



Geiger-mode LiDAR with InP-based SPADs: From Airborne Platforms to Driverless Cars

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Argo AI

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Overview of Argo AI



Developing Virtual Driver System for Autonomous Vehicles

Founded by Bryan Salesky and Peter Rander in late 2016

Ford investment announced in Feb 2017

2 generations of cars built with Ford

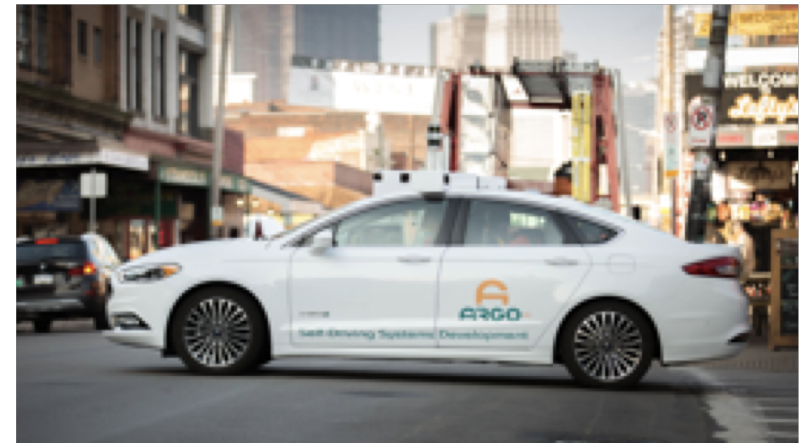
Four office locations:

Pittsburgh, Pennsylvania

Dearborn, Michigan

Mountain View, California

Cranbury, New Jersey



Acquired  in Oct 2017

In-house Geiger-mode LiDAR technology

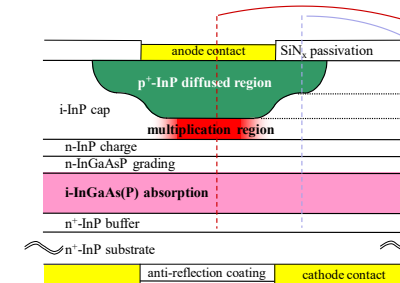


Outline



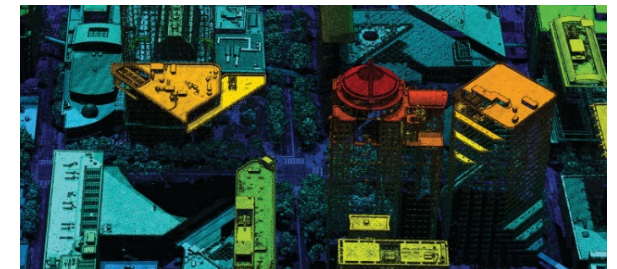
Basics of InGaAs/InP SPADs

Device performance attributes



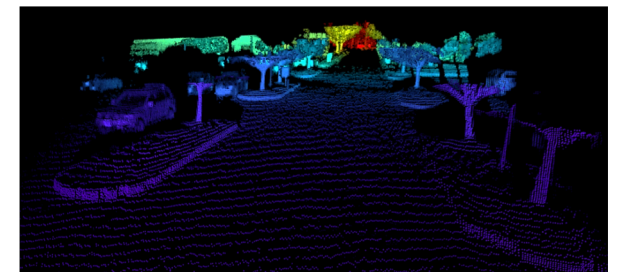
GmAPD cameras for airborne 3D LiDAR imaging

FPA integration and camera performance



GmAPDs for 3D LiDAR in autonomous vehicles

Design considerations and demonstrator performance



Why detect single photons in the SWIR?



Minimal loss in optical fiber (e.g., at 1.5 μm)

Covertness (to human vision and I² night vision goggles)

Reflective imaging (+ spectral information)

Greater eye safety for active imaging (beyond 1.4 μm)

Environmental factors

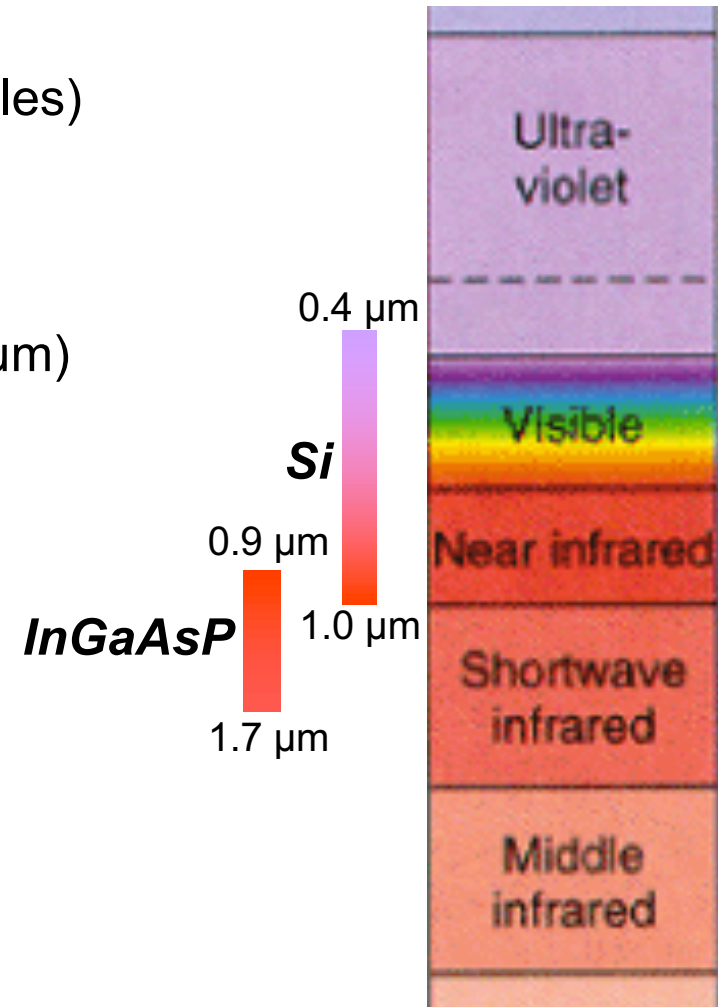
Less solar background than visible/NIR

Better atmospheric transmission

Technological factors

Maturity of pulsed laser sources (e.g., 1.06 μm , 1.5 μm)

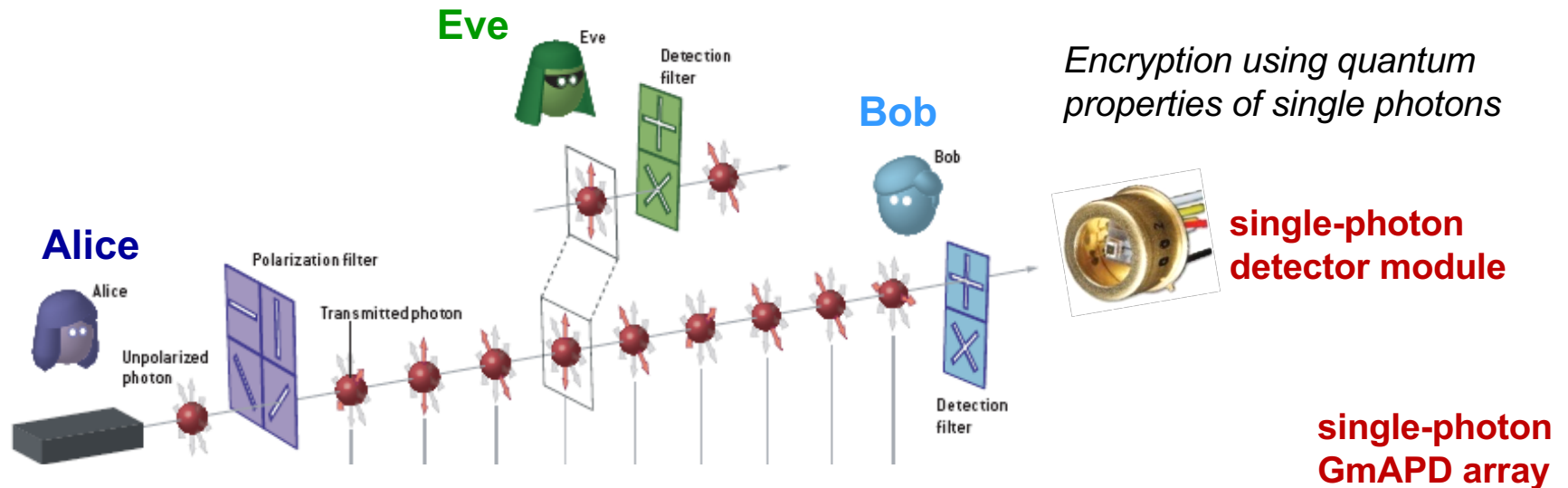
Maturity of SWIR optics (e.g., from telecom)



Single photon communications: initial driver for InGaAs/InP SPADs

Exploit quantum mechanical nature of photons

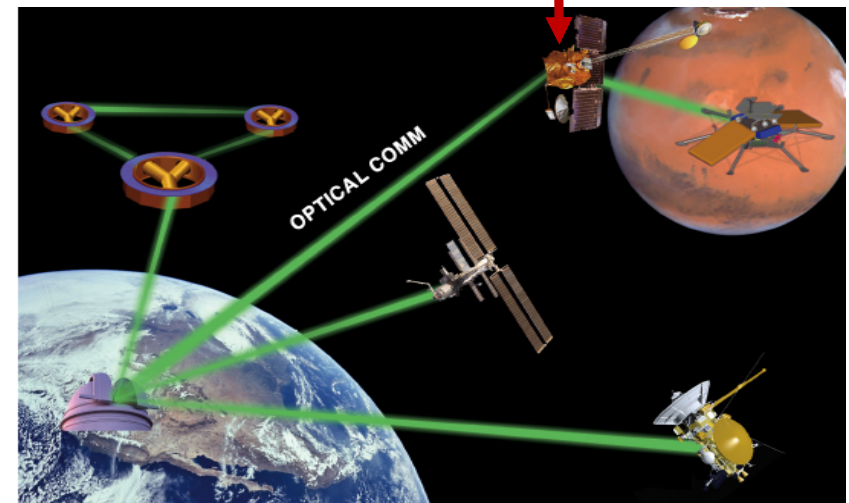
quantum information processing (e.g., quantum cryptography and computing)



Communications in photon-starved environments

free-space optical communications

*NASA/Jet Propulsion Lab
deep-space optical comm*



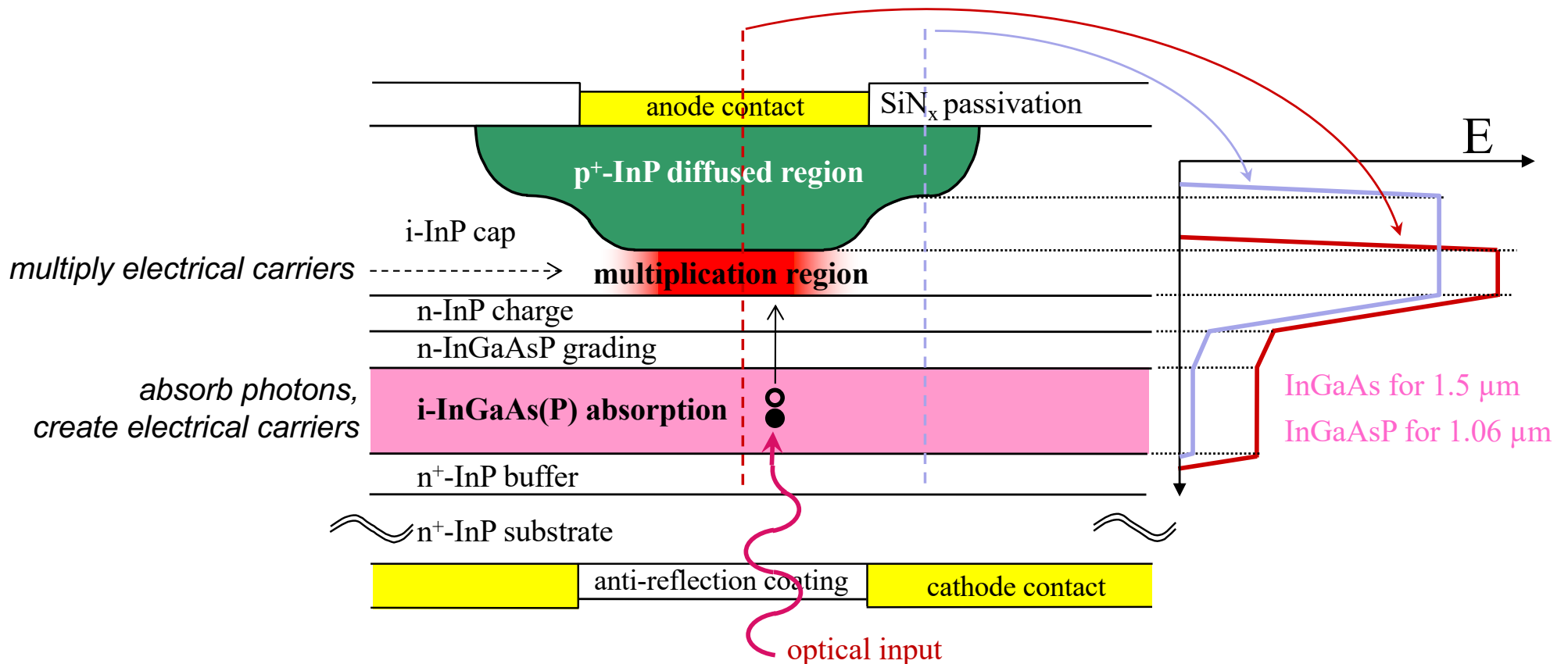
InGaAs(P) APD design for SWIR detection



Two key device regions:

Multiplication region: Create additional carriers by avalanche gain

Absorption region: Absorb photon to create electrical carrier



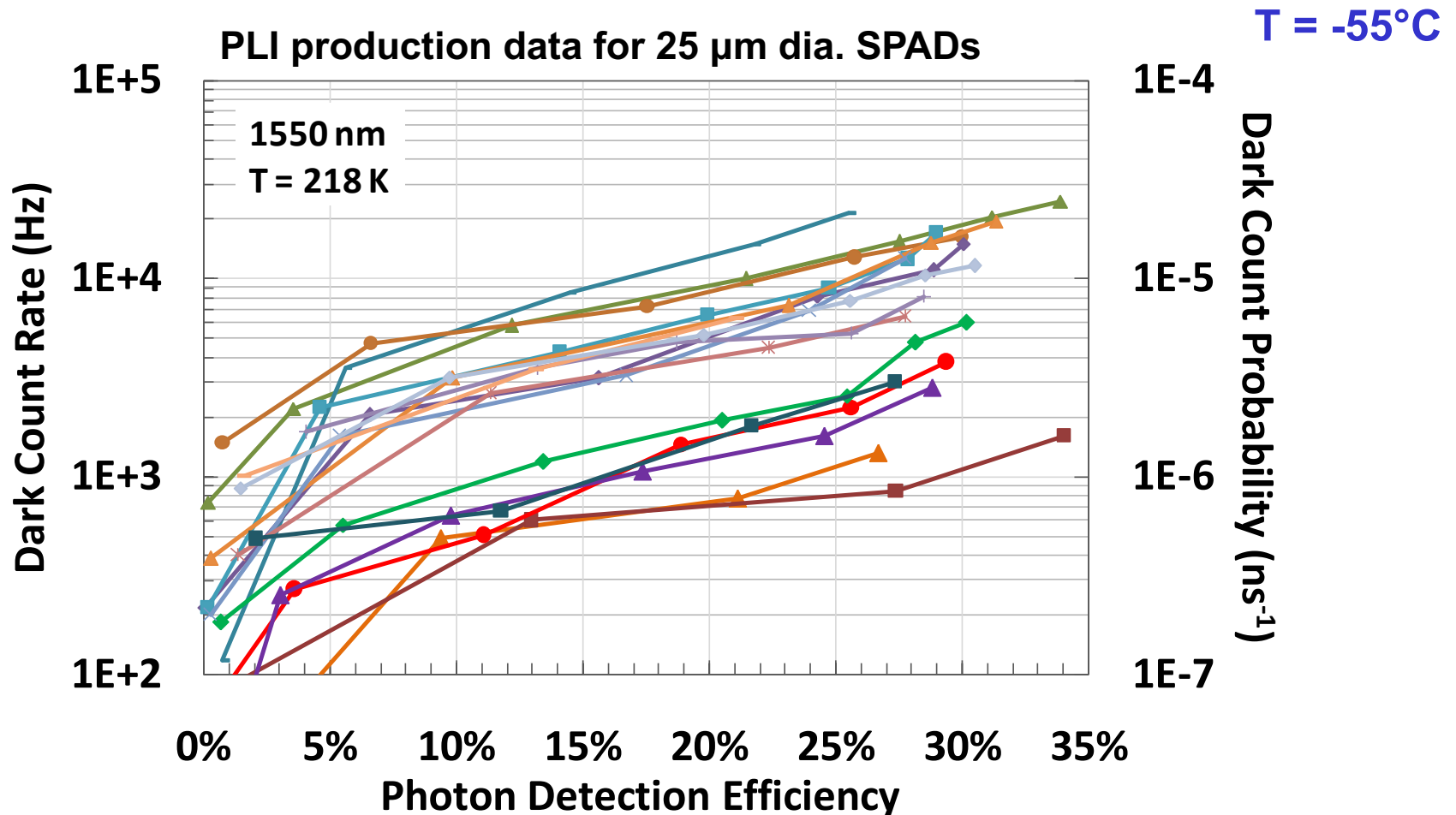
1.5 μm SPAD DCR vs. PDE Performance



Fundamental trade-off: DCR and PDE both increase with bias

State-of-the-art DCR: ~ 1 kHz at 20% PDE, ~ 2 kHz at 30% PDE

Higher PDE accessible with larger bias



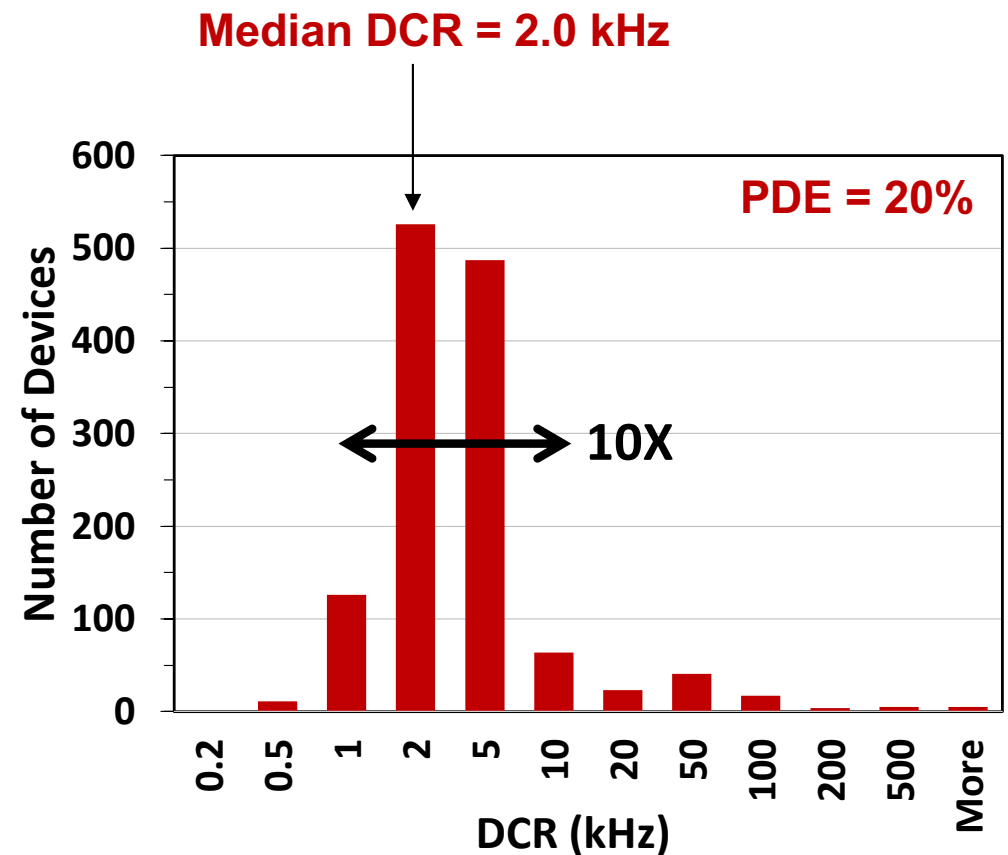
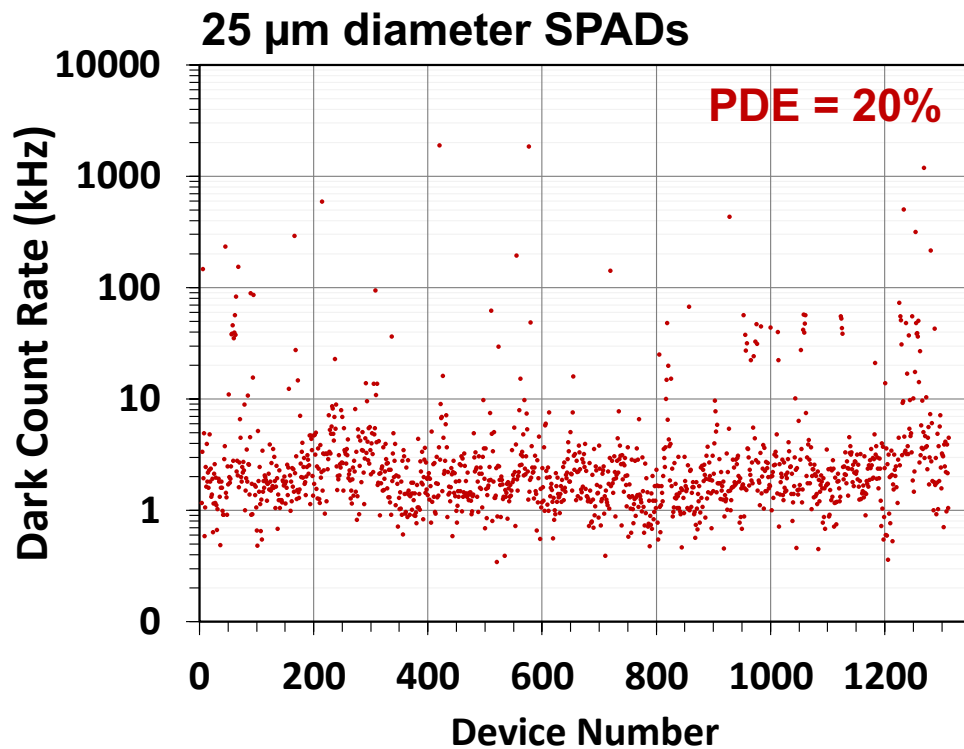
DCR performance distribution



DCR distribution for ~1300 production 1.5 μm GmAPDs at 20% PDE

~90% of devices: 1 kHz – 10 kHz

~10% of devices > 10 kHz (significant outliers)

