circuits for short time-window
3. Possible application :
High resolution method of scintillation light position
- Simulation study -

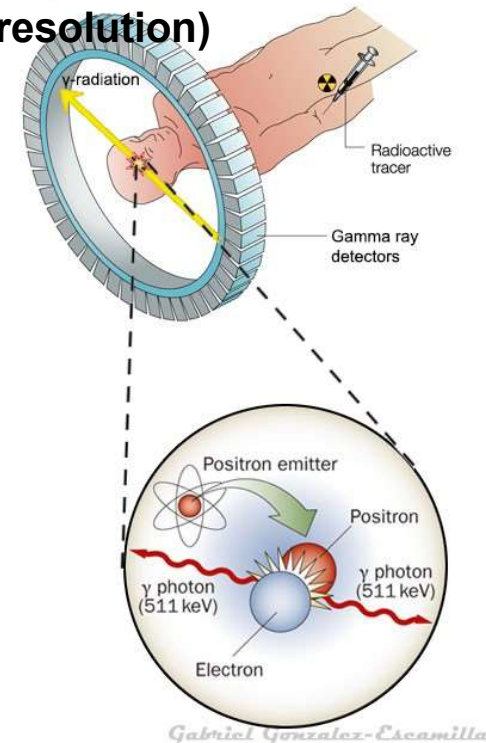
High resolution method of scintillation light position

Requirements for Scintillation Detector

Principles of Compton Camera and PET are different. However both need precise estimation for scintillation point (spatial resolution)



Principle for Compton Camera^[4]



Principle for PET^[5]

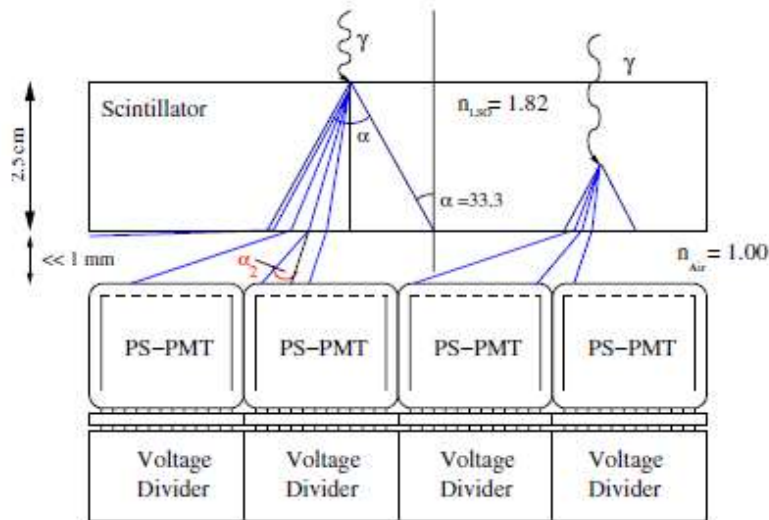
[4] : [Online] URL: <http://pubs.rsc.org/is/content/articlehtml/2008/ja/b802964d>

[5] : [Online] URL: <https://multimodalneuroimaging.wordpress.com/2015/04/28/c-positron-emission-tomography/>

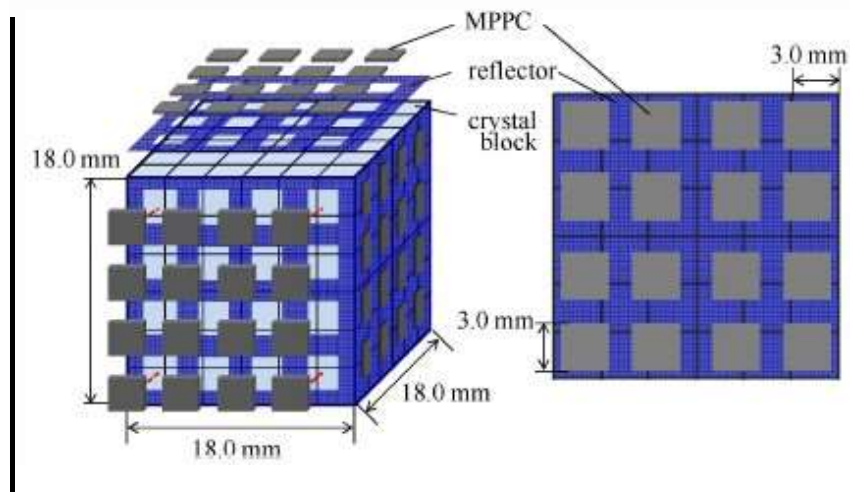
High resolution method of scintillation light position