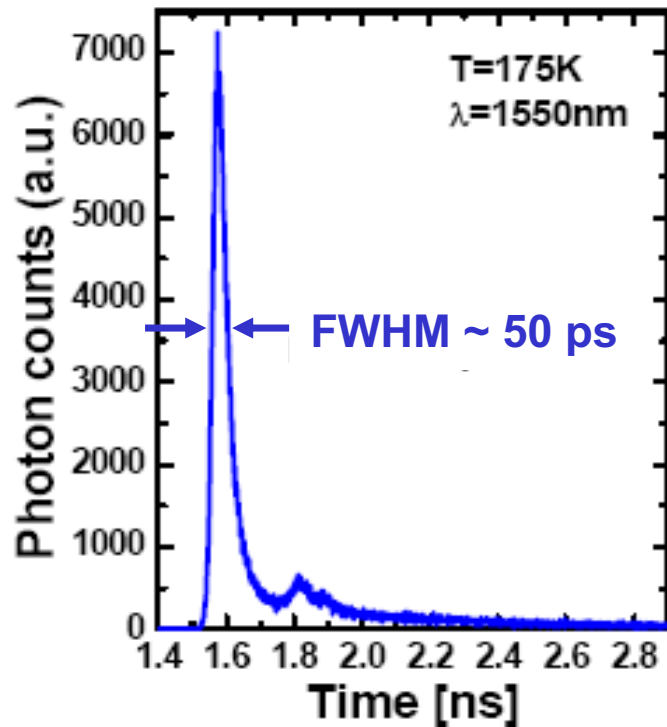
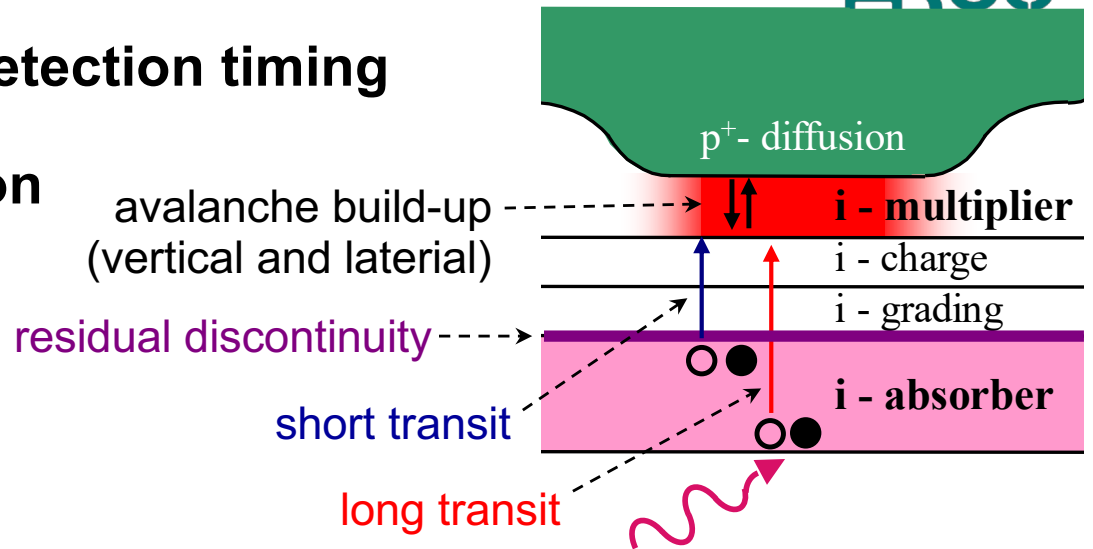


Timing Jitter

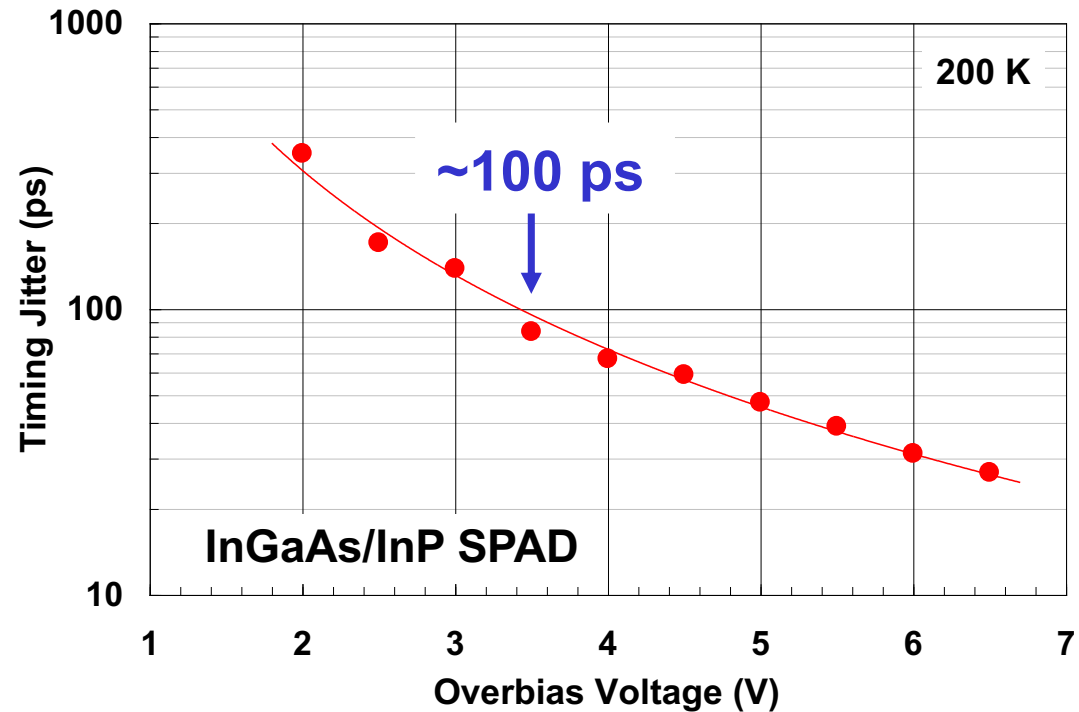
Several factors affect GmAPD detection timing

~100 ps jitter for typical operation

Jitter often circuit-limited



Zappa, Tosi, Cova, SPIE 65830E (2007)

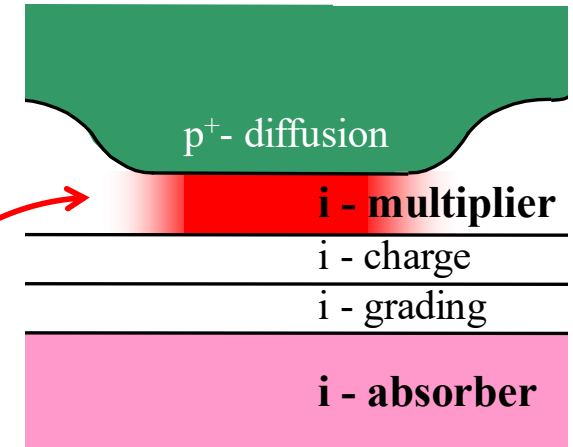
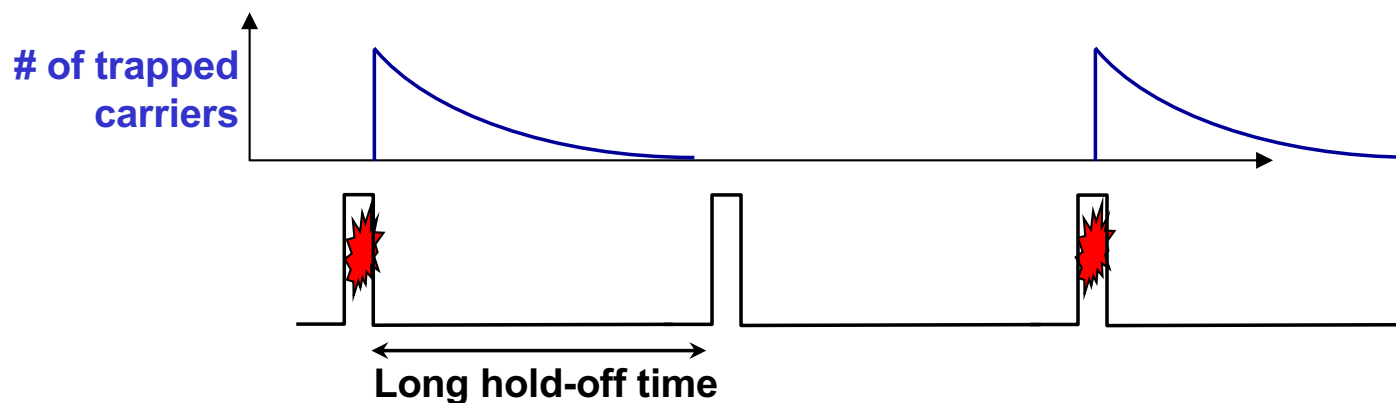
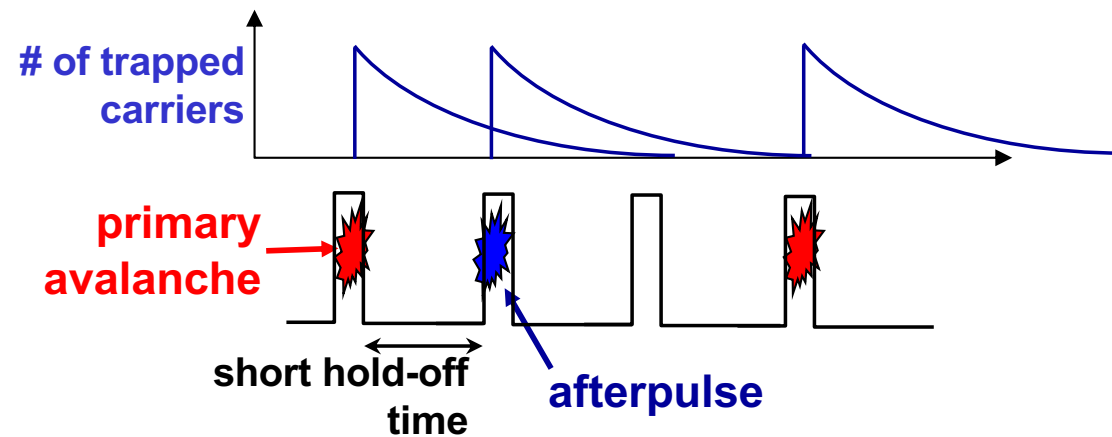


Itzler, et al., J. Modern Opt. 54, 283 (2007)

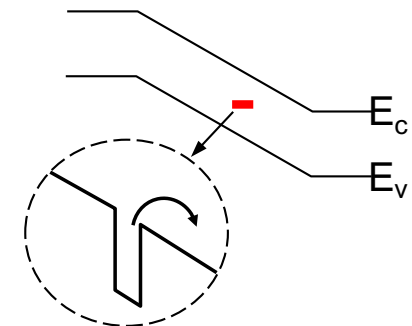
Afterpulsing and Geiger-mode reset time

Some carriers are trapped during avalanche, then de-trap at later times

Mitigate with longer hold-off (or reset) time...
...but limits APD counting rate



trap sites located in multiplication region



State-of-the-art DCR & PDE (PLI SPADs)



Univ. Geneva (H. Zbinden)

5 Hz DCR at 20% PDE

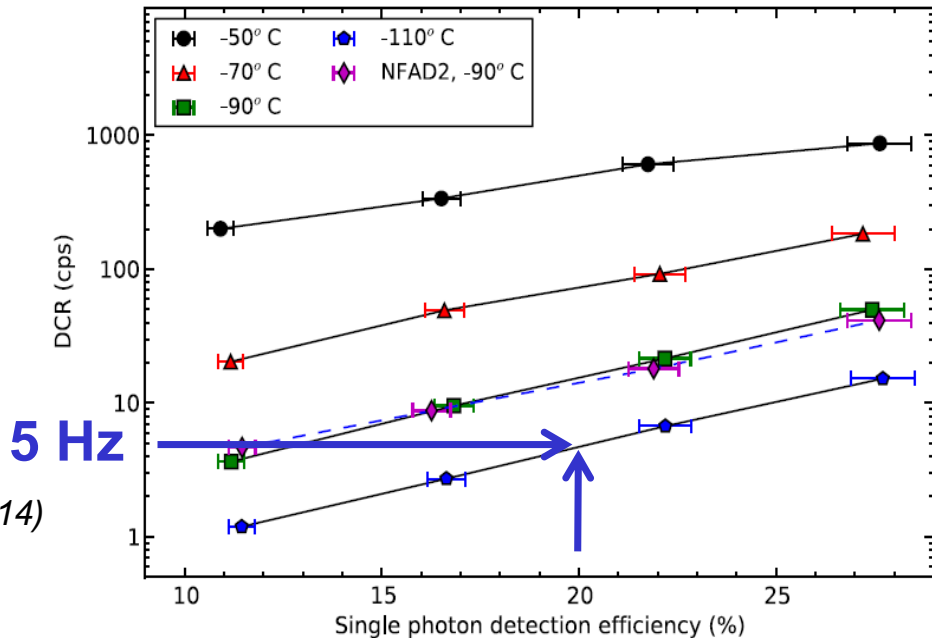
T = -110°C, 1550 nm

Low temperature

$P_{app} \sim 2\%$ (20 μ s deadtime)

Free-running (negative feedback APD)

Korz, et al., APL 104, 081108 (2014)



Toshiba / Cambridge, UK

55% PDE (at ~800 kHz)

T = 20°C, 1550 nm

High temperature

$P_d \sim 3 \times 10^4$ per 360 ps gate

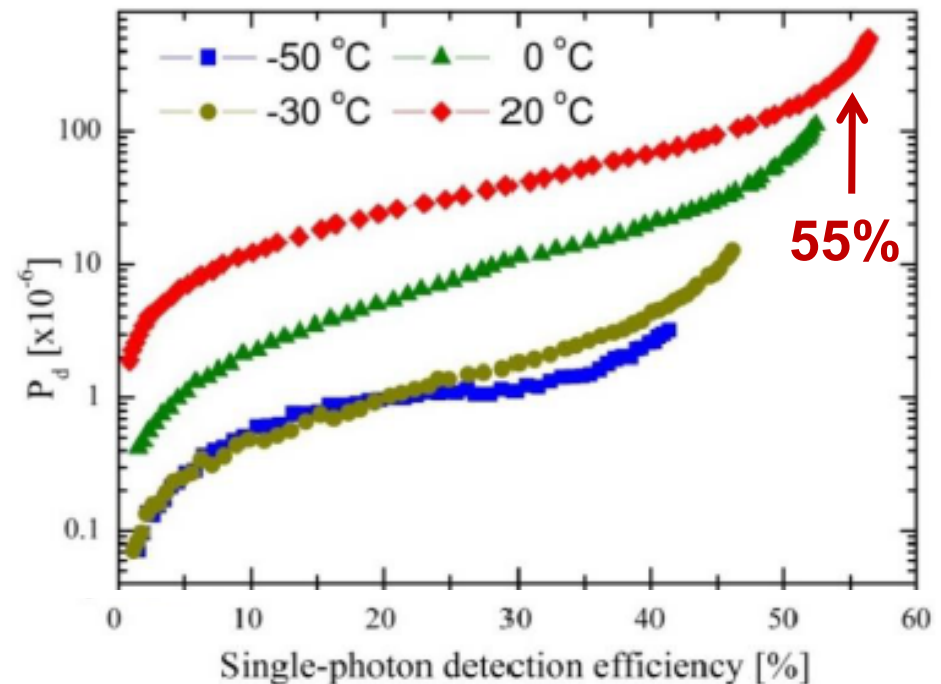
$P_{app} \sim 15\%$

~90 ps jitter

Self-differencing with 1 GHz gating

Maximum count rate of 500 MHz

Comandar, et al., JAP 117, 083109 (2015)



Silicon vs InGaAs/InP SPAD performance



Compare underlying material properties of Si and InGaAs/InP SPADs

Remove role of bandgap → compare at T for which $E_g/2kT$ is equivalent

TOPICAL REVIEW

Advances in InGaAsP-based avalanche diode single photon detectors

Mark A. Itzler^{a*}, Xudong Jiang^a, Mark Entwistle^a, Krystyna Slomkowski^a,
Alberto Tosi^b, Fabio Acerbi^b, Franco Zappa^b and Sergio Cova^b

^aPrinceton Lightwave Inc., 2555 US Route 130 S., Cranbury, NJ 08512, USA; ^bDipartimento di Eletttronica e Informazione, Politecnico di Milano, Milan I-20133, Italy

Journal of Modern Optics

Vol. 58, Nos. 3–4, 10–20 February 2011, 174–200

Table 1. Comparison of state-of-the-art performance for Si and InGaAs/InP SPADs.

	Si ^a	InGaAs/InP
Temperature	20°C	−70°C
Active region diameter		50 μm
Wavelength	400–800 nm	1000–1600 nm
DCR and PDE ^b	10 kHz at 60%	–
	2 kHz at 40%	10 kHz at 40%
	0.5 kHz at 20%	2 kHz at 20%
	–	1 kHz at 10%
Min hold-off for 1% afterpulsing ^c	~10 ns	~100 ns
Jitter (FWHM)	30–50 ps	50–100 ps

Progress on absolute performance in InGaAs/InP using smaller active regions

DCR: ~5X superiority in material properties for Silicon

Afterpulsing: ~10X superiority in material properties for Silicon

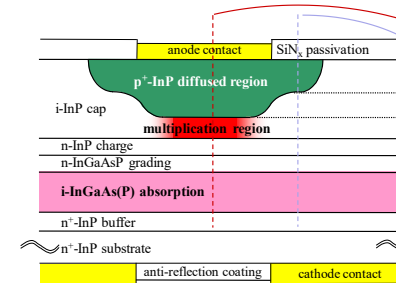
^aSi SPAD performance corresponds to thin Si SPAD structures as in [75]. ^bSi PDE values are cited for 550 nm, for which the highest Si PDE is obtained. ^cAssumes 20% PDE and free-running operation with fast active quenching of a few ns.

Outline



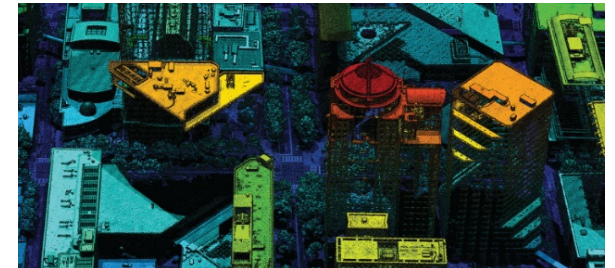
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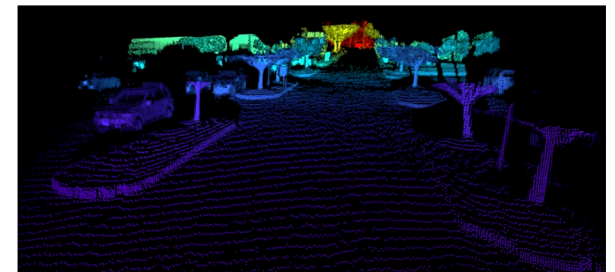
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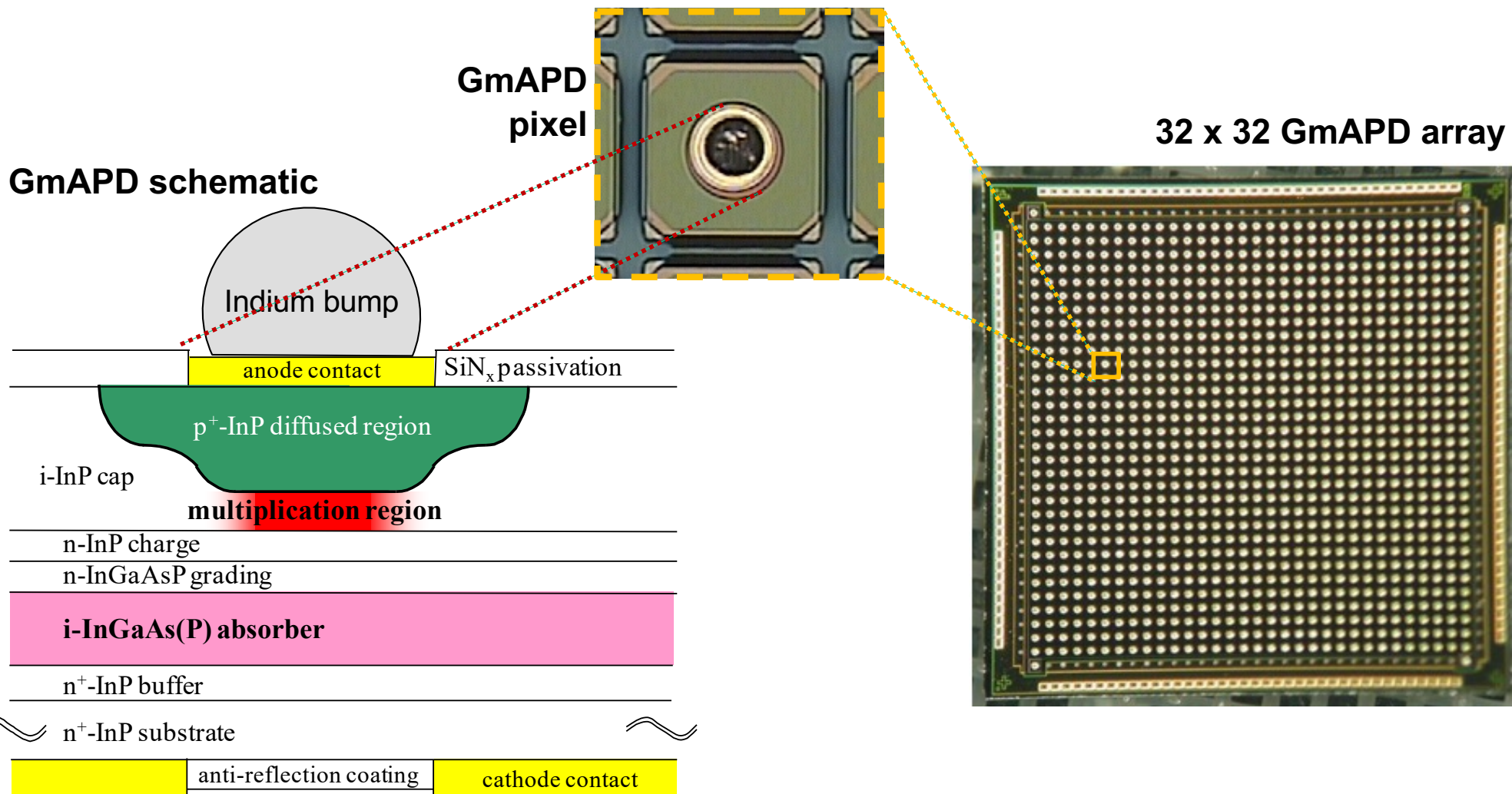
Geiger-mode APD detector array design