Known methods

Two methods for raising the spatial resolution of scintillation detector



(1) Space resolution : $\sim 1\text{mm}$ ^[6]



(2) Space resolution : $\sim 300\mu\text{m}$ ^[7]

Space resolution requirement for medical application $\rightarrow 10 \sim 100 \mu\text{m}$

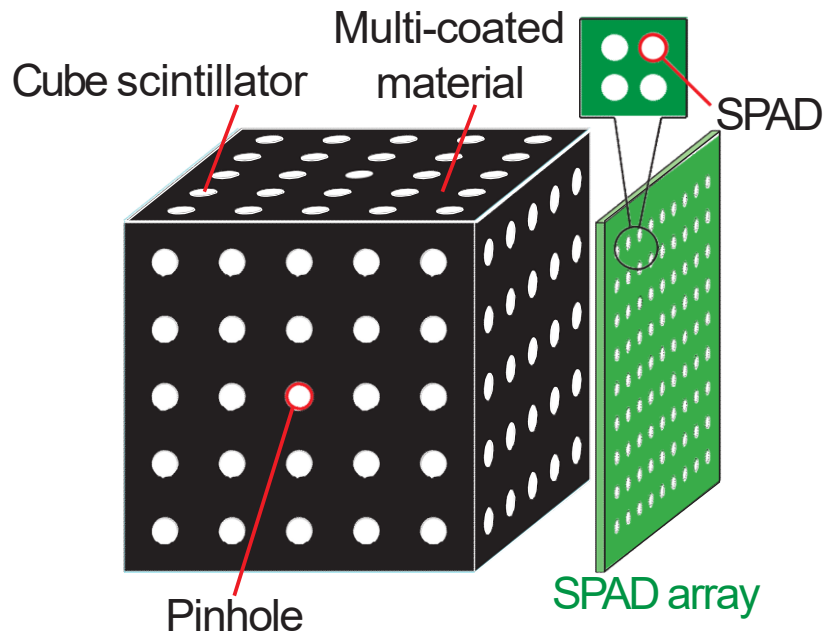
[6] : N. Uhlmann et al. , "3D-Position-Sensitive Compact Scintillation Detector as Absorber for a Compton-Camera," *IEEE Trans. Nucl. Sci.* , Vol. 52, No.3, Jun.2005.

[7] : T. Matsumoto et al. , "Simulation Study to Optimize the Number of Photo-detector Faces and Inter-crystal Materials for the X'tal Cube PET Detector," 2012 *IEEE*

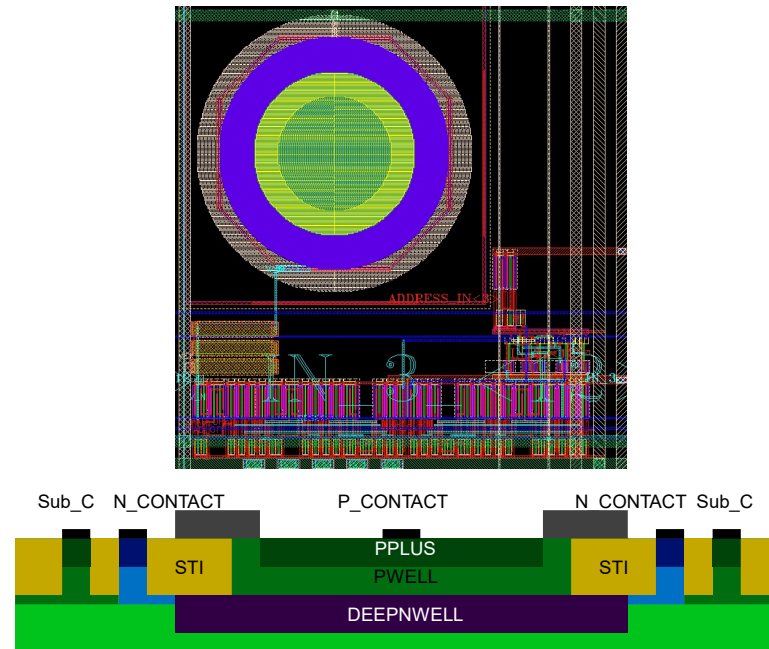
High resolution method of scintillation light position

Our proposal for Scintillation Detector

Scintillation detector of SPAD & scintillator covered by multi-pinholes



Structure of proposed scintillation detector

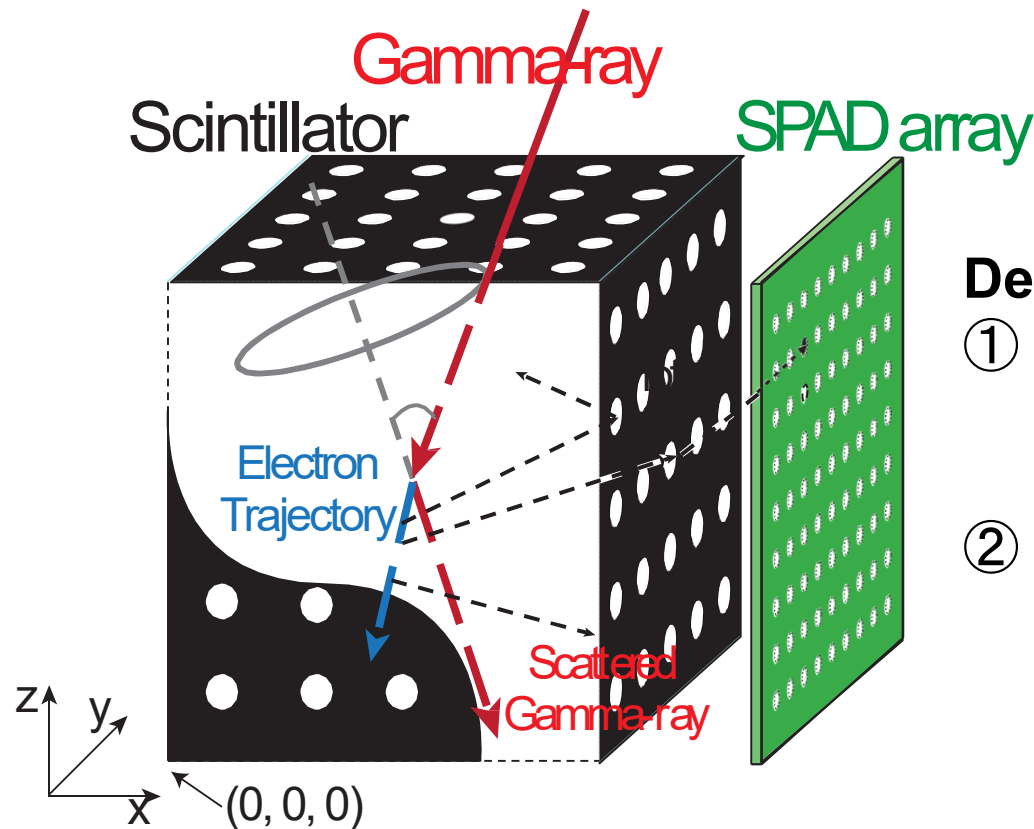


Structure of SPAD^[8]

X.Yang et al., "A 15×15 single photon avalanche diode sensor featuring breakdown pixels extraction architecture for efficient data readout" (preprint), Journal of Applied Physics, Volume 55, Number 46