APDs scalable to large-format arrays → semiconductor scaling

GmAPD in every pixel

100 μm pixel pitch

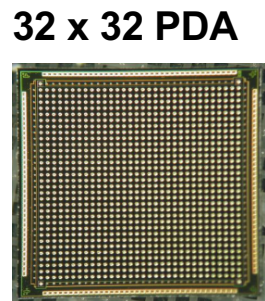
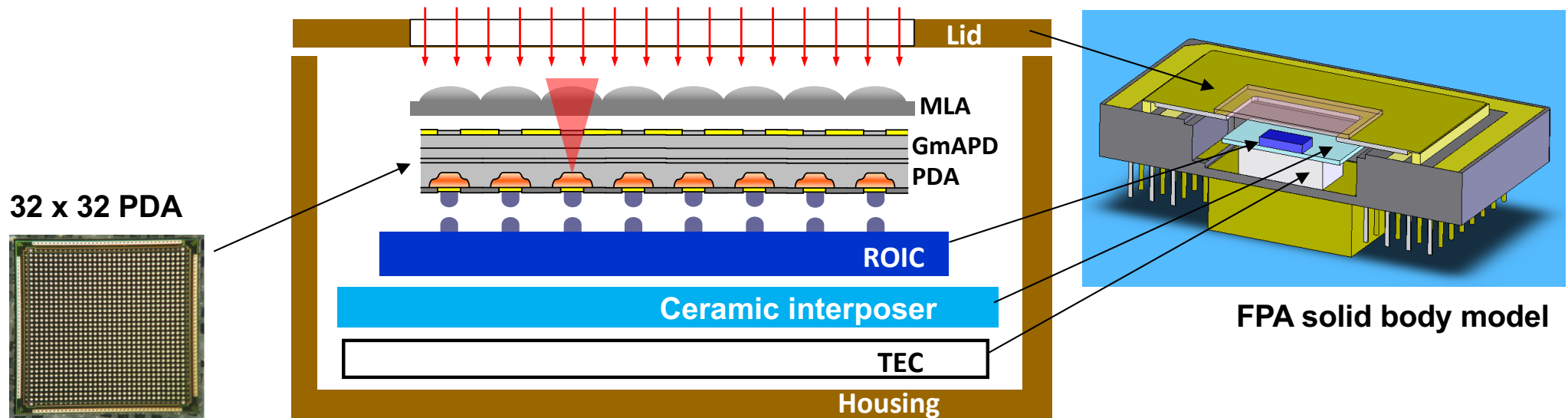


Focal plane array integration: 32 x 32



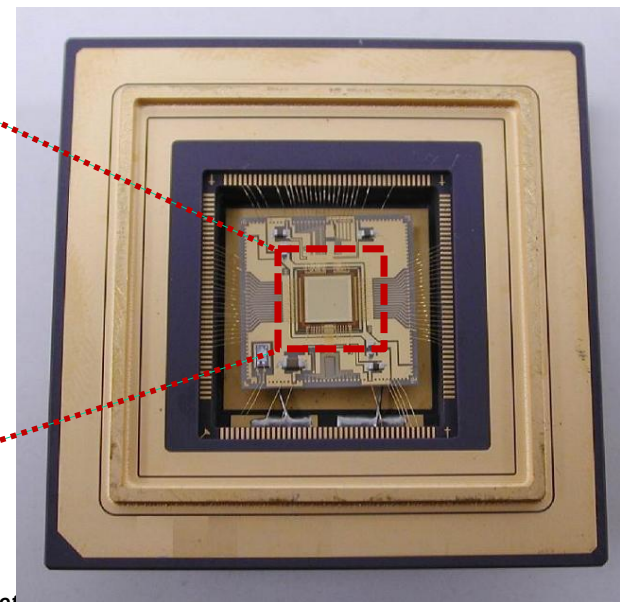
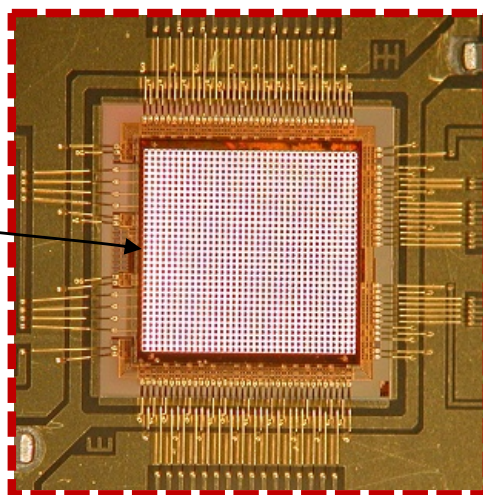
Focal Plane Array (FPA) integration of three semiconductor chips:

InP Photodiode array (PDA), GaP Microlens array (MLA) and CMOS Readout Integrated Circuit (ROIC)



100 μm pitch

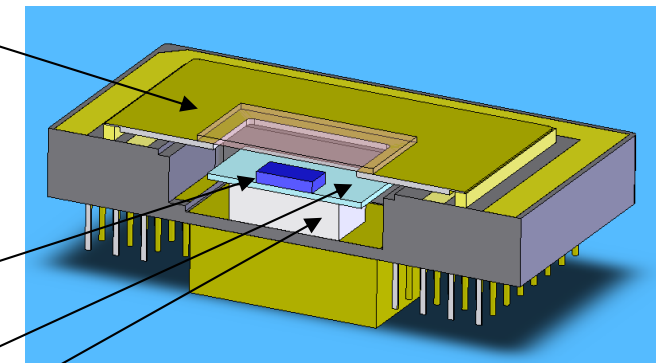
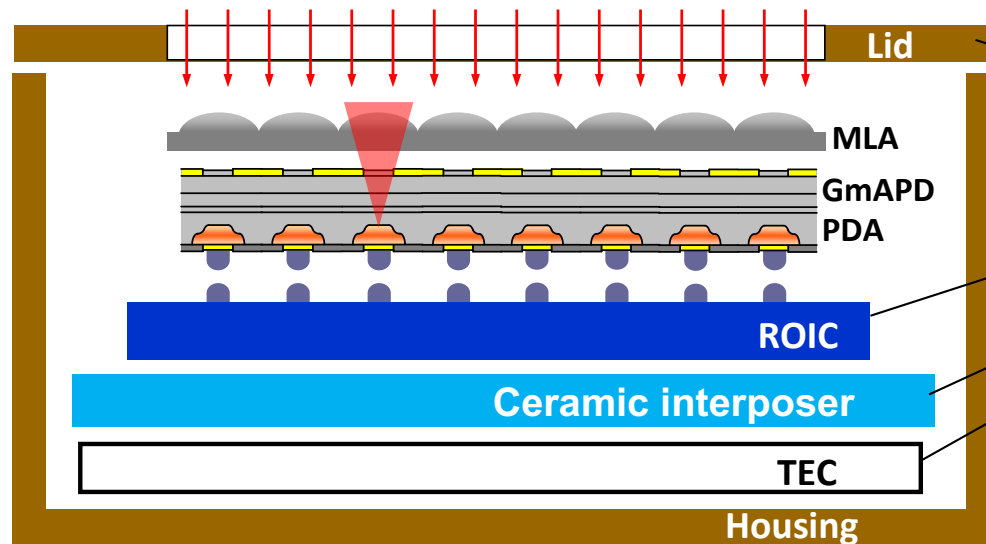
FPA chip stack on interposer
Microlens array (MLA) on top



Full FPA assembly without lid

Focal plane array integration: 128 x 32

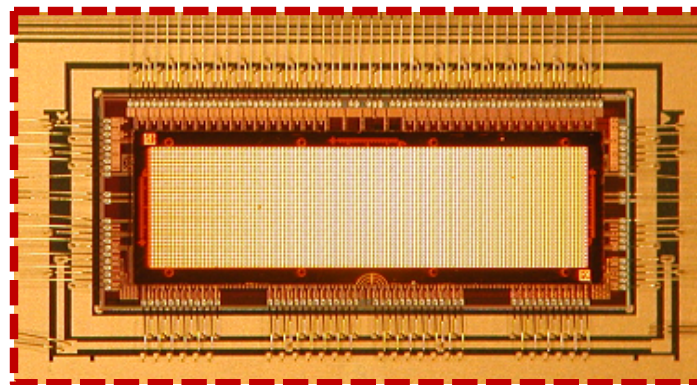
Same FPA assembly platform for 128 x 32 (50 μm pixel pitch)



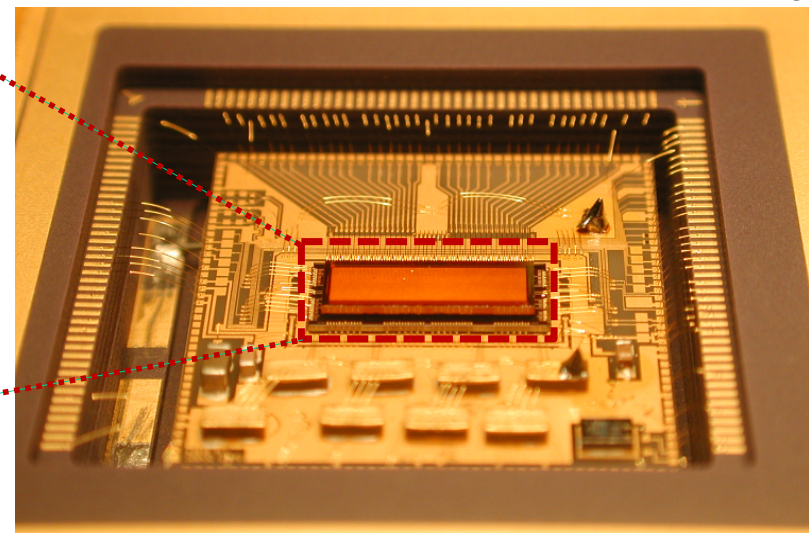
FPA solid body model

50 μm
pitch

128x32 FPA
chip stack on
interposer
Microlens array
(MLA) on top



128x32 FPA assembly



Turn-key camera-level integration



Modular three-board design (FPA, FPGA, I/O)

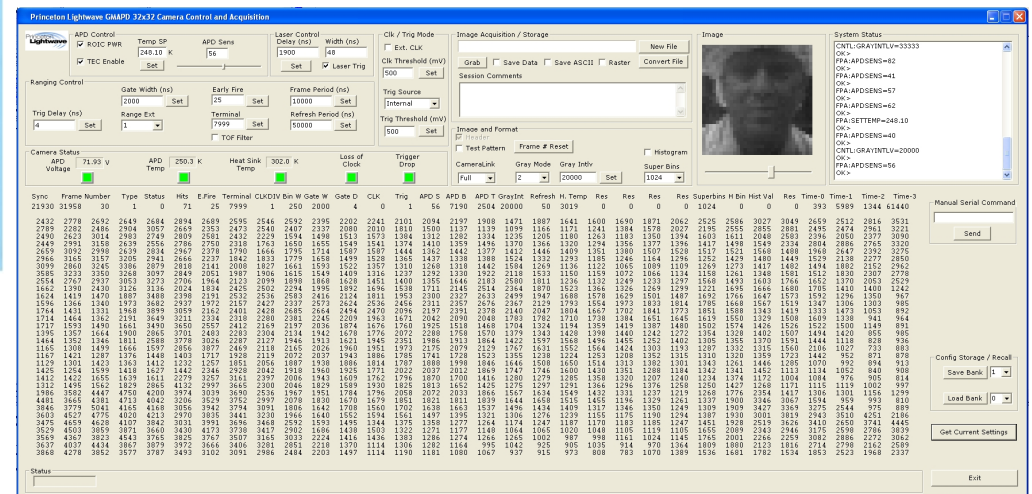
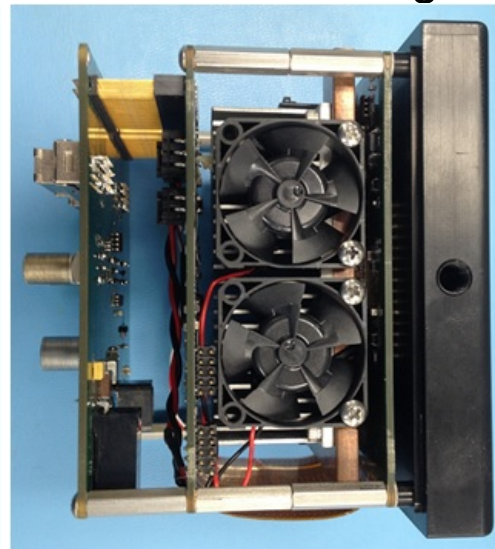
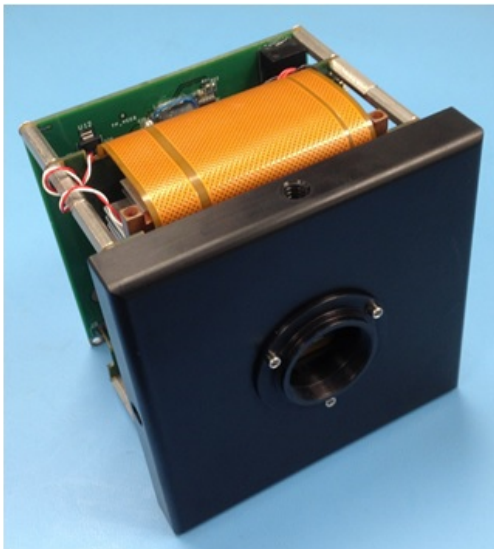
GUI interface supports all camera functions

10 cm x 10 cm x 9 cm



assembled boards

convection cooling



Timing operation for LIDAR imaging

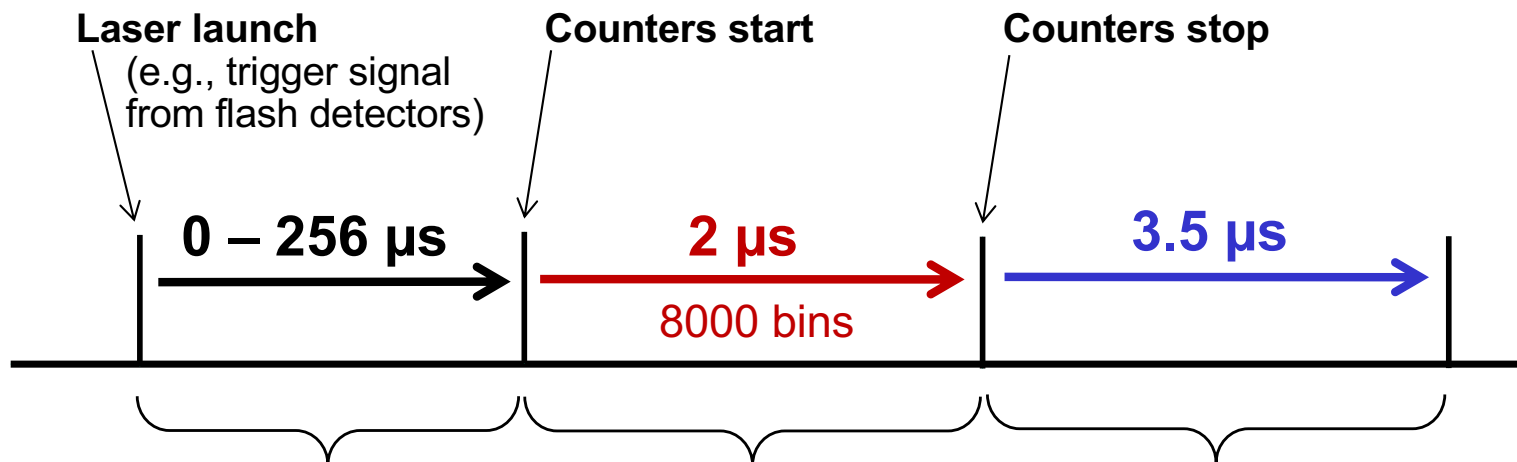
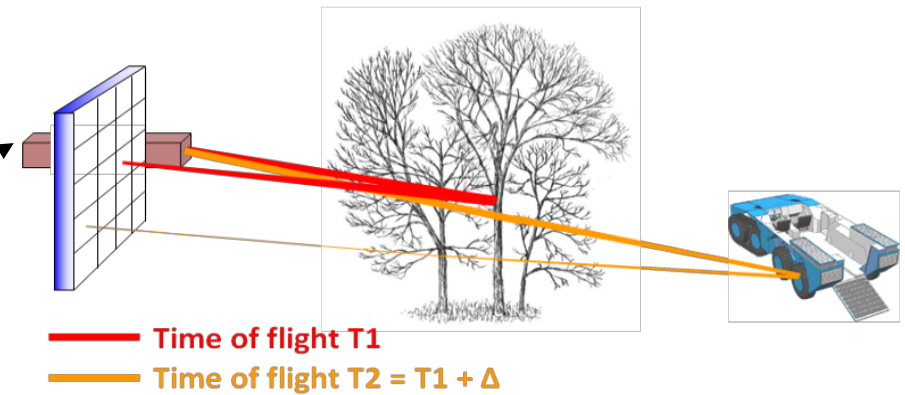


Camera synchronized to pulsed laser

13-bit timing counters in every pixel

0.25 ns time bin resolution (~3 cm)

186,000 frames/sec



Camera timing:

Programmable delay

Range gate

Readout

32 x 32 camera DCR & PDE

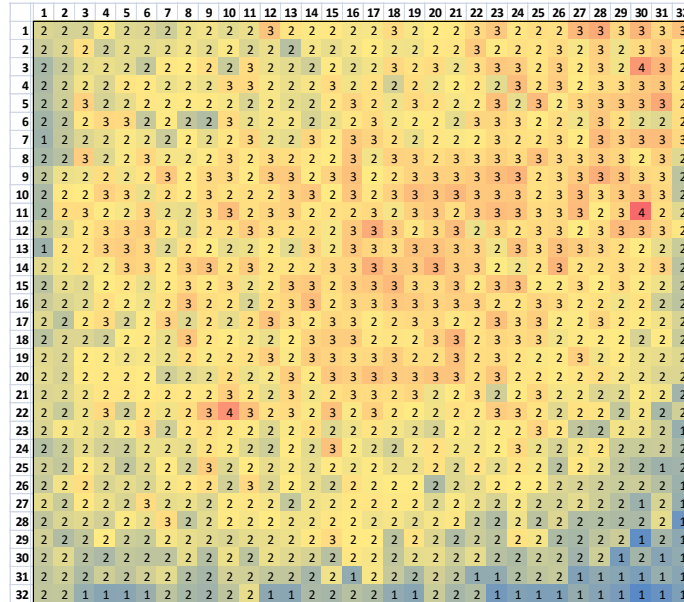


Performance maps for all pixels of 1.06 μm detection camera (100% yield)

Dark Count Rate (kHz)

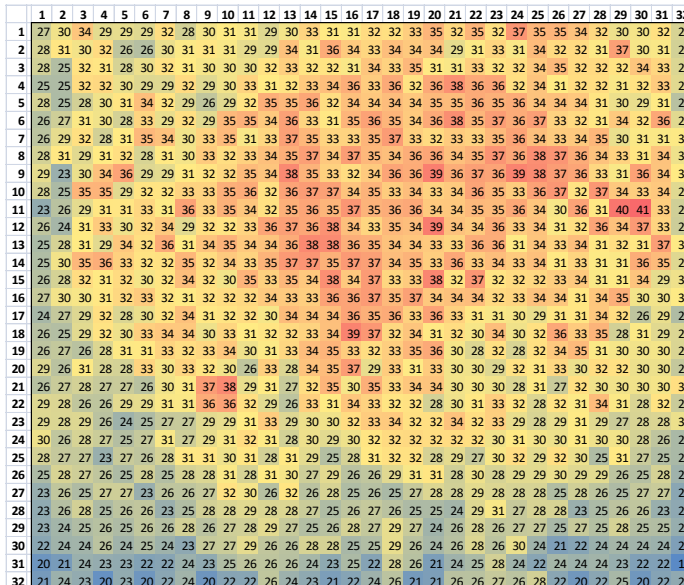
DCR Mean = 2.2 kHz

DC prob. ~ 0.4% / pixel / frame

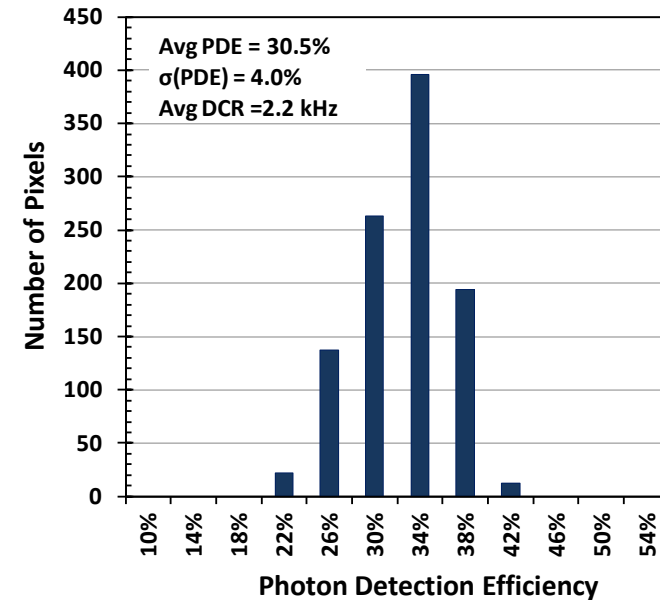
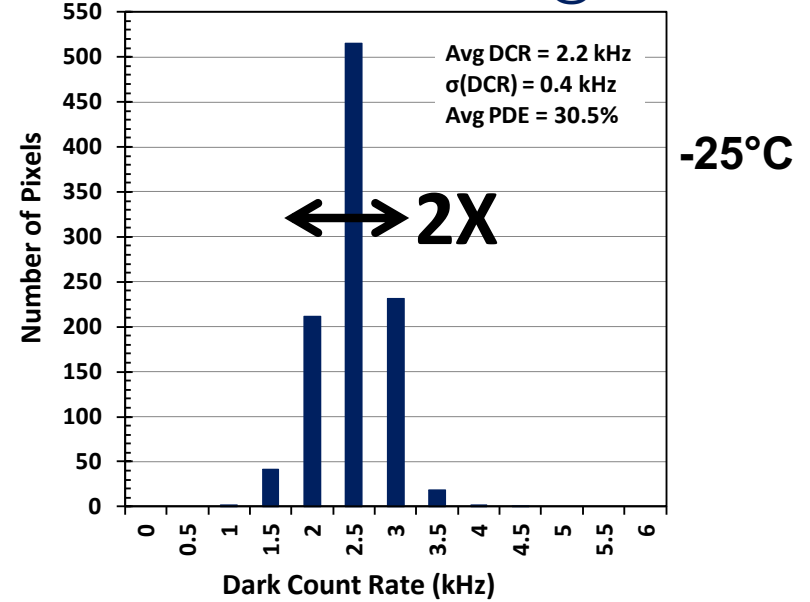


Photon Detection Efficiency (%)

PDE Mean = 30.5%



2.2 kHz mean @ 30% PDE

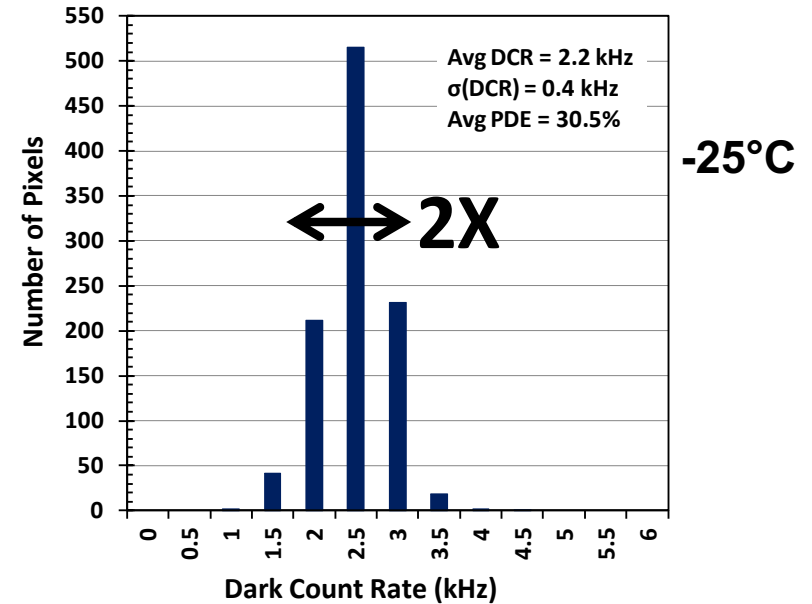
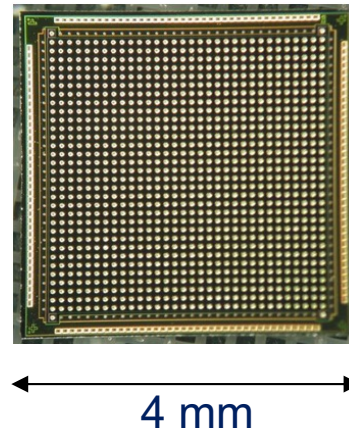