High resolution method of scintillation light position

Principle of Light source position detection



Detection Method

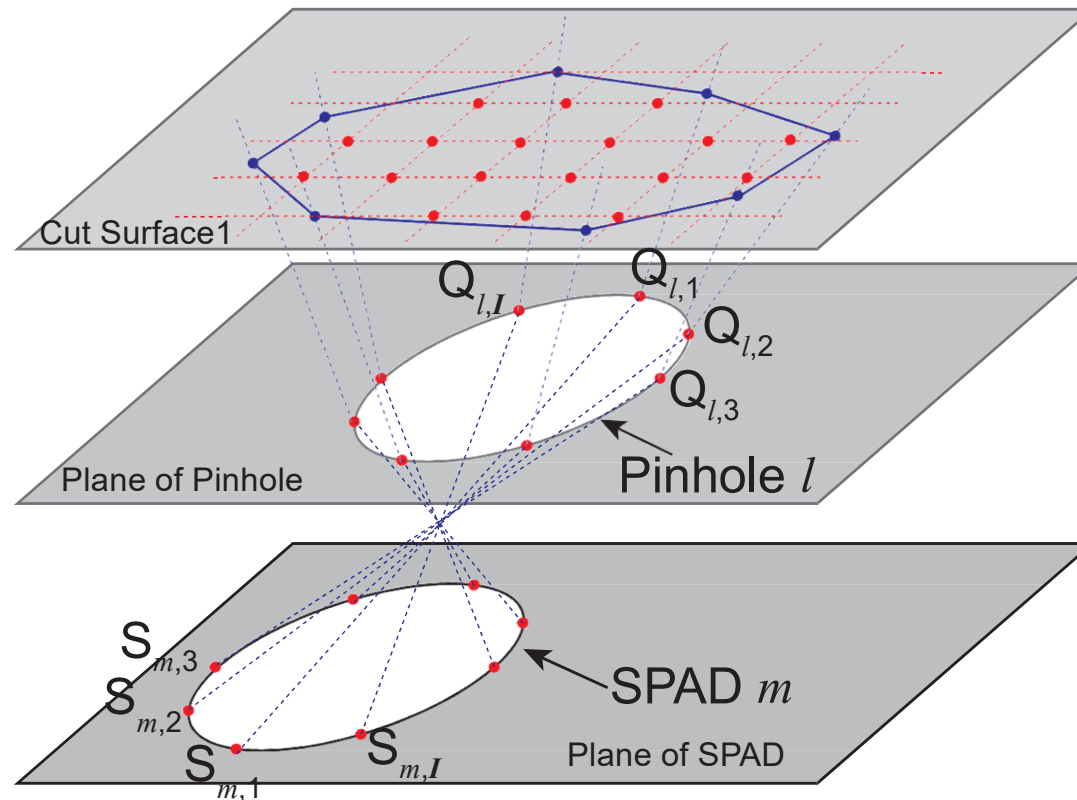
- ① Calculating area probability of light source for each SPAD (store it in table)
- ② Calculating the total probability of light source for all the active SPADs

**Detection method by proposed
scintillation detector**

High resolution method of scintillation light position

Step1: Calculating area probability for each SPAD

When a SPAD breakdowns, the area inside scintillator can be divided into 2 parts: ① the light source can exist ② the light source can **not** exist

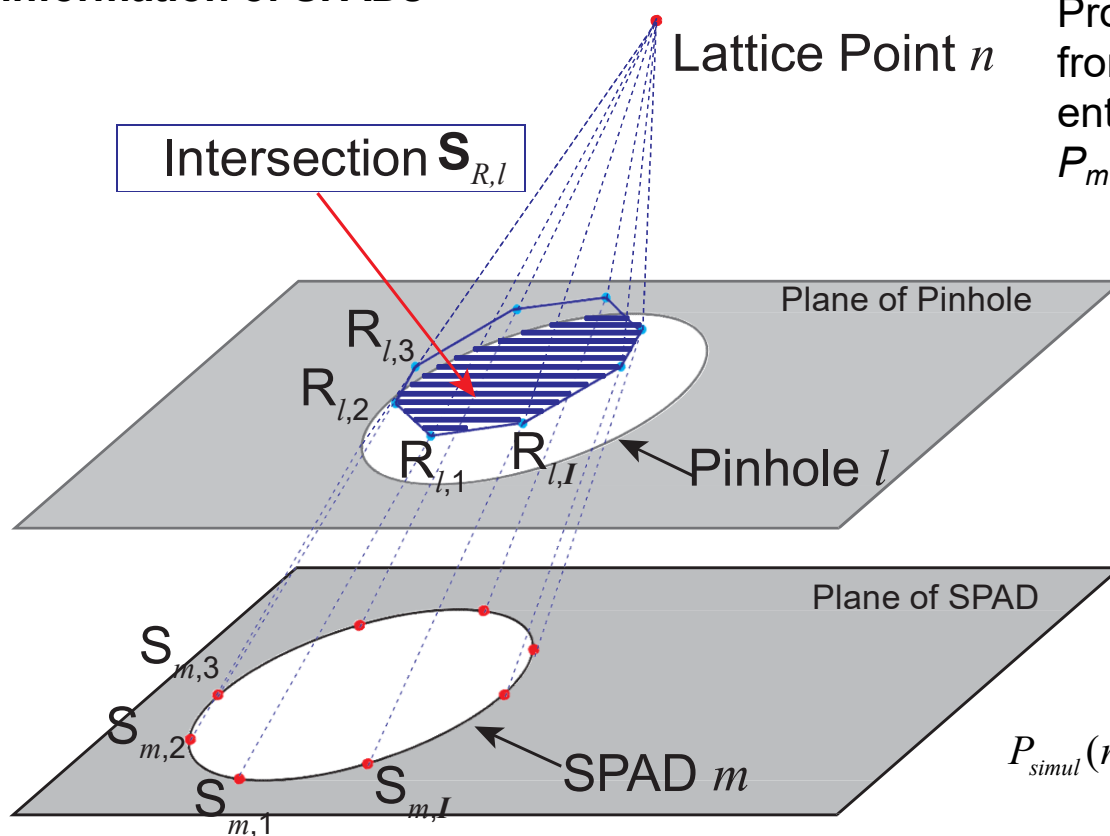


Pattern diagram of calculating the possible light source area

High resolution method of scintillation light position

Step2: Calculating the total probability

Position of light source can be estimated based on the breakdown information of SPADs



Probability that photon from n -th lattice point enter m -th SPAD
 $P_m(n) \propto$ solid angle

Probability that multiple SPADs $m'=1,2,\dots,M'$ simultaneously breakdown due to the photon from the n -th lattice point
 $P_{simul}(n)$ is calculated as

$$P_{simul}(n) = \frac{\prod_{m'=1}^{M'} P_{m'}(n)}{\sum_{n=1}^N (\prod_{m'=1}^{M'} P_{m'}(n))}$$