FPA DCR contrasted with discrete SPADs

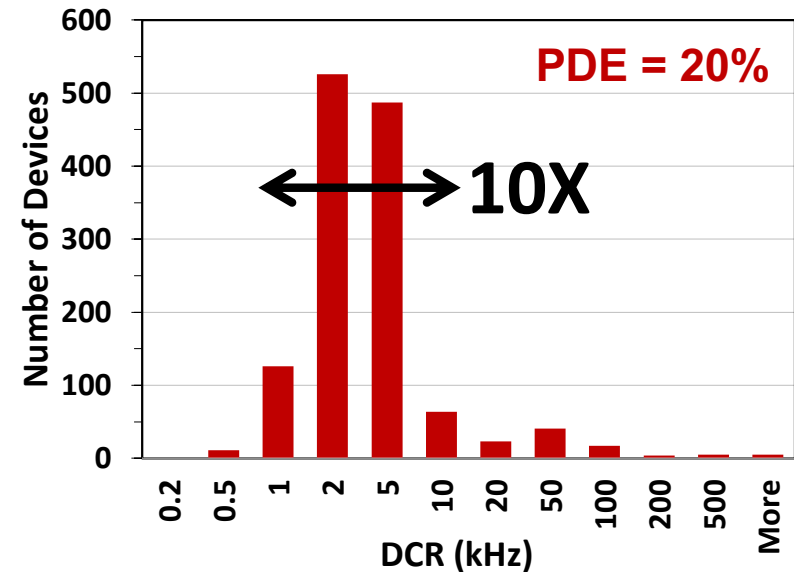


FPA distribution over ~1000 pixels much more uniform

Array singulation
Flip-chip bonding
Indium bumps



Discrete device singulation
Die pick & place
Die bonding to carrier
Wire bonding



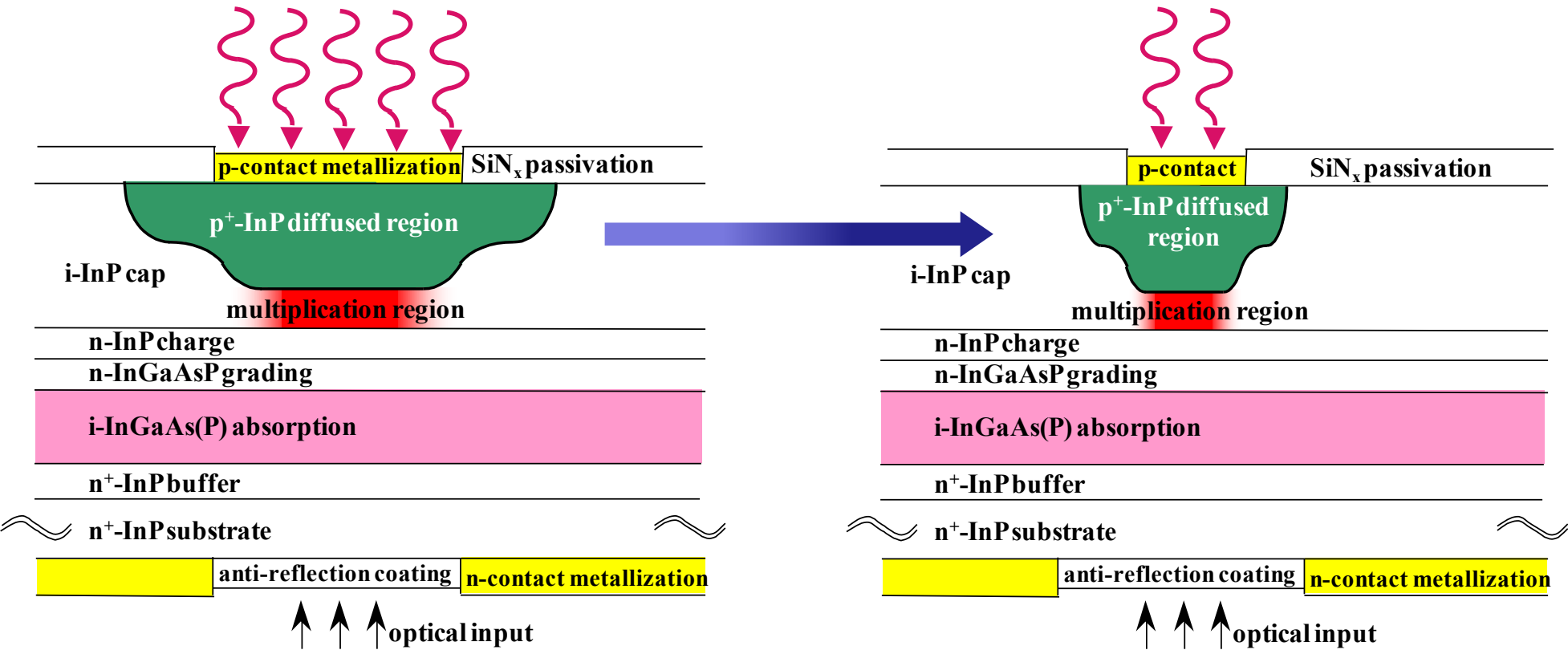
Implies DCR degradation due to additional back-end assembly & packaging processes

GmAPDs with reduced size detectors

Performance enhancements using reduced active areas

DCR, afterpulsing, crosstalk, radiation tolerance

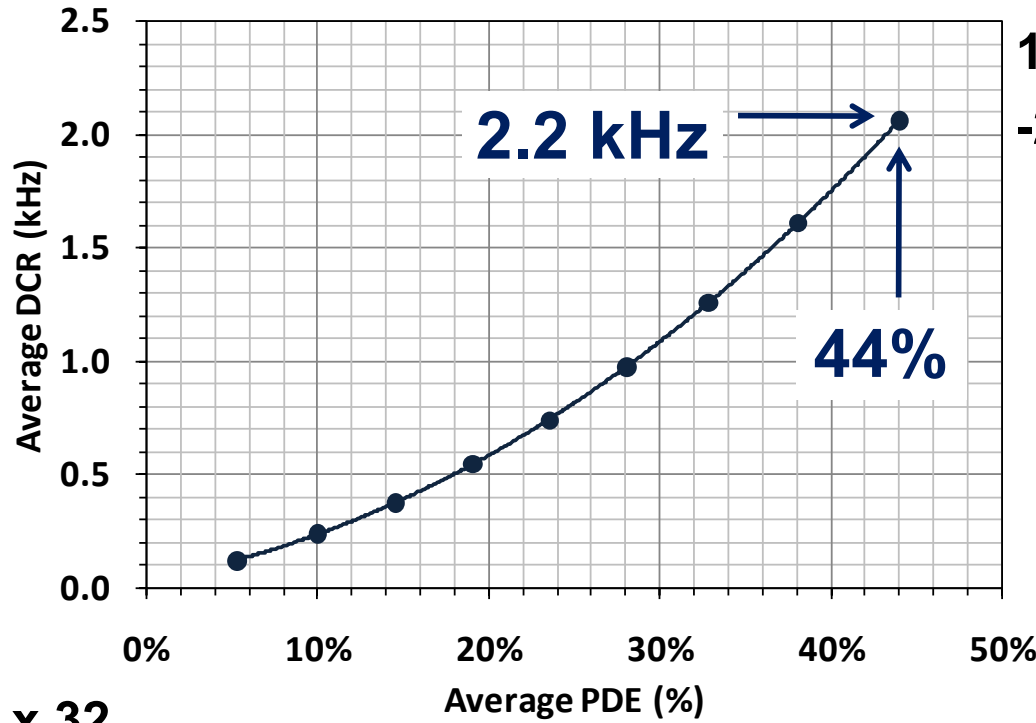
Primary trade-off: optical coupling and assembly



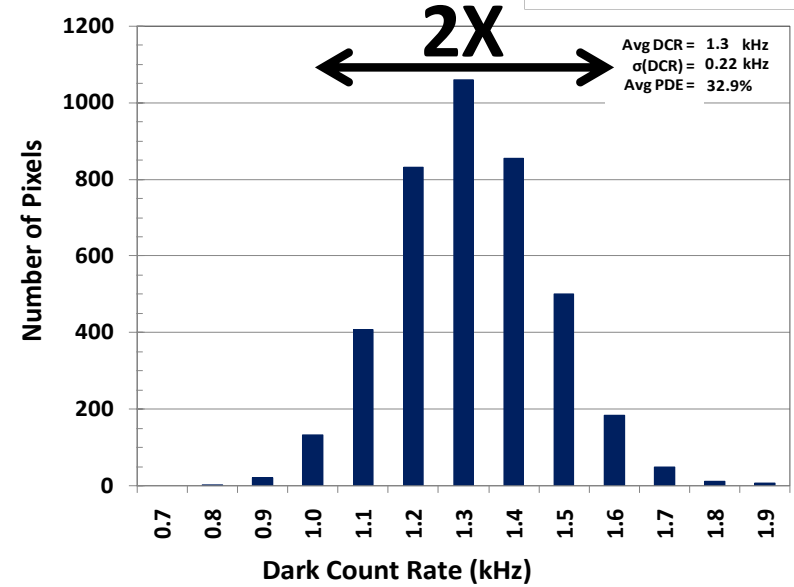
Scaling of FPA format for 128x32 camera



128 x 32 camera with 50 μm pitch, improved PDE vs. DCR



1.06 μm
-20°C



128 x 32

1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128
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Arrays of GmAPDs have optical crosstalk



Single photons emitted during avalanche can trigger neighboring pixels

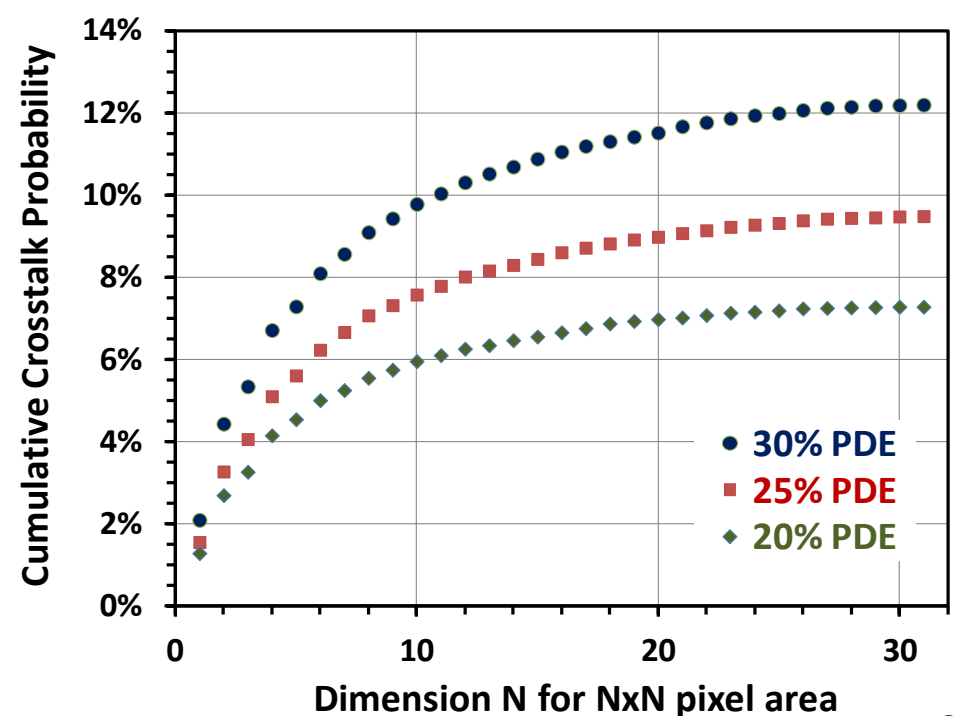
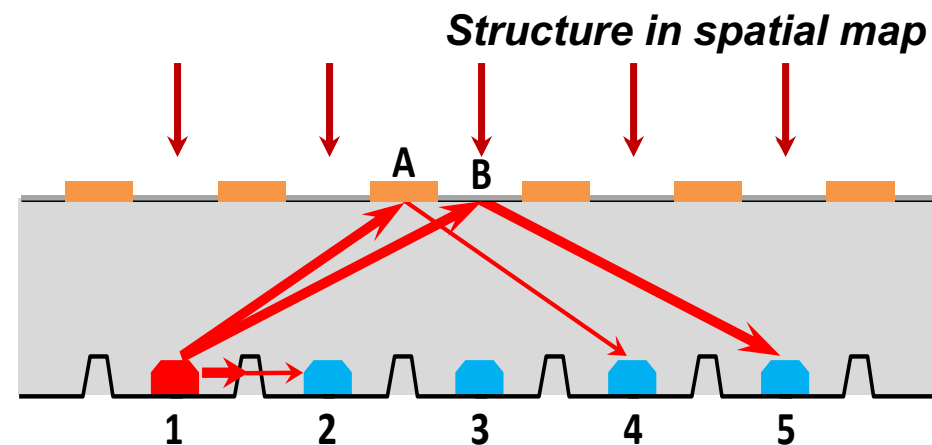
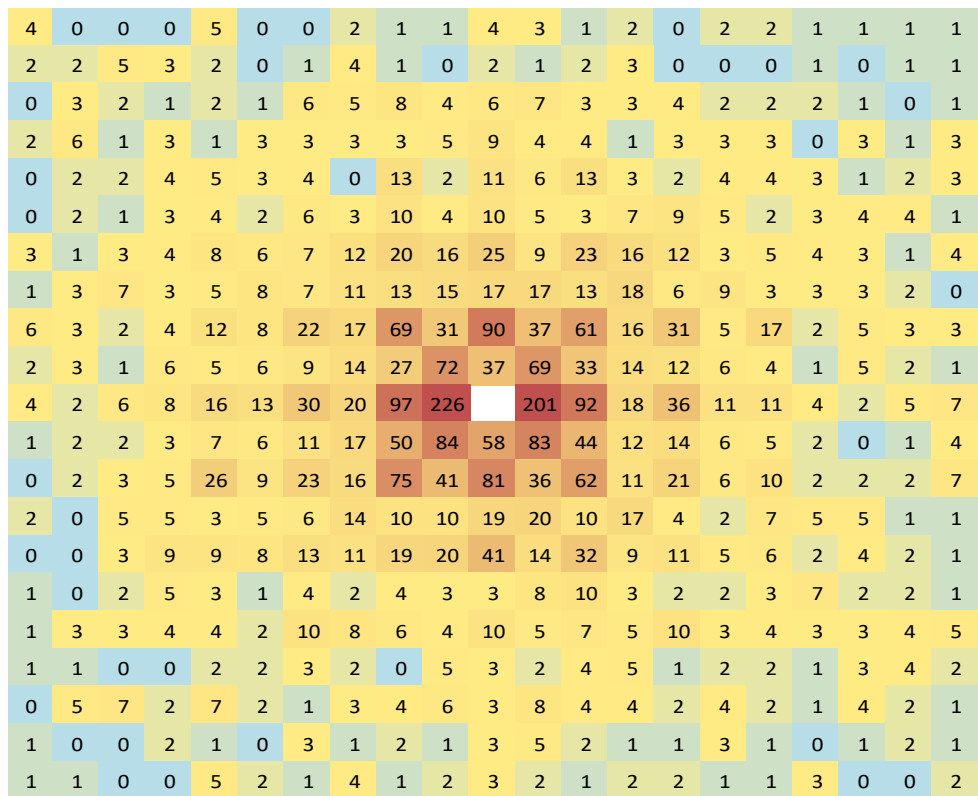
Spatial correlation roughly $1/R^2$

Spatial patterning from backside reflections

Temporal correlation on ns-scale

Various strategies to mitigate crosstalk

21 x 21 spatial map of crosstalk probability



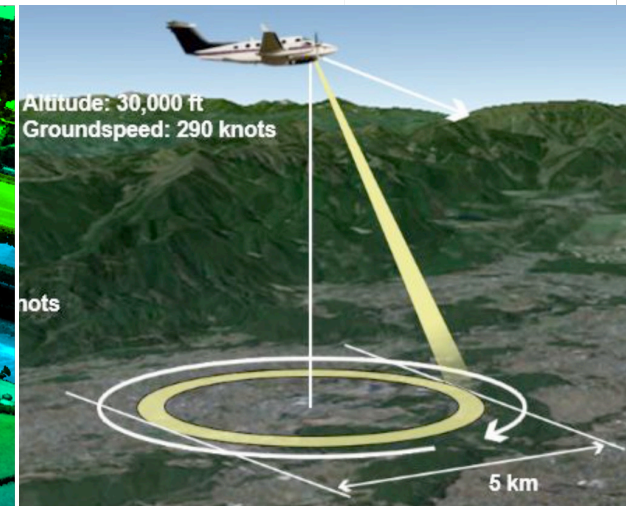
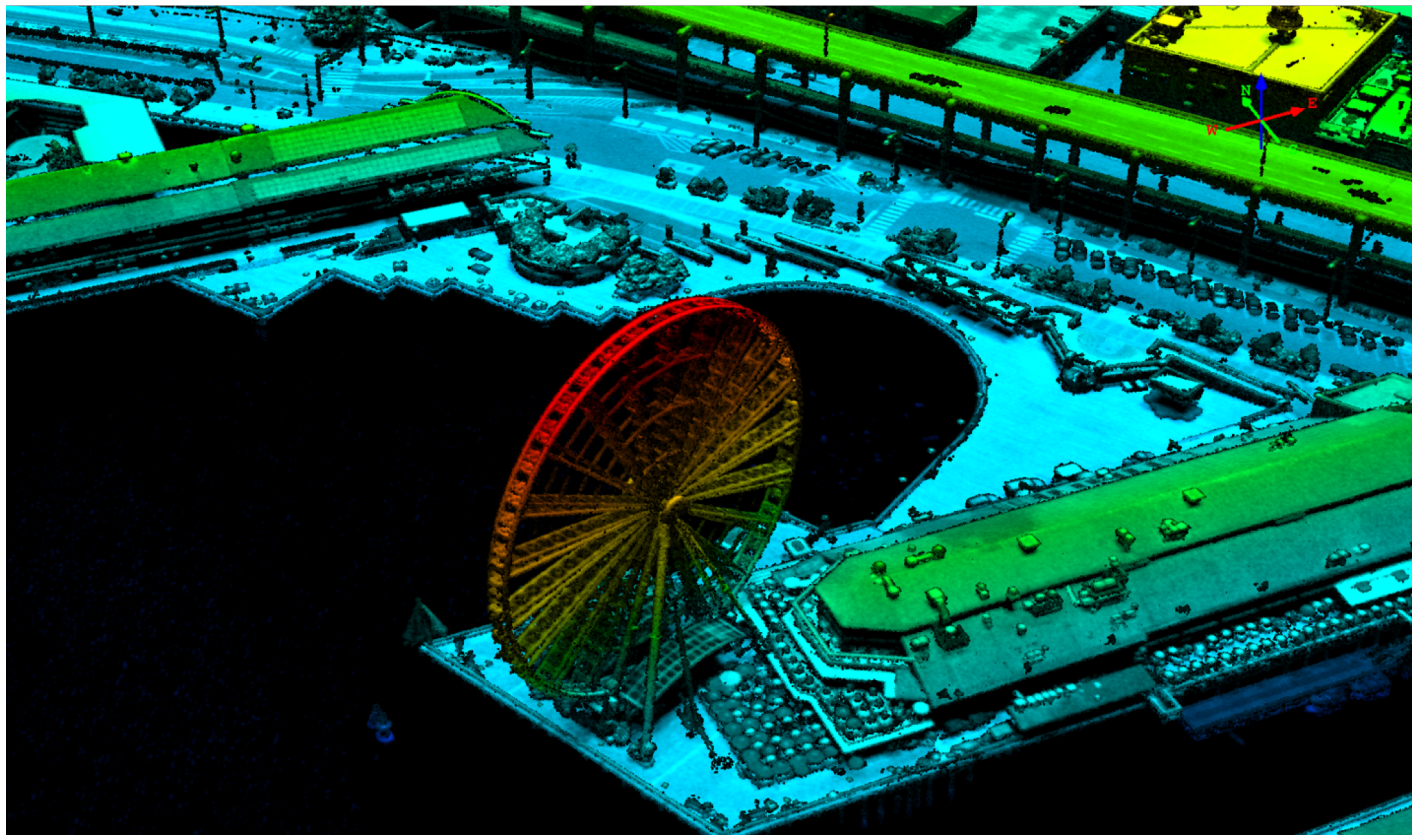
Geiger-mode 3D LiDAR mapping



GmAPD-based commercial mapping systems by Harris Corp.

based on PLI 128 x 32 GmAPD cameras

enables 10X faster data collection than other LIDAR technologies



aerial photo: Seattle ferris wheel

imagery courtesy of **HARRIS**

Airborne navigation and target ID



LiDAR for autonomous helicopter navigation for Sikorsky Black Hawks

United Technologies Aerospace Systems
LiDAR based on PLI GmAPD cameras

Key self-flying demonstration in Oct 2015

Retrofit up to 2500 Blackhawks



LiDAR for object identification in targeting pods

Customized camera formats for defense
prime contractors

Successful flight tests demonstrating
technology capability

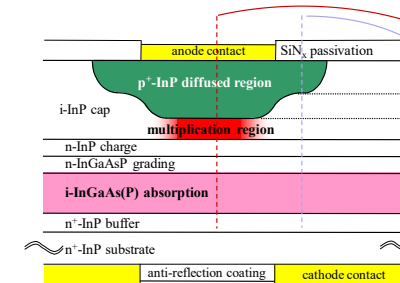


Outline



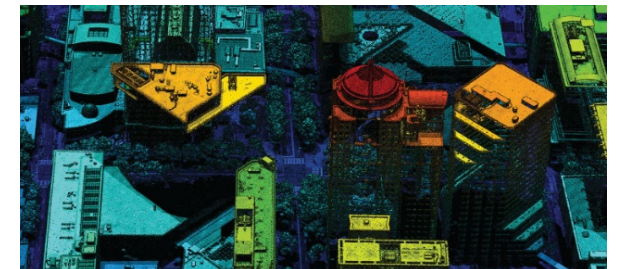
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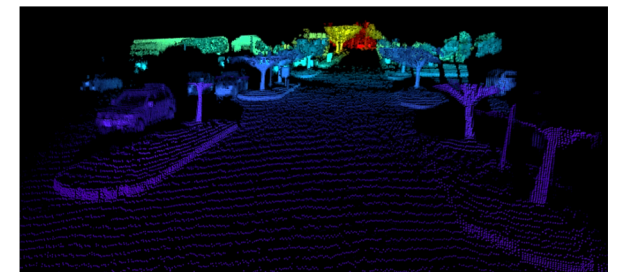
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Geiger-mode LiDAR for shorter range?