Pattern diagram of light source estimation method

High resolution method of scintillation light position

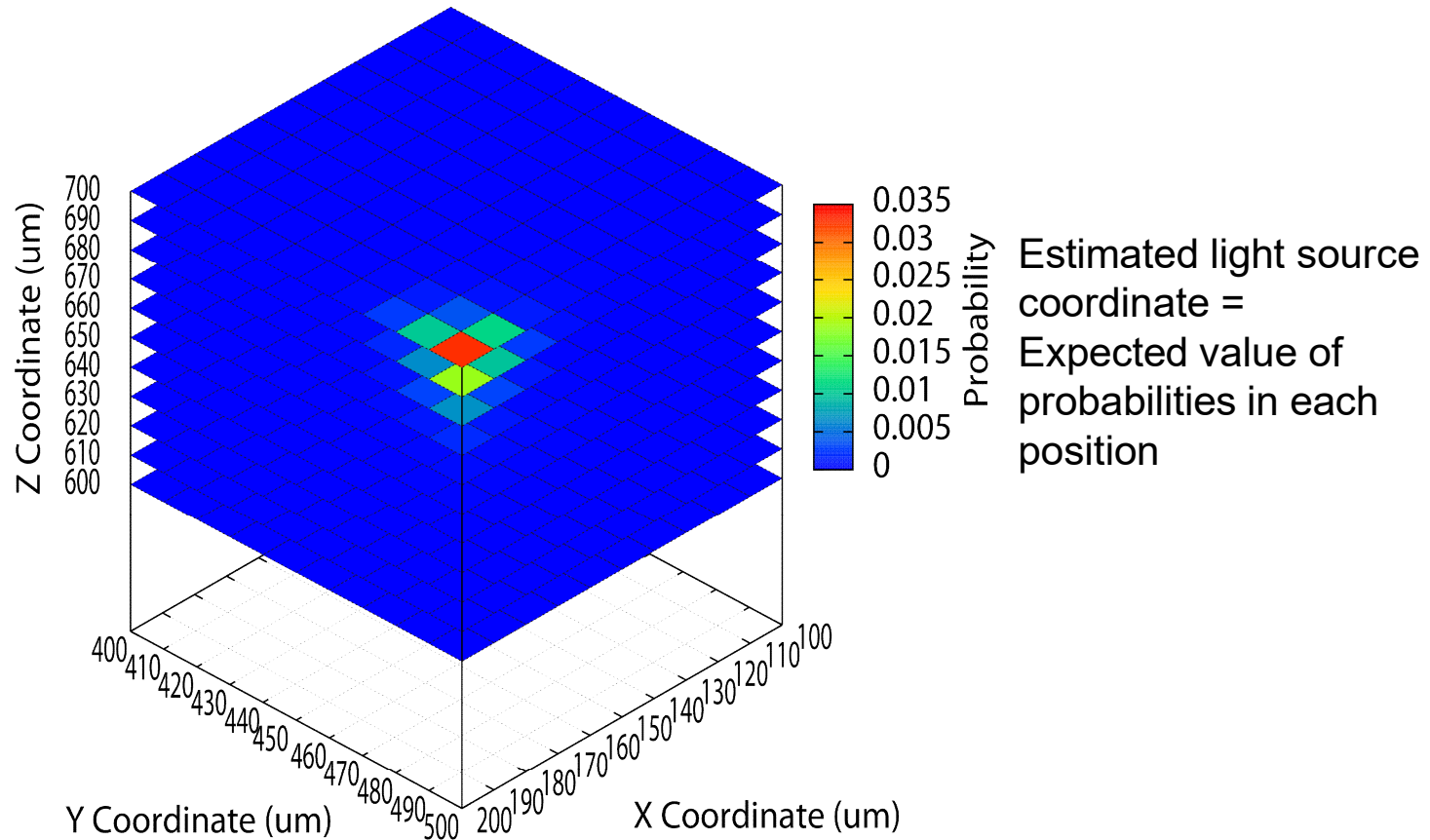
Simulation parameters

- ① Simulate point light source using Monte Carlo Method at particular position
- ② Estimate light source position based on proposed methodology
- ③ Evaluate the methodology from the result

No	Parameter Name	Value
1	Diameter of Pinhole	40 um
2	Interval between pinholes	100 um
3	Diameter of SPAD	10 um
4	Interval between SPADs	40 um
5	Interval between SPAD array and Pinhole array	500 um
6	Size of scintillator cube	1 mm
7	Number of generated photons	20000
8	SPAD detection efficiency	20%
9	Error rate of SPAD by dark count	0.2%
10	Position of simulated light source	(200um, 500um, 700um)

High resolution method of scintillation light position

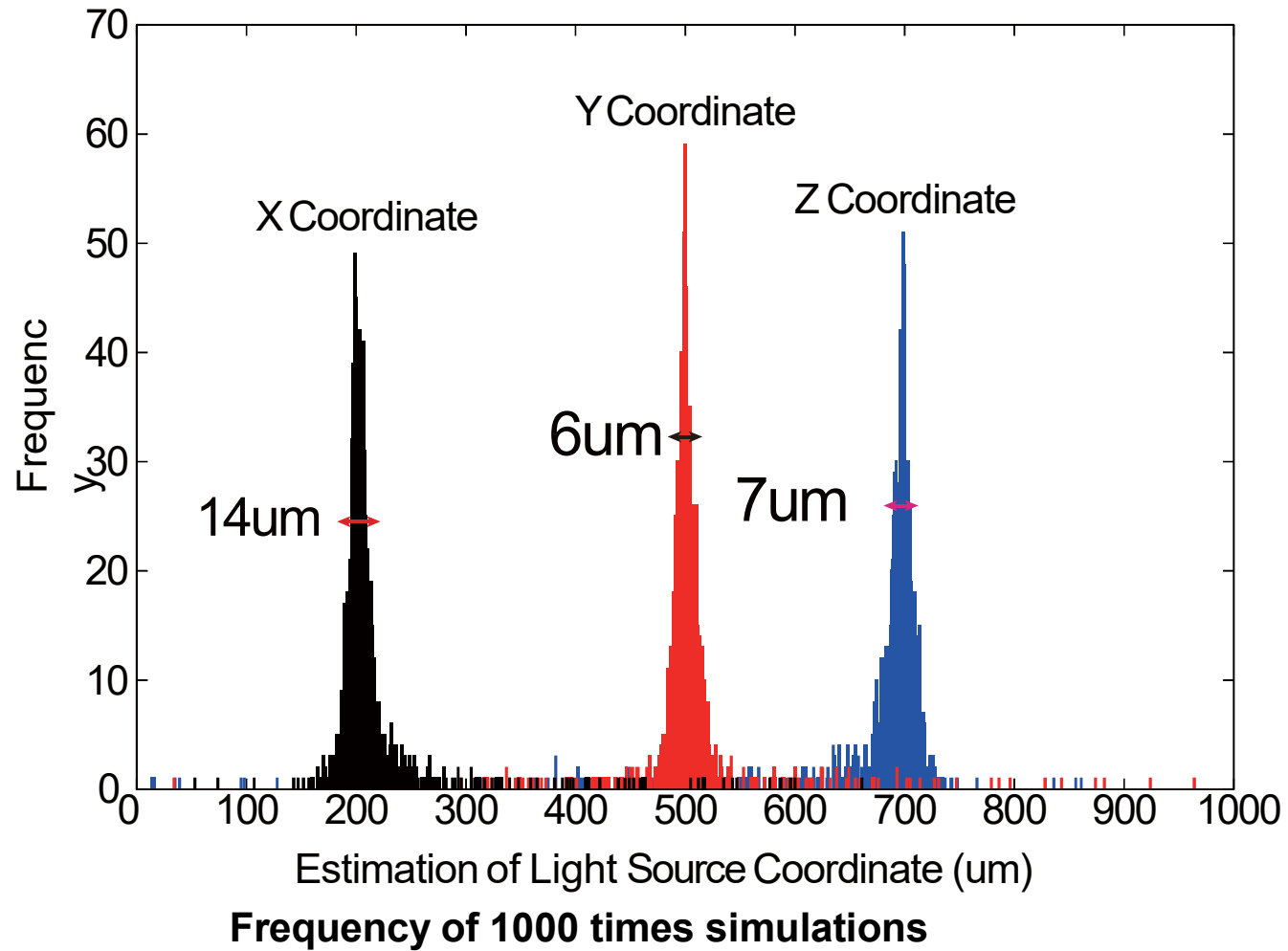
Simulation Results ①



**Probability of lattice point
around light sources**

High resolution method of scintillation light position

Simulation Results ②



High resolution method of scintillation light position

Spatial resolution

1. This research proposed a novel type of scintillation detector and use photon reverse ray tracing method
2. Proposed scintillation detector has a spatial resolution than prior arts

Reference	[6]	[9]	[10]	Proposed
Type of Scintillation Detector	Conventional scintillation detector	PET Crystal Cube	Si/CdTe Compton Camera	Scintillator cube with pinholes
Type of Sensors	PSBPMTs	MPPCs	Double-sided Si strip detectors (DSSDs)	SPADs
Spatial resolution	1mm	300um	250um - 500um	10 - 20 um

[9] Y. Yazaki *et al.*, "Development of the X'tal Cube: A 3D Position-Sensitive Radiation Detector With All-Surface MPPC Readout," *IEEE Trans. Nucl. Sci.*, Vol.59, No.2, Apr. 2012.

[10] S.Watanabe *et al.*, "High Energy Resolution Hard X-Ray and Gamma-Ray Imagers Using CdTe Diode Devices," *IEEE Trans. Nucl. Sci.*, Vol.56, No.3, Jun. 2009.

Conclusions & Co-workers

- A quick readout method of 100-200ns/frame SPAD was demonstrated
- Characteristics of standard 0.18um CMOS SPAD imagers was reported
- A concept of single event fast SPAD imager was proposed
- A possible application of SPAD imagers for scintillation with pin-hole matrix was presented

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□ Toru Nakura (Associate professor)

□ Tetsuya Iizuka (Associate professor)

Thank you