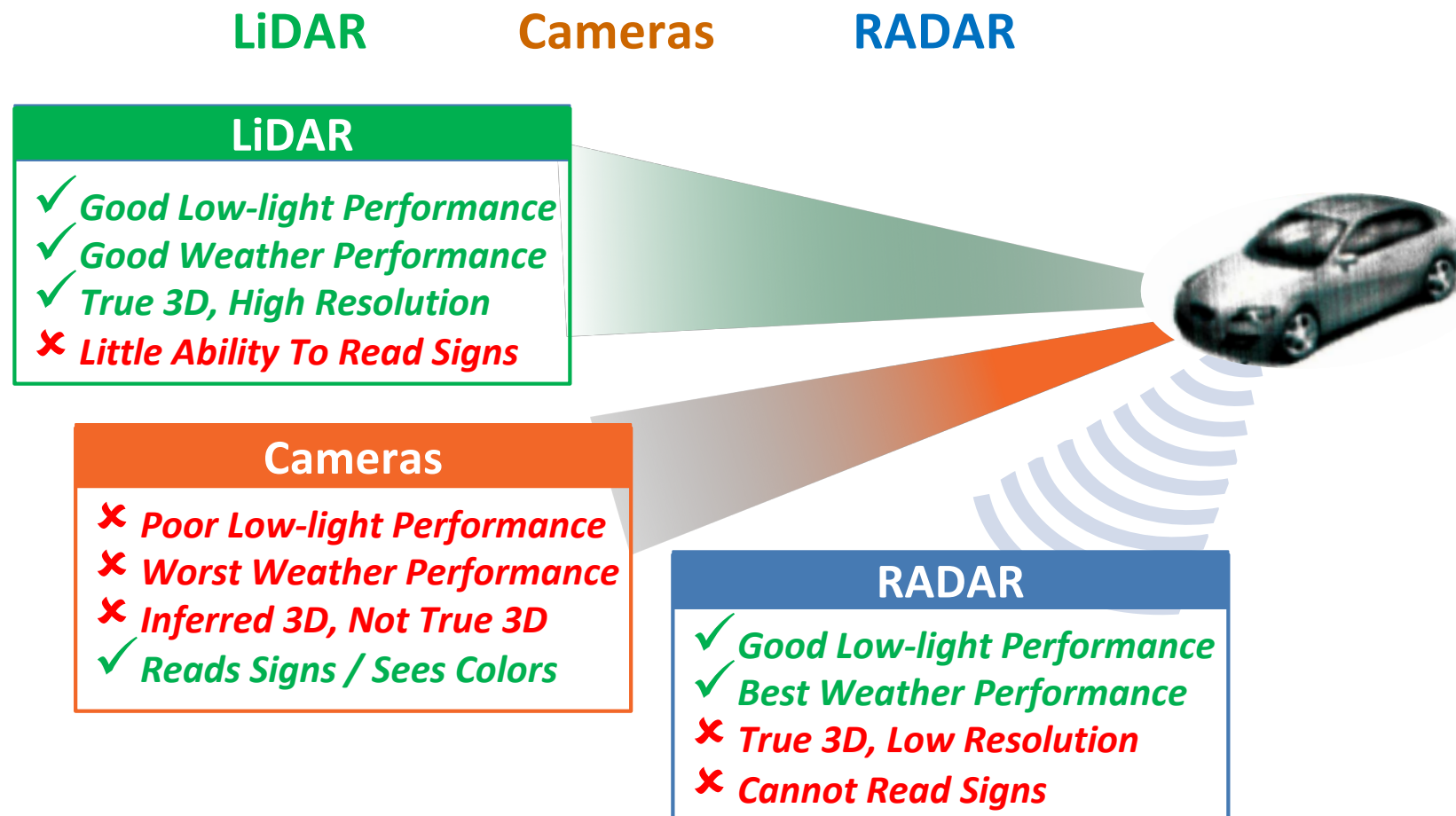


Autonomous vehicles: most exciting short-range LiDAR application

Market size, societal impact

Safety imperative for sensors with complementary modalities

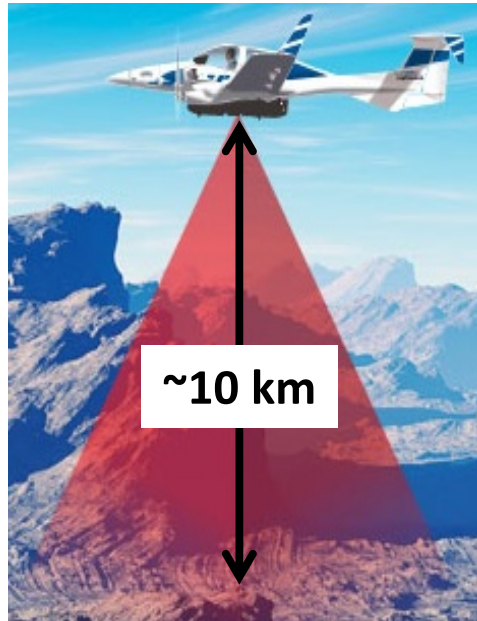
Wide consensus that driverless car sensor suite will have:



Airborne vs. Automotive LiDAR



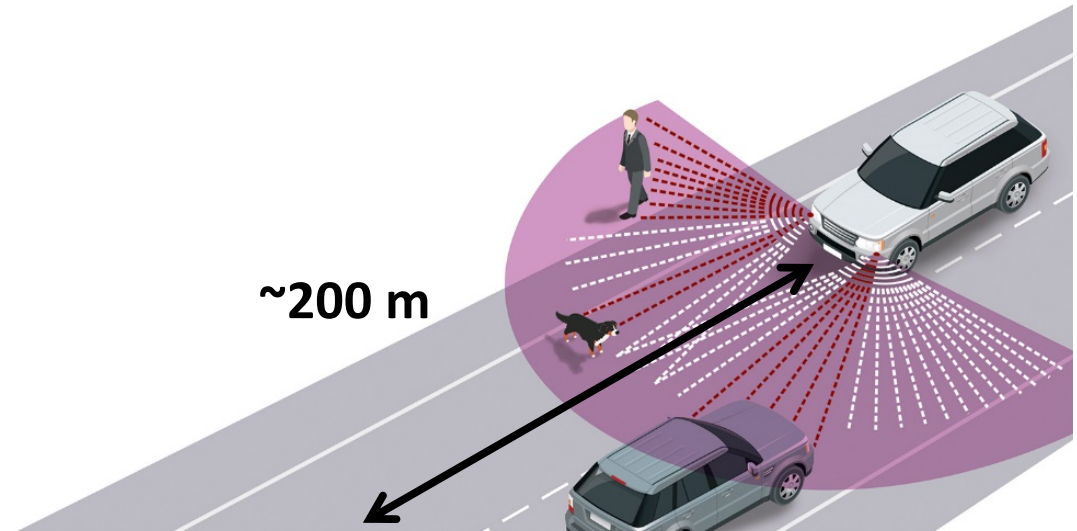
Airborne



Range

~10 km

Automotive



~200 m

Resolution

2 – 10 pts/m²

20 – 40 cm resolution at 200 m

Cost

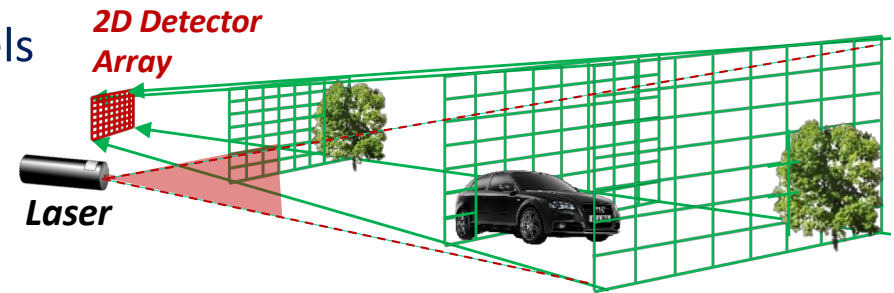


Flash vs. scanning for Auto LiDAR

“Flash” illumination: elegant but impractical for Auto

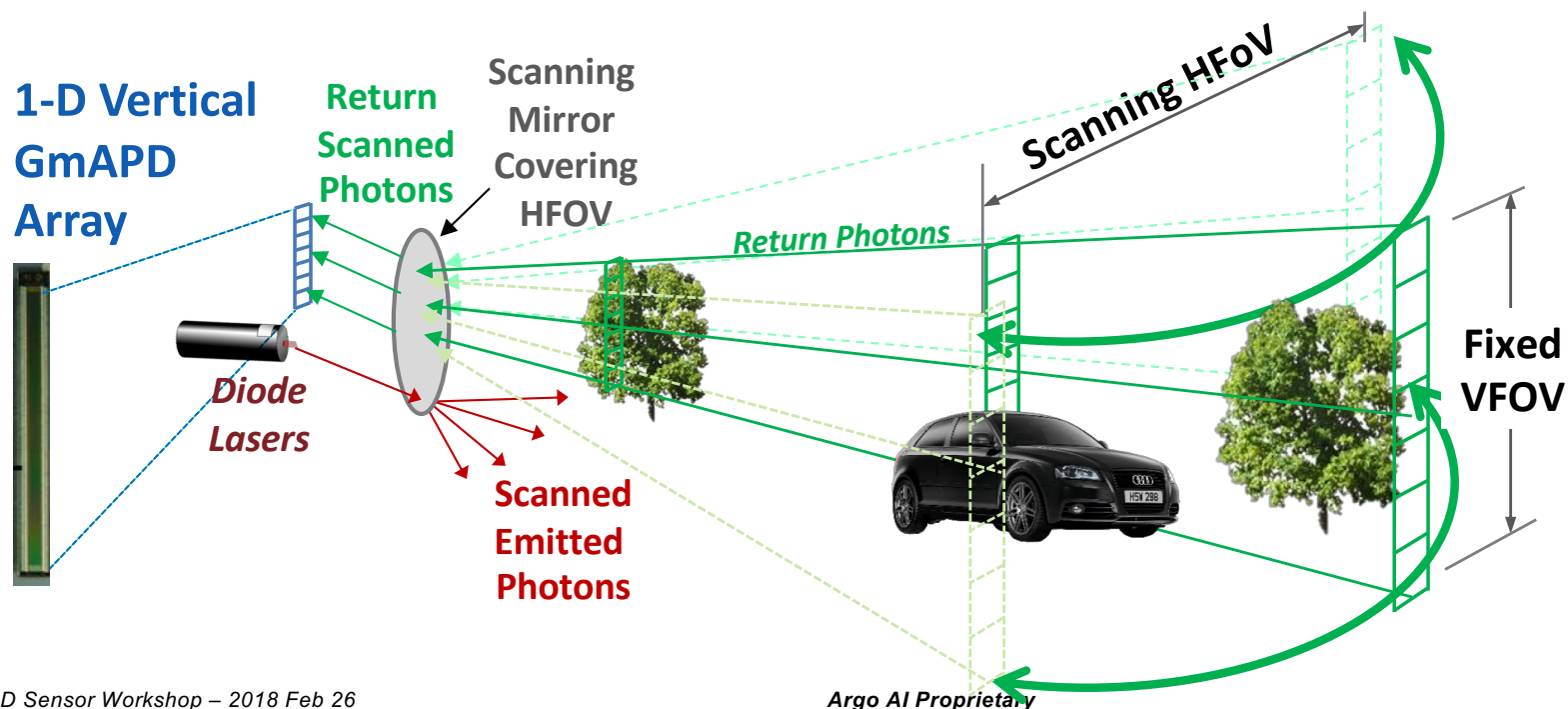
~100° FOV with 0.1° resolution needs 1000 pixels in one direction → ~Mpixel 2D array

Even given Mpixel array, illuminating all pixels takes prohibitive laser energy



Scanning provides best balance of laser/detector resources

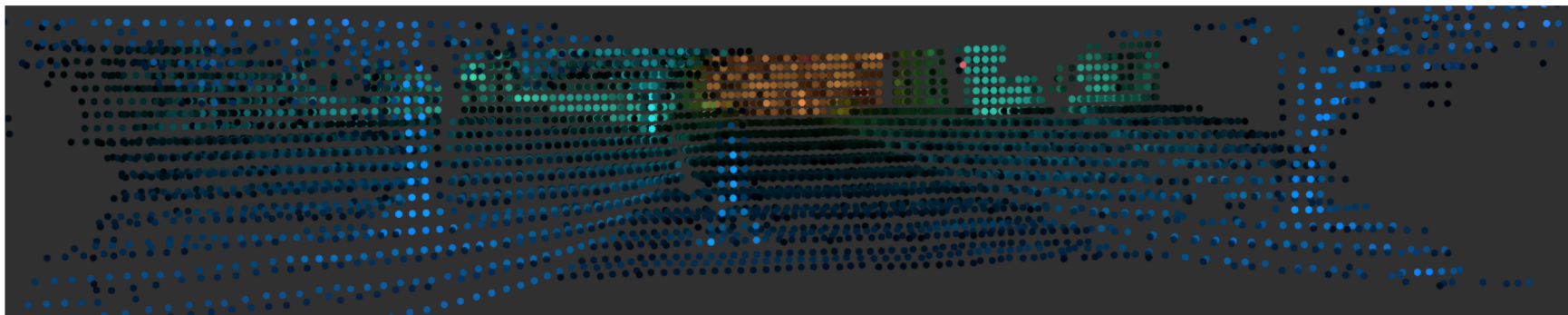
Image vertical FOV with ~1000 pixel 1D array, scan to cover horizontal FOV



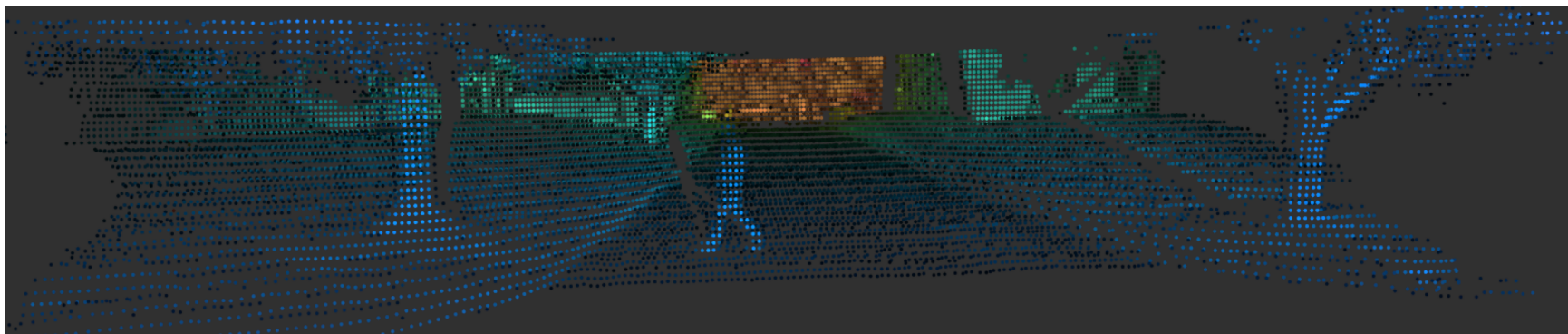
Importance of resolution

0.46°
(8 mrad)

*Typical of existing
Auto-format LiDAR
systems today*

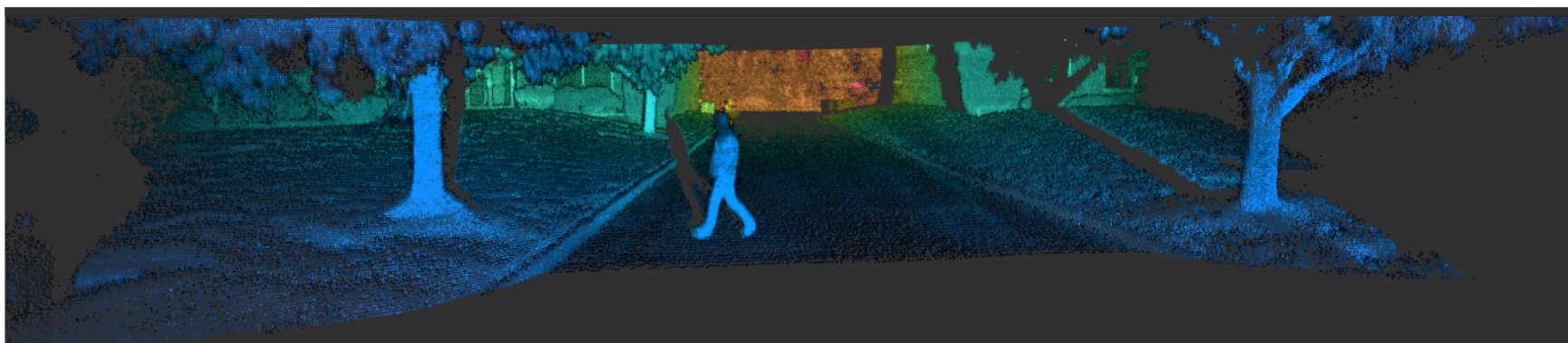


0.23°
(4 mrad)



0.057°
(1 mrad)

*Capability of
Geiger-mode
Auto LiDAR in
development*

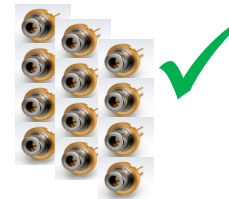


Laser and detector technologies

Fiber laser/solid-state lasers vs. diode lasers

Cost!

Size/weight



Linear mode detectors vs. Geiger-mode detectors

Transmitter power!

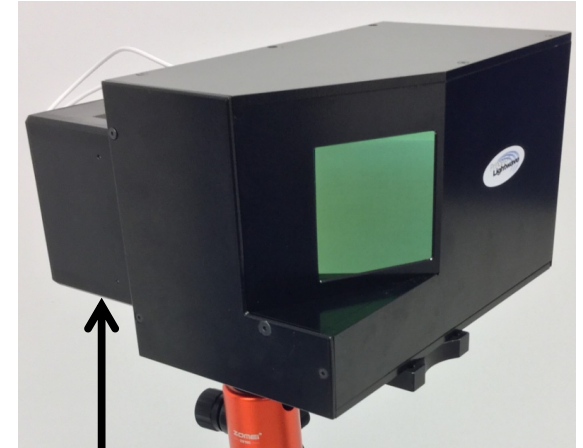
Linear mode needs excessive power for long range, diodes not practical

System size/weight

Auto LiDAR system emulation



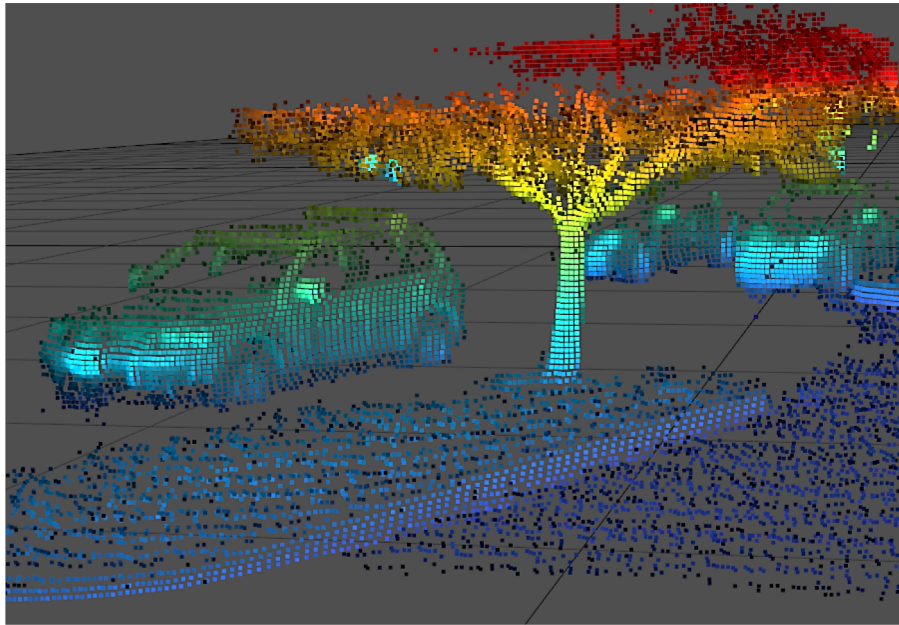
Auto LiDAR demonstrator



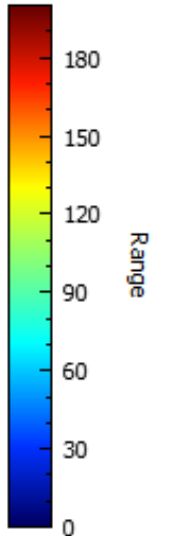
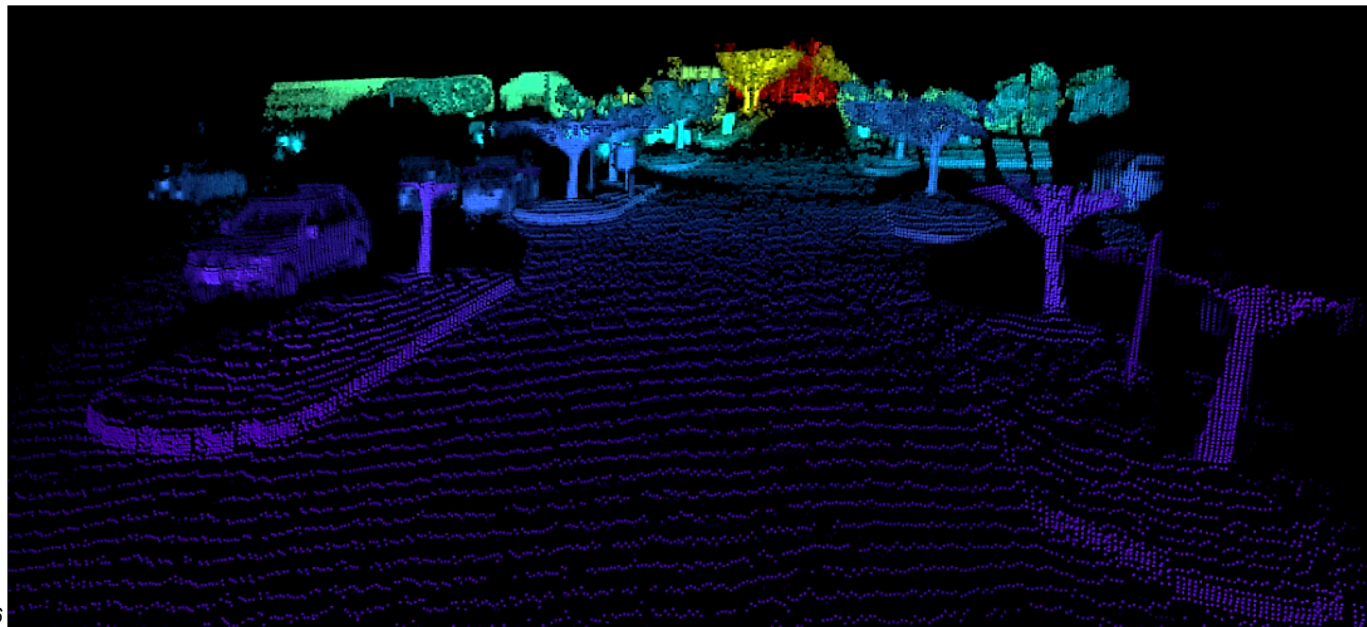
GmAPD 128 x 32 camera

Color-coded
for height

*512 x 64 demo 3D point cloud format
Scaling to 2048 x 512 equivalent*



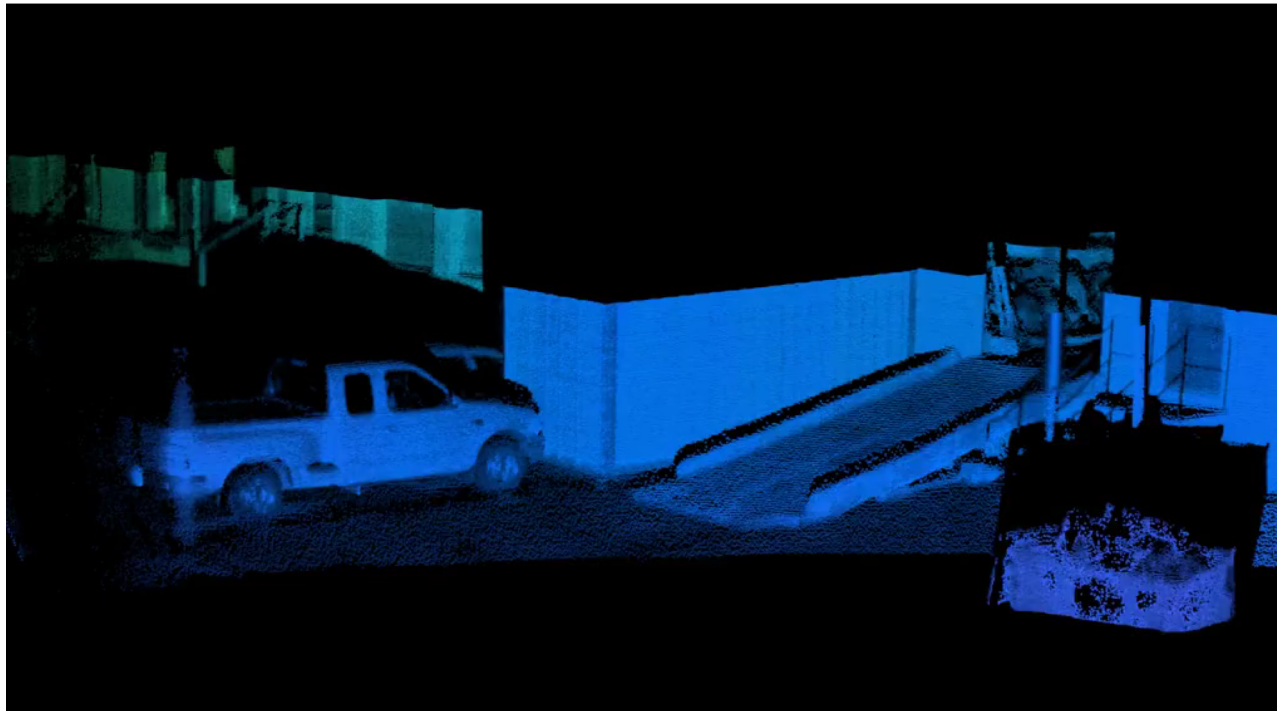
Color-coded
for distance



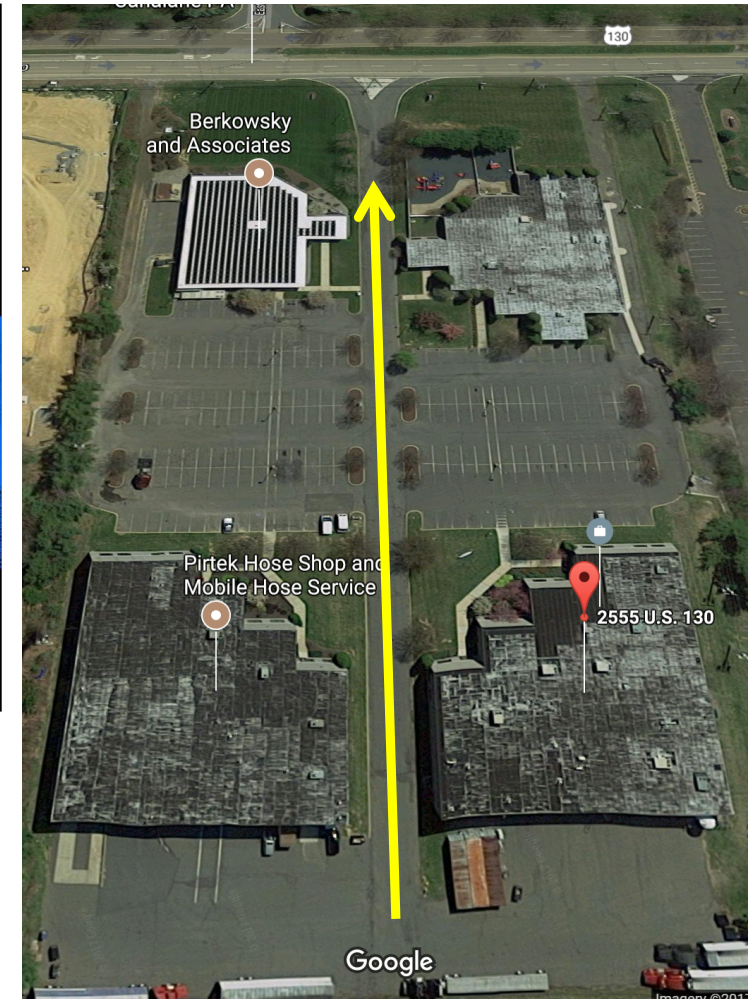
3D driving video imagery with demonstrator



Imagery taken with demonstrator mounted to car roof



Google maps view of 300 m driveway through office park



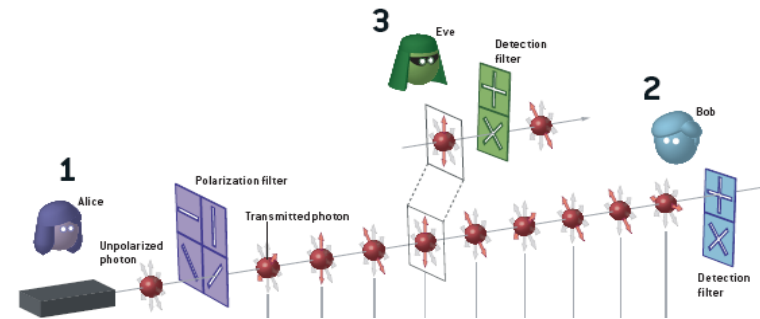
SWIR Geiger-mode technology summary



Initial performance developed for:

Single-photon fiber communications

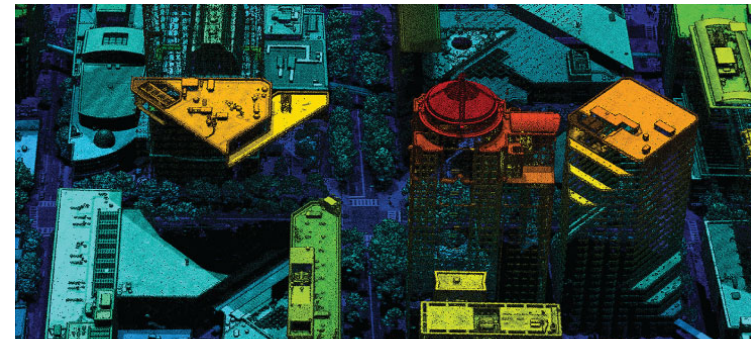
PDE, DCR, afterpulsing, jitter



Proven unique capabilities for:

High-altitude 3D LiDAR mapping

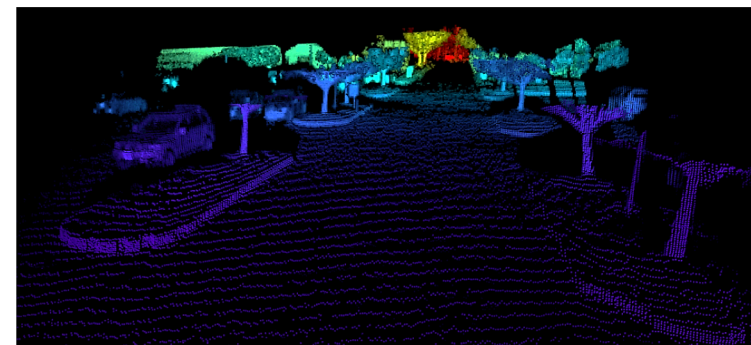
Xtalk, array format/yield



Potential for disruptive impact in:

High-performance automotive LiDAR

range, resolution, SWaP, cost



BACK-UP SLIDES

APD I-V Behavior: Linear & Geiger modes

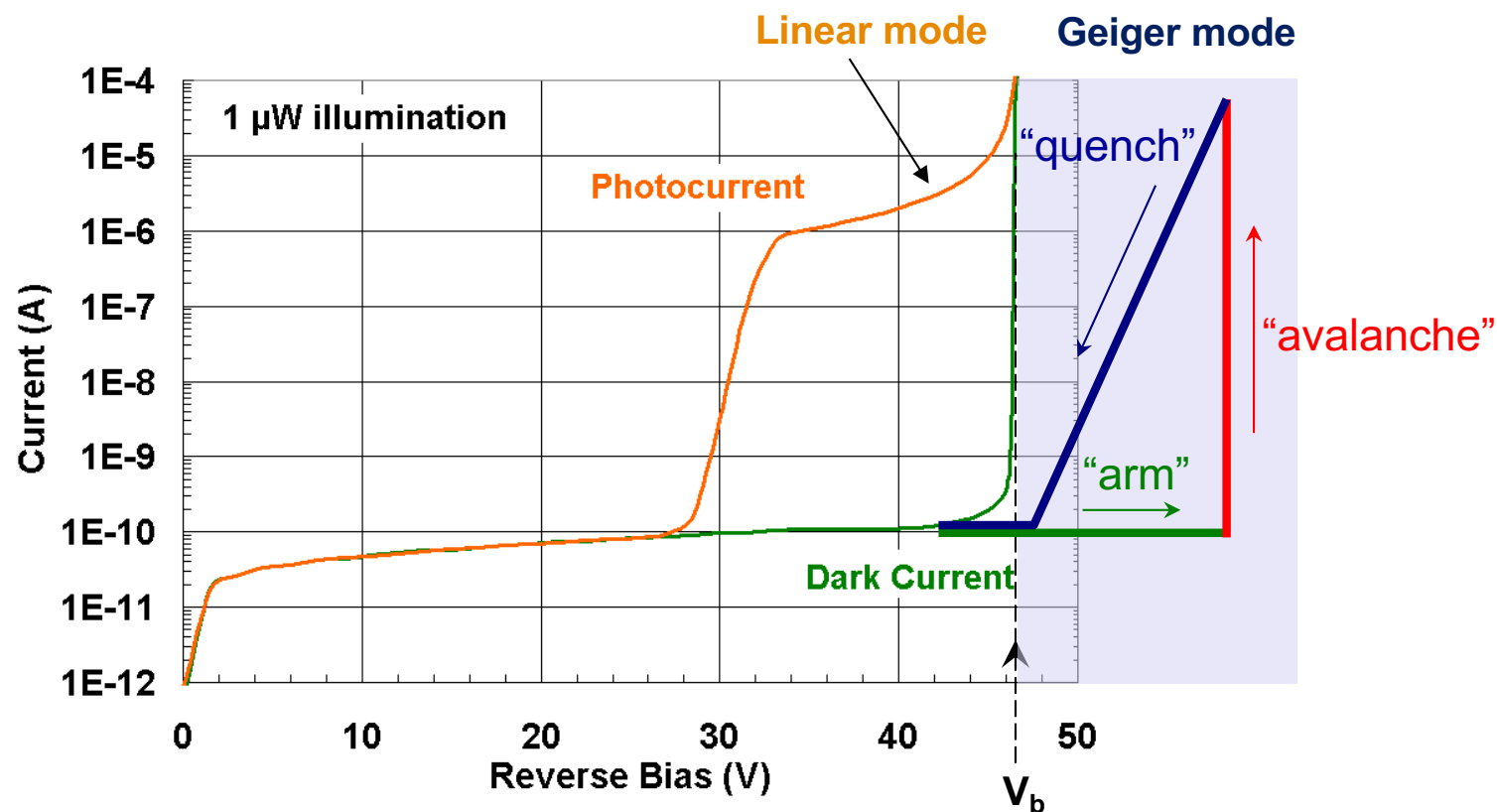


“Linear mode” defines behavior below breakdown voltage V_b

Photocurrent proportional to input optical power → ANALOG

“Geiger mode” operates above V_b with different functionality

Generates macroscopic current pulses → DIGITAL



Single-photon detector (SPD) metrics



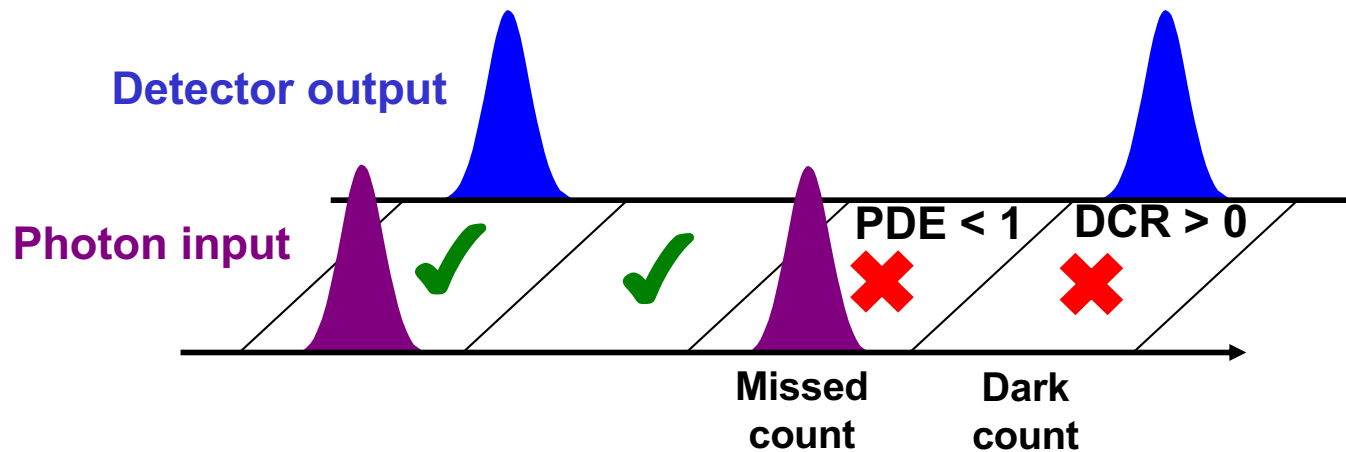
Photon Detection Efficiency (PDE):

probability that photon arrival causes detector to fire

Dark Count Rate (DCR):

probability that detector fires in absence of photon arrival

Detector fires	Yes	✓	✗ Dark count
	No	✗ Missed count	✓
		Yes	No
		Photon arrives	



Timing Accuracy: timing uncertainty due to “jitter”

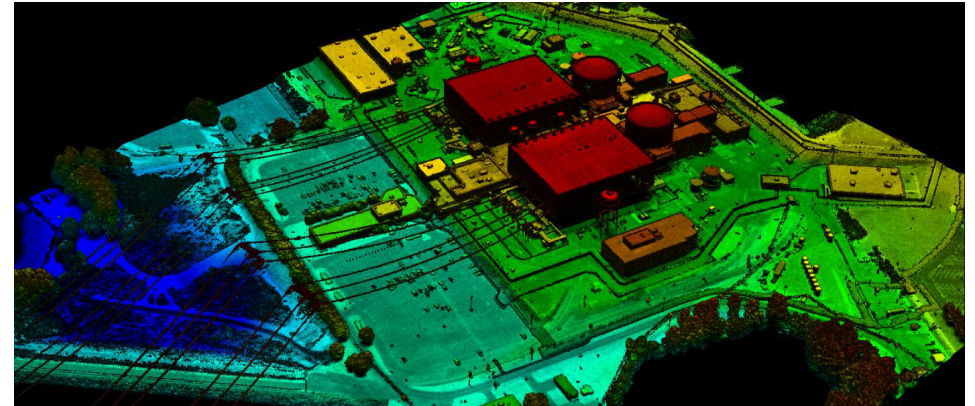
Counting Rate: limited by detector response time and “reset” time

Geiger-mode 3D LiDAR imaging



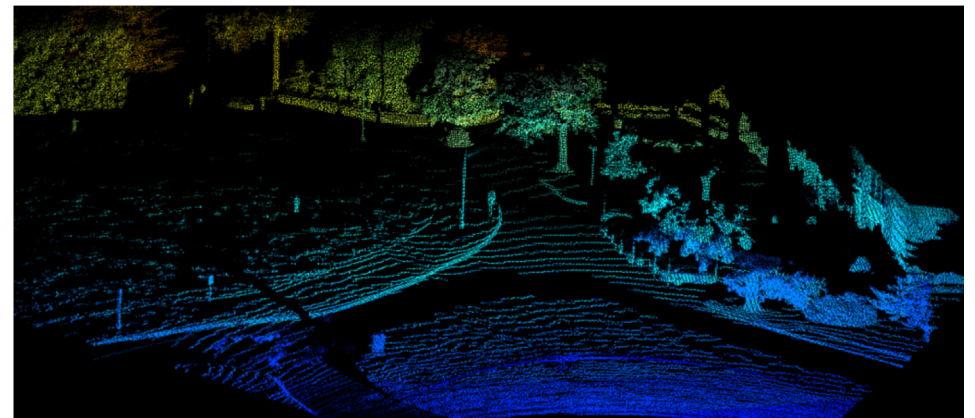
Geiger-mode for generating 3D imagery (payload)

Disruptive for defense
and commercial 3D imaging



Geiger-mode for autonomous navigation (nav system)

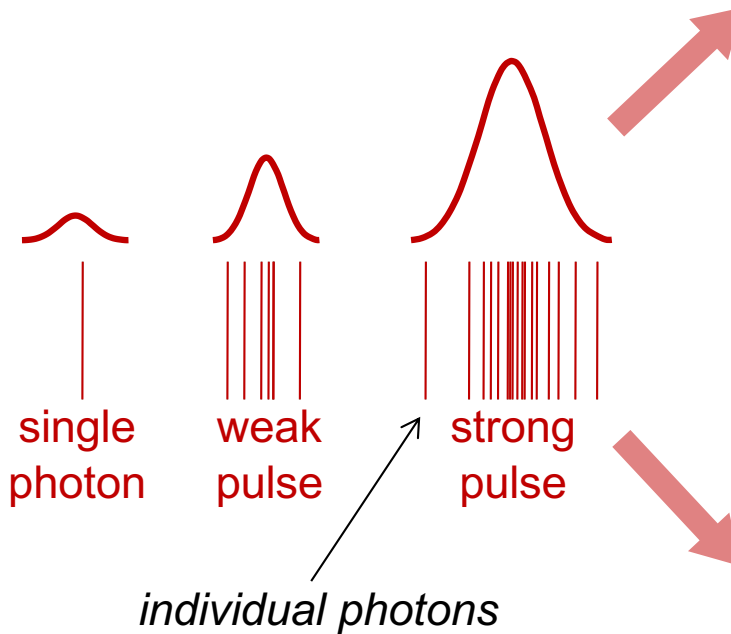
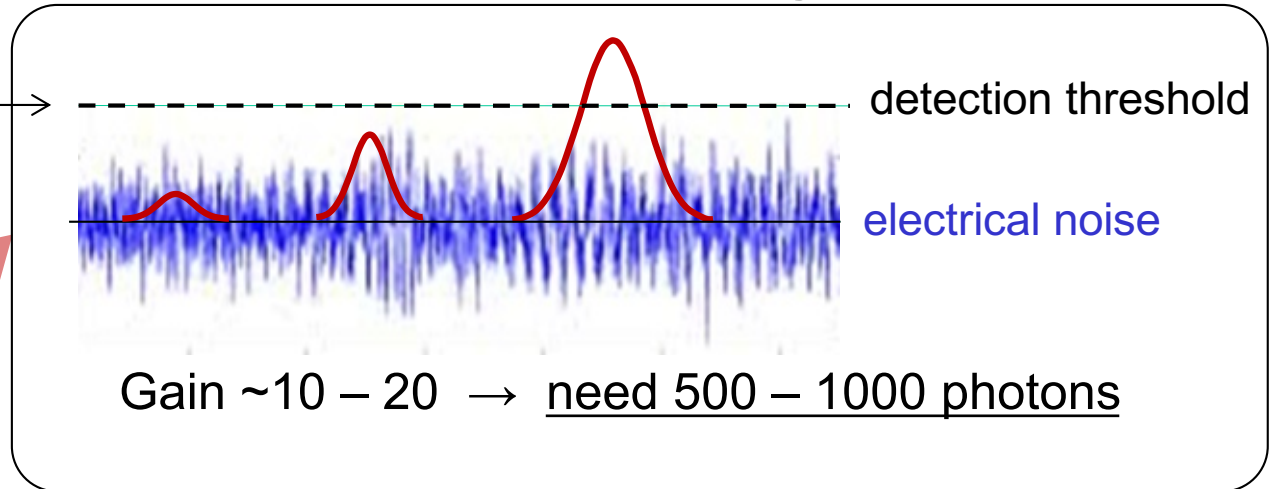
Critical capability for situational
awareness and safety functions



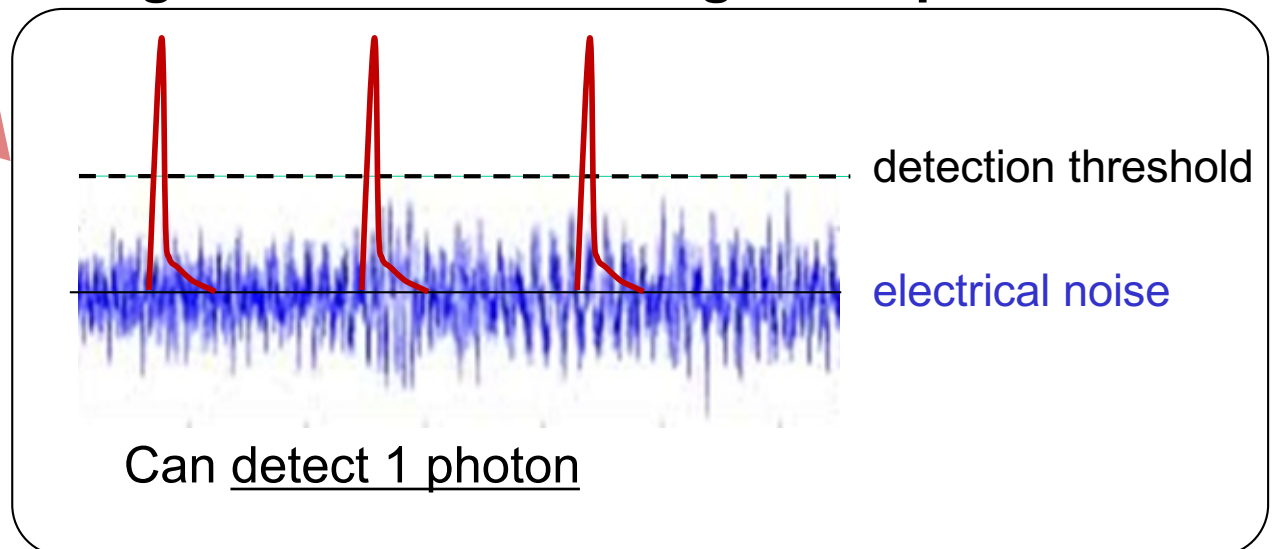
APD operating modes: Linear and Geiger

Linear-mode APD → analog response

~10,000 electrical carriers



Geiger-mode APD → digital response



**Geiger-mode ~100X
more sensitive**

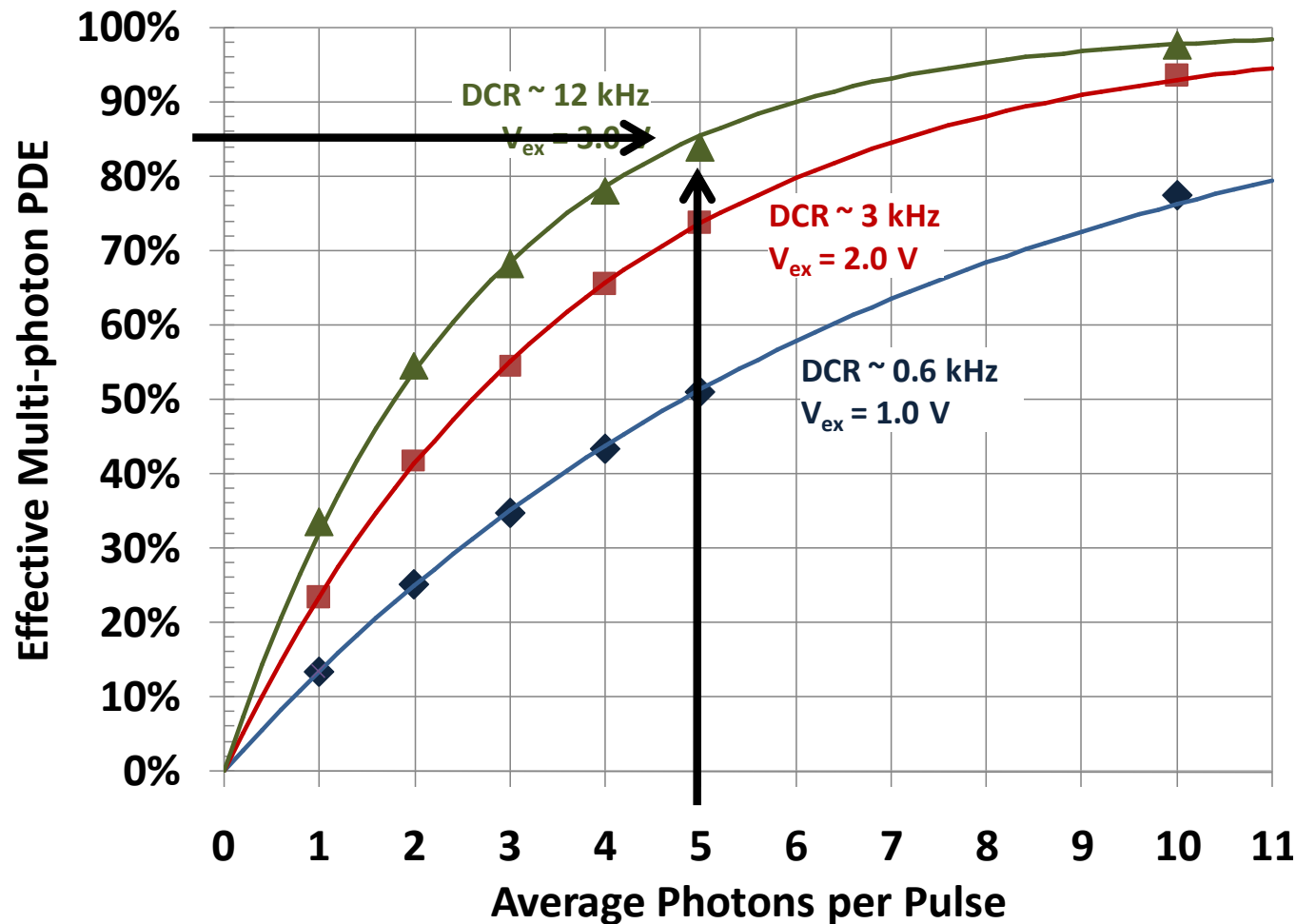
Multi-photon pulse detection efficiency



More photons per return pulse → higher probability of pulse detection

High detection probability (>85%) for ≥ 5 photons

Optimize LIDAR system design for avg. photons per return pulse



Points:
experimental data

Solid lines:
model

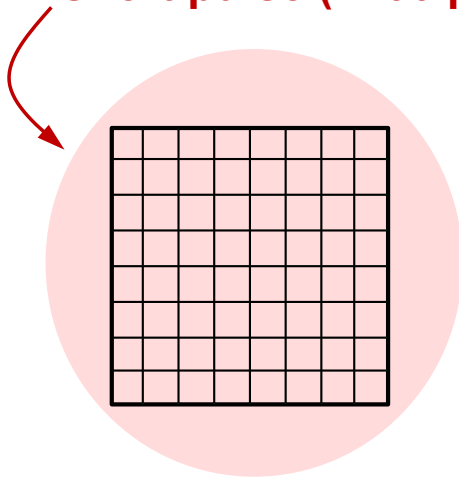
Camera timing accuracy

Short-pulse illumination of entire sensor with η photons per pixel

“Intra-frame” jitter: timing distribution with single frame

“Inter-frame” jitter: timing distribution among successive frames

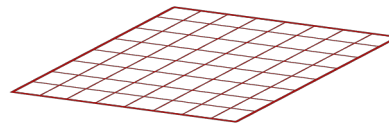
Broad illumination,
short pulse (~ 100 ps)



Average of η photons per pixel

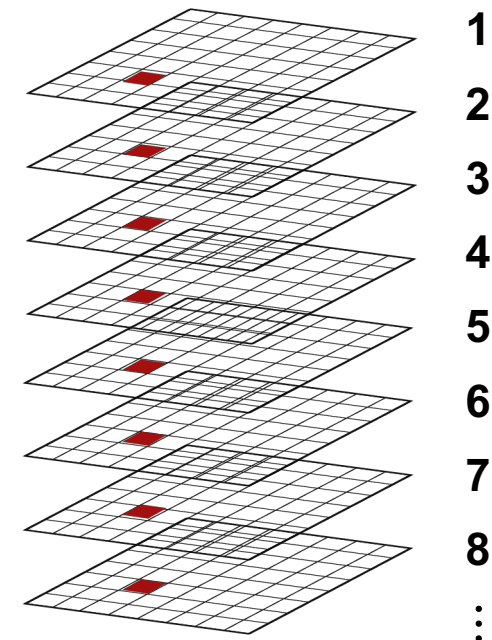
“Intra-frame” jitter

Distribution in timing
response among all
pixels in single frame



“Inter-frame” jitter

Distribution in timing
response for single pixel
in successive frames



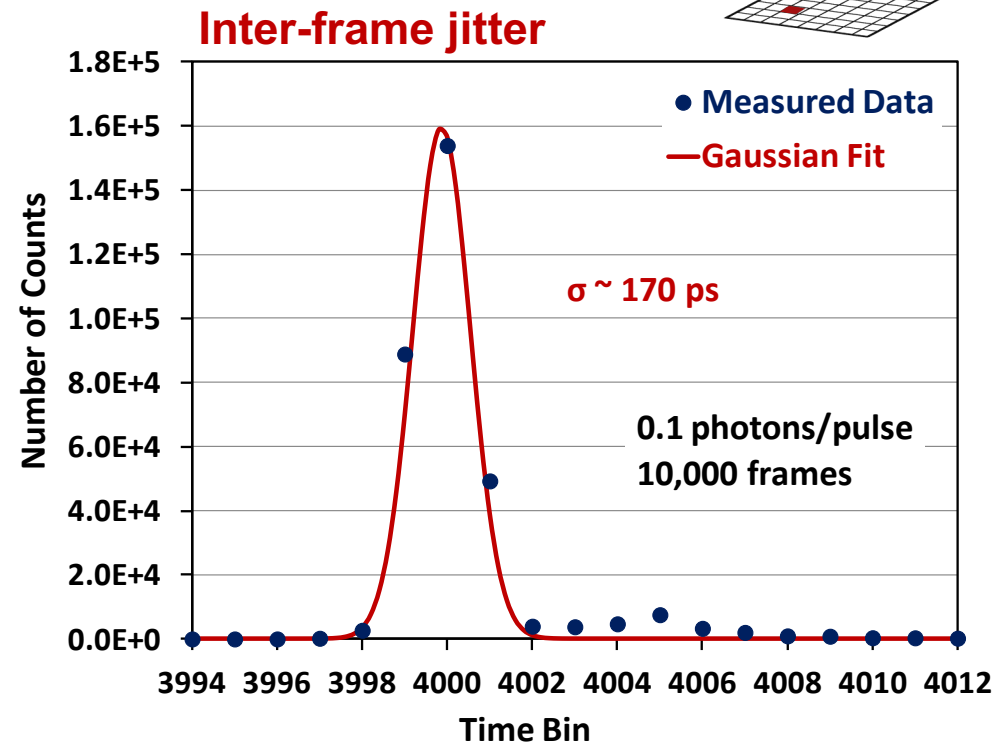
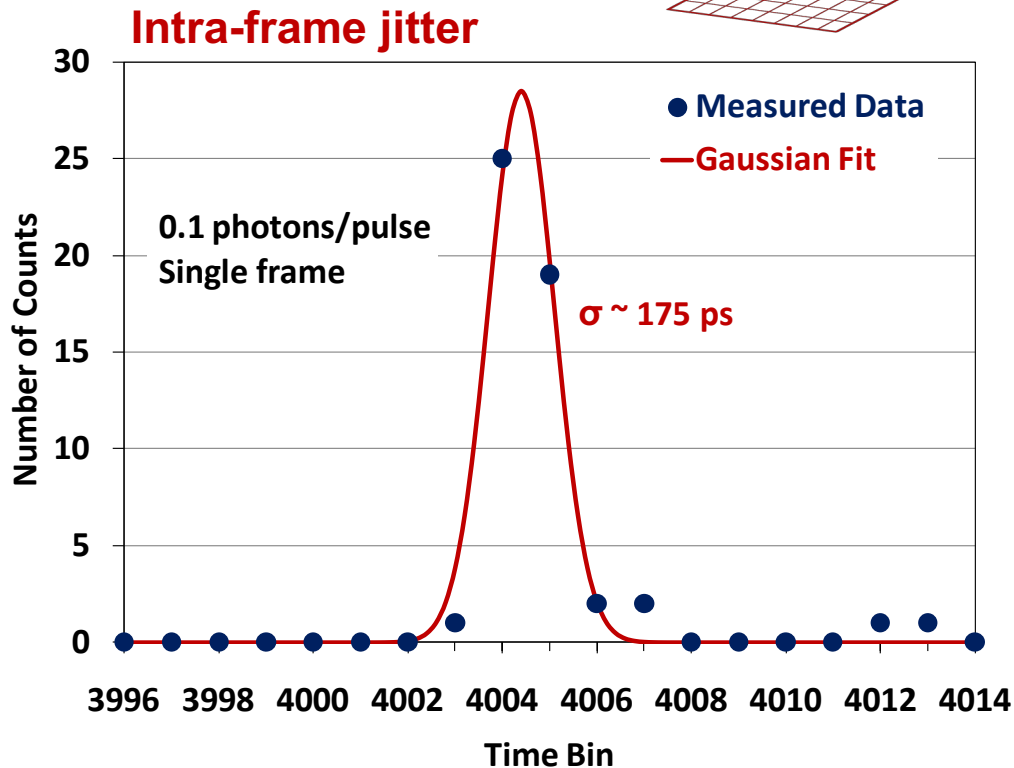
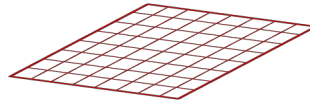
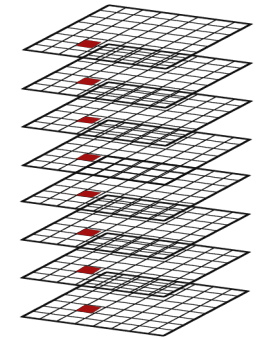
Camera timing jitter



Record arrival times (i.e., time bin) for single frame, multiple frames

2 μ s range gate / 250 ps time bins / photon pulse at $\sim 1 \mu$ s

rms timing jitter $\sigma \sim 175$ ps (includes quantization error) \rightarrow ~ 2 cm



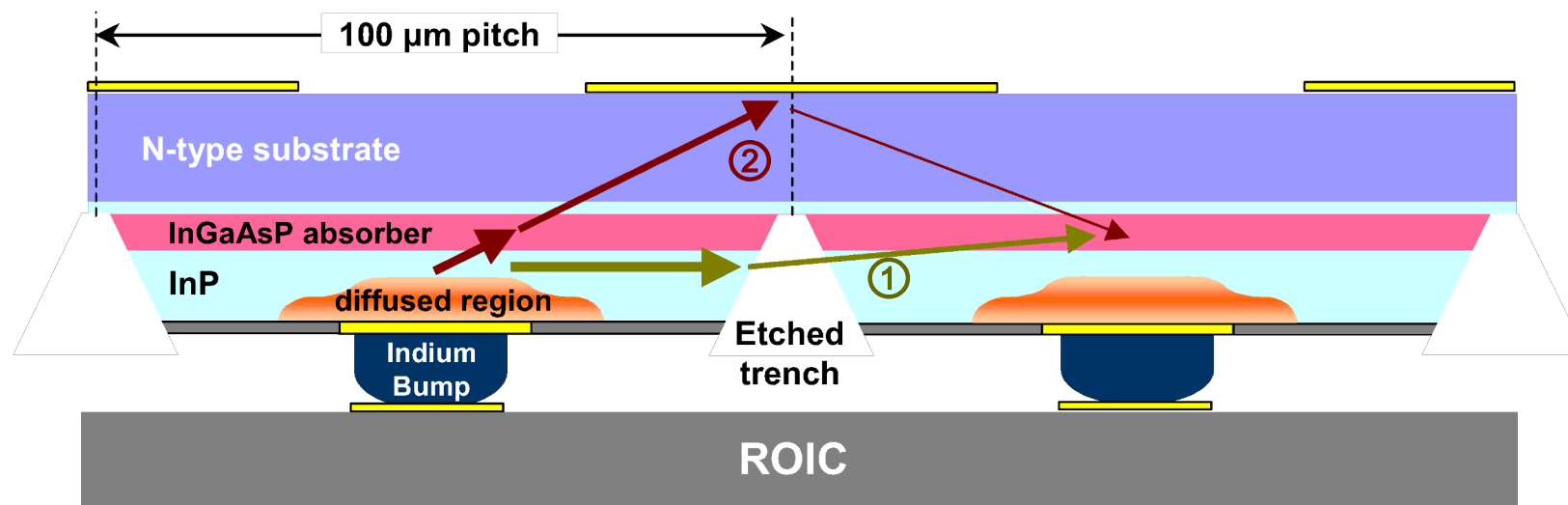
Mitigating crosstalk in arrays of GmAPDs



- **Consider optical cross-talk contributions**
 - ◆ Avalanches emit crosstalk photons due to hot carrier luminescence
 - ◆ Path ① : direct line-of-sight to nearest neighbor pixels
 - ◆ Path ② : reflection from back-side surface of PDA
- **Use etched trenches to mitigate line-of-sight crosstalk**



Photo of GmAPD 32 x 32 array



Temporal analysis of Poisson processes

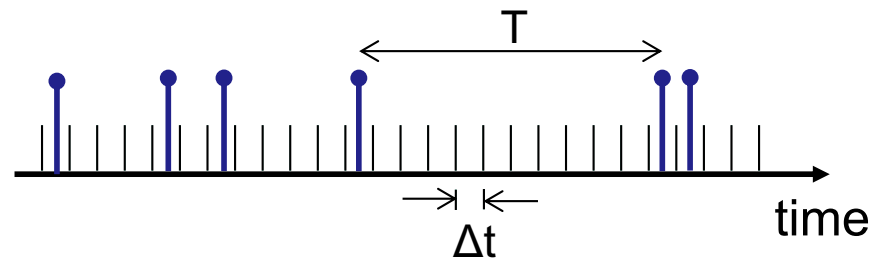


Attributes of a Poisson process (e.g., dark counts, CW Poisson source)

Memoryless: counts from non-overlapping time intervals are mutually independent

Probability of count is proportional to time interval Δt (for sufficiently small Δt)

Probability of more than one count in Δt is negligible (for sufficiently small Δt)



“Inter-arrival” times between successive counts are exponentially distributed

$$\rightarrow f(T) = \lambda e^{-\lambda T} \quad \lambda = \text{average dark count rate (DCR)}$$
$$\int f(T) dT = 1$$

For group of Poisson processes, collective behavior also exhibits Poisson statistics

Inter-arrival times for dark counts of *all* of pixels of array \rightarrow Poisson statistics

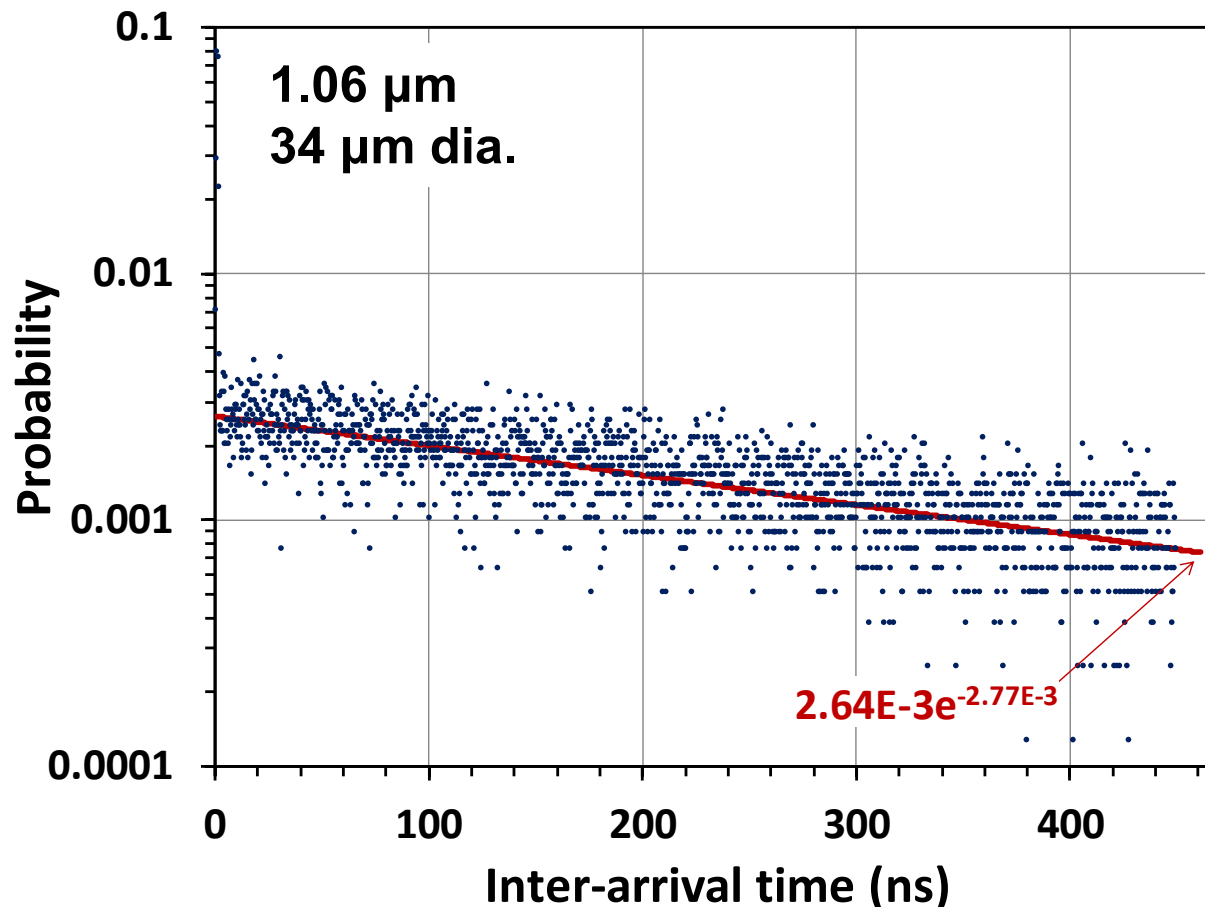
Deviation from intrinsic DCR Poissonian behavior $\left\{ \begin{array}{l} \text{array-level data: crosstalk} \\ \text{pixel-level data: afterpulsing} \end{array} \right.$

DCR Temporal Analysis: 1.06 μm



- “Ideal” dark counts can be described as Poisson process
- Collection of multiple Poisson processes (all pixels) is a Poisson process
- Inter-arrival time distribution from all dark counts should be exponential
 - ◆ Pre-factor and exponent of exponential fit should give DCR

$$f(t) = \lambda e^{-\lambda t}$$



2.64E-3 & 2.77E-3 agree to 5%

$\sim 2.7\text{E-}3 / \text{ns} = 2.7\text{E}6 \text{ Hz DCR}$
from entire array (1024 pixels)

→ **2.6 kHz DCR per pixel**

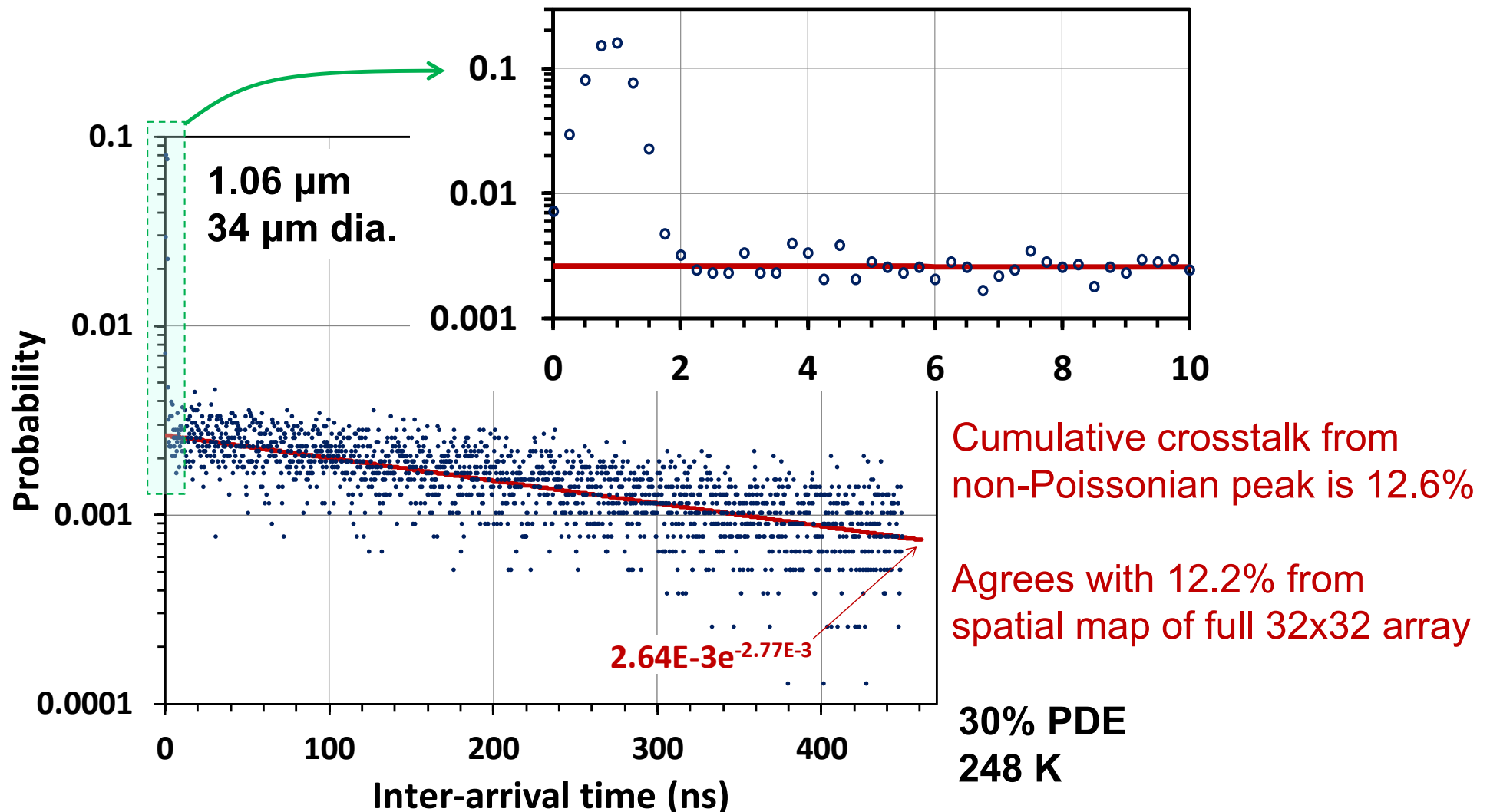
Agrees with 2.2 kHz DCR mean
from array-level DCR map

30% PDE
248 K

DCR Temporal Analysis and Crosstalk



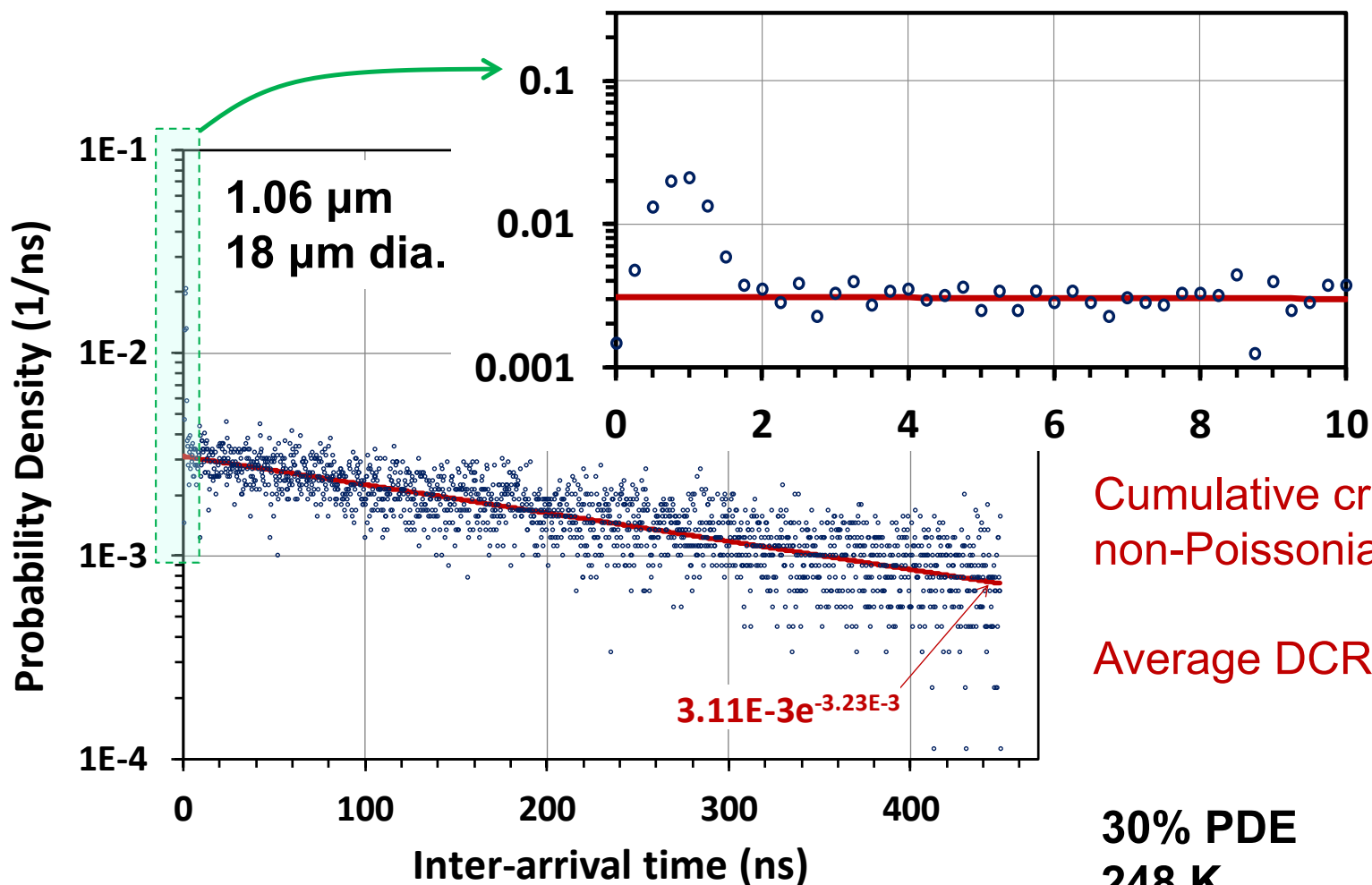
- Assume any non-Poissonian behavior is associated with crosstalk
- Sharp peak at 0 to 2 ns inter-arrival time indicates extent of crosstalk
- 32 x 32 / 34 μm dia. / 100 μm pitch / 1.06 μm wavelength



Crosstalk reduction for smaller detectors



- 32 x 32 / 18 μm dia. / 100 μm pitch / 1.06 μm wavelength
- Crosstalk reduced by 12.6%/1.4% ~ 9X for area ratio of $(34 \mu\text{m}/18 \mu\text{m})^2 \sim 3.6$



Cumulative crosstalk from non-Poissonian peak is 1.4%

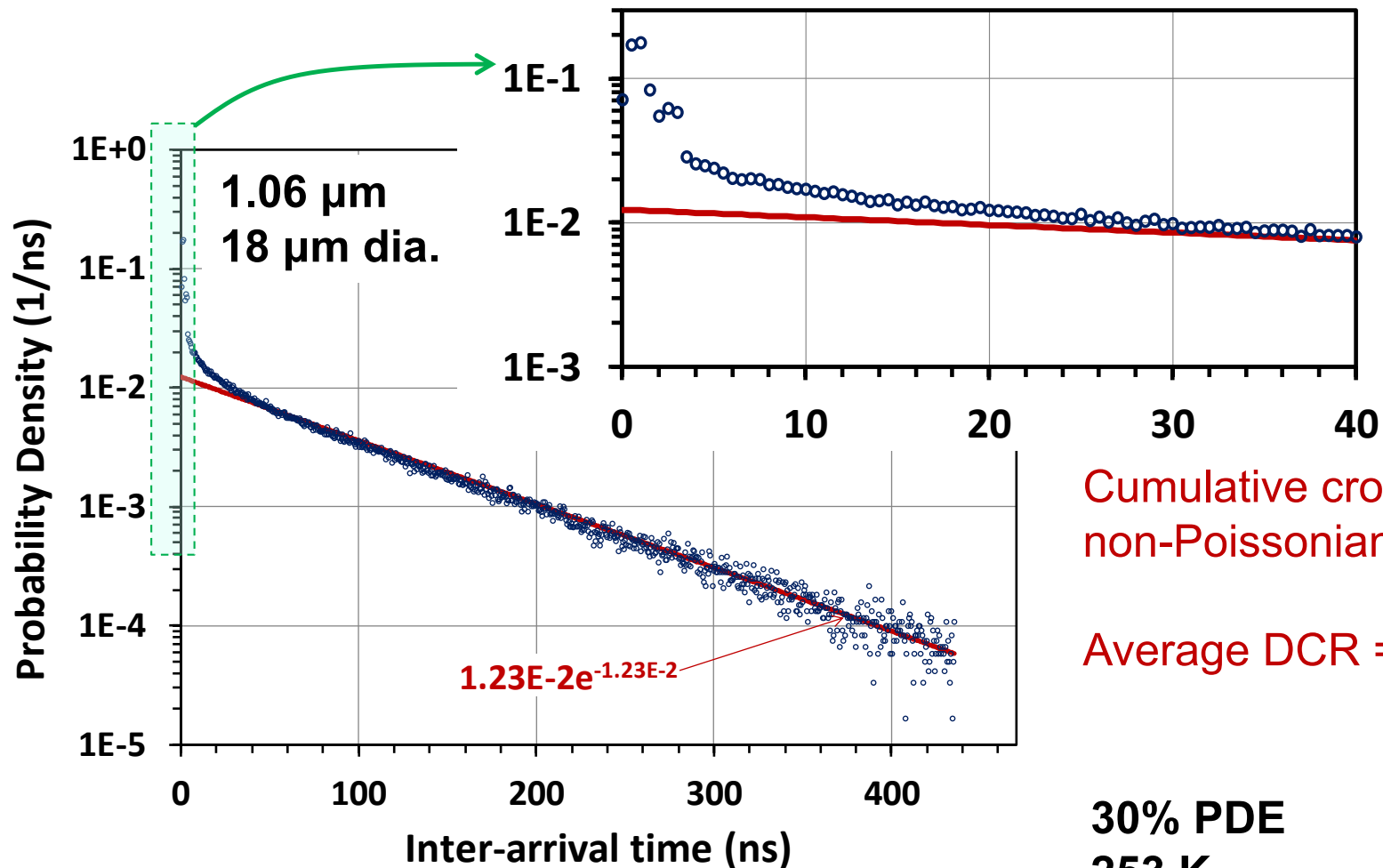
Average DCR = 3.1 kHz

30% PDE
248 K

Crosstalk increase with smaller pitch



- 128 x 32 / 18 μm dia. / 50 μm pitch / 1.06 μm wavelength
- Cumulative crosstalk $\sim 34\%$ at PDE = 30%



Cumulative crosstalk from non-Poissonian peak is 34%

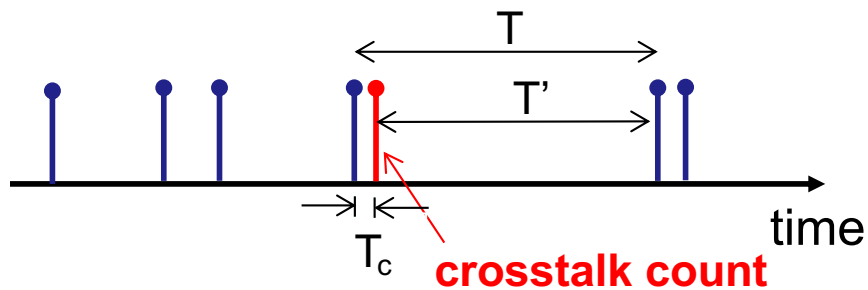
Average DCR = 3.1 kHz

30% PDE
253 K

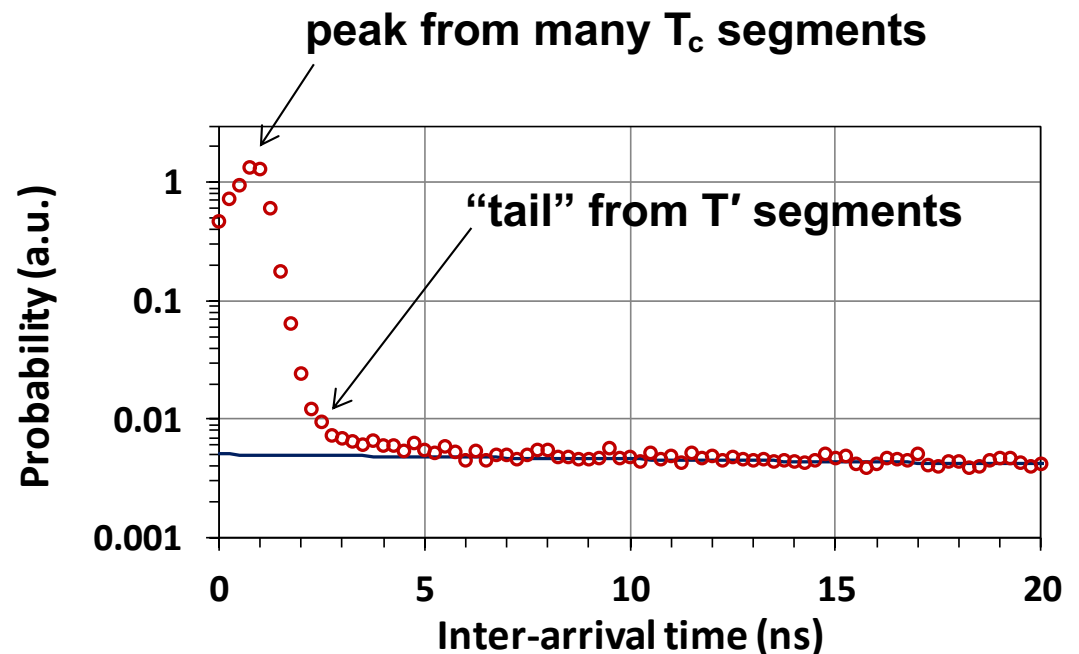
Impact of crosstalk on Poisson distribution



- **Larger crosstalk case exhibit “tail” in interarrival time distribution**
 - ◆ 128 x 32 / 18 μm dia. / 50 μm pitch / 1.06 μm wavelength
 - ◆ Also seen for 32 x 32 array designed for 1.5 μm wavelength
- **Consider effect of crosstalk on Poisson distribution**
 - ◆ Crosstalk event divides intrinsic dark count interarrival time T into two segments
 - ◆ Crosstalk time T_c and remainder $T' = T - T_c$
 - ◆ Replacement of T by T' and T_c changes interarrival time distribution



- **Modeling shows “tail” in inter-arrival time distribution**
 - ◆ ...but requires assumption of much larger cumulative crosstalk
 - ◆ Further refinement needed

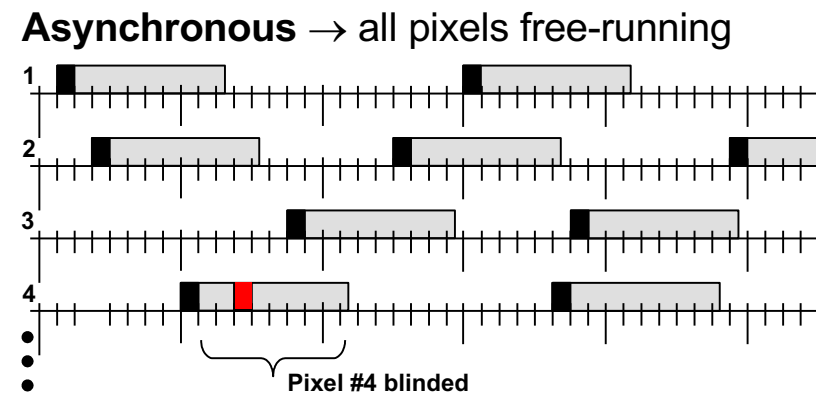
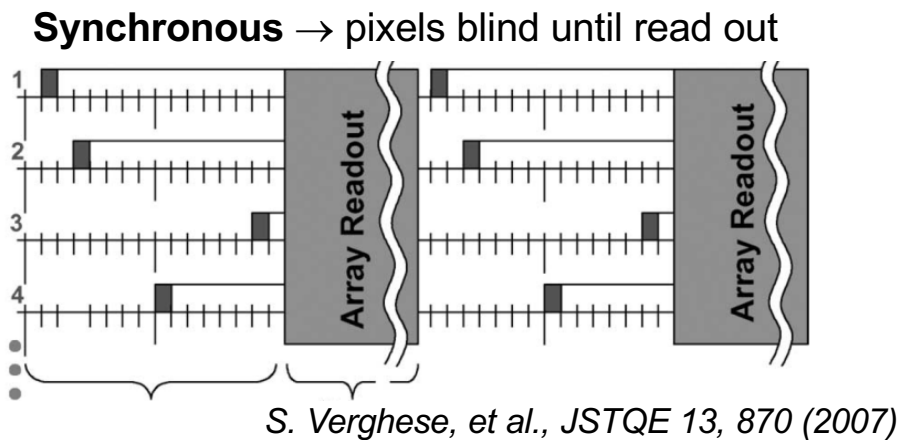


Next-gen asynchronous GmAPD cameras



Fully free-running (asynchronous) FPAs and cameras

All pixels independently avalanche, quench, and reset
 Continuous operation up to 700 Msamples/s

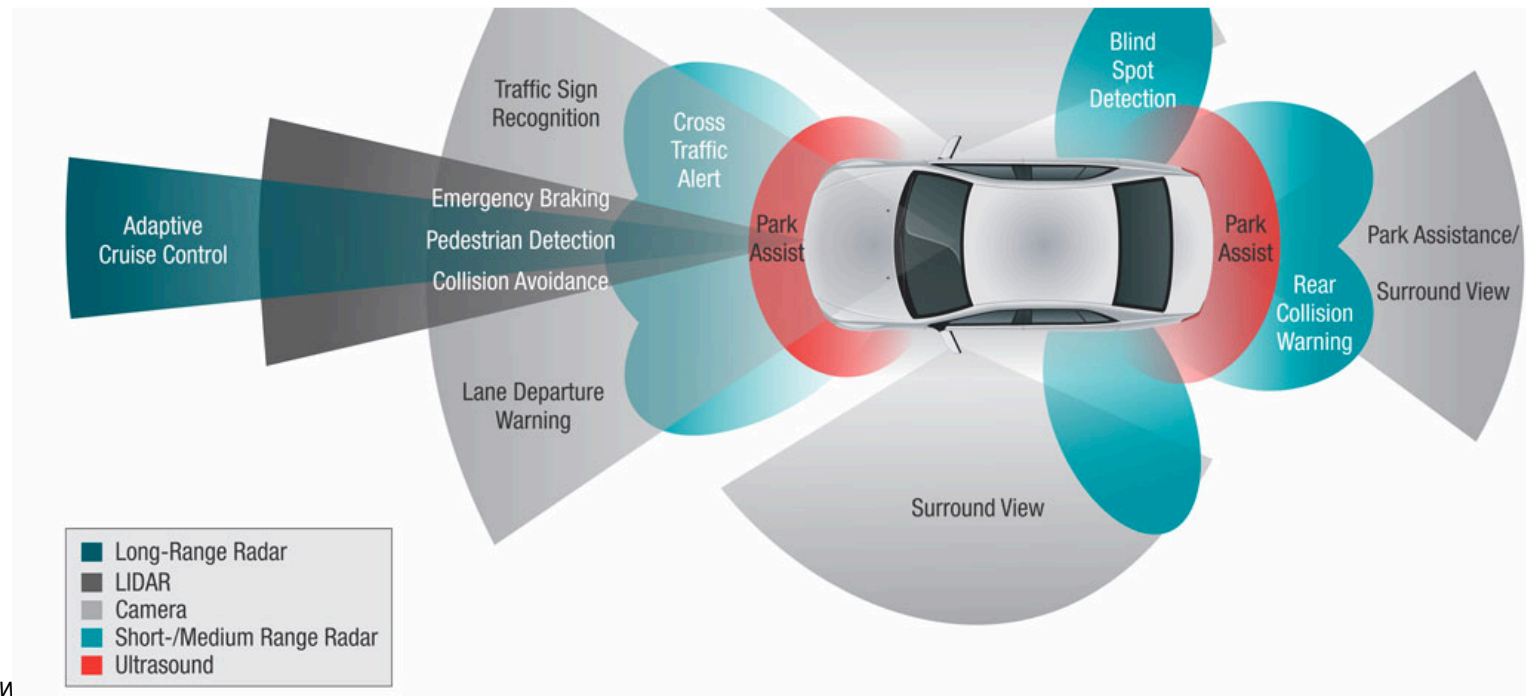


Camera Product	Operation Mode	Format	Pixel Pitch	Pixel Geometry	Sampling Rate	Timing Resolution
Kestrel	synchronous	32 x 32	100 μm	square	200 Mct/s	250 ps
Falcon	synchronous	128 x 32	50 μm	square	400 Mct/s	500 ps → 250 ps
Merlin	asynchronous	32 x 32	66 μm	hexagonal	700 Mct/s	310 ps

Autonomous vehicles

LiDARs produce high-resolution 3D imagery
indispensable for enabling driverless vehicles

see **Small objects**
with **Low reflectance**
at **Long distance**
and **Must be eye-safe!**

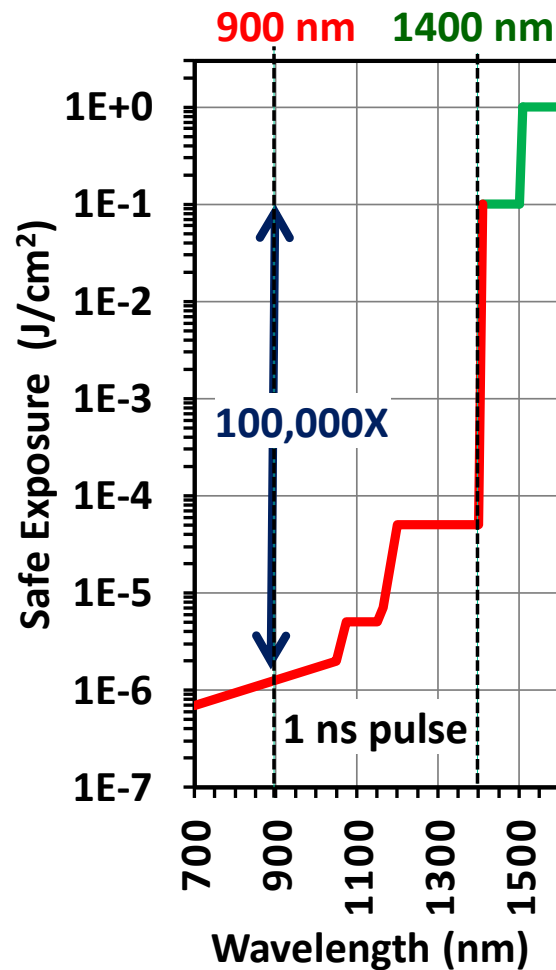


SWIR Geiger-mode benefits for Auto LiDAR



Safer wavelengths

Eye-safety improves by 100,000X above 1400 nm



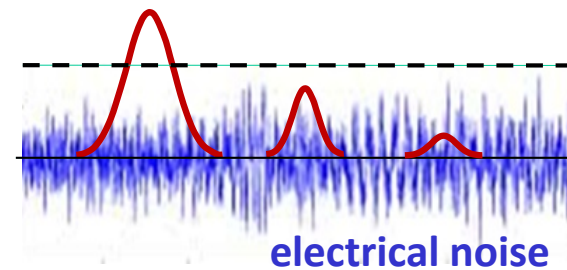
Single-photon sensing

Lower power lasers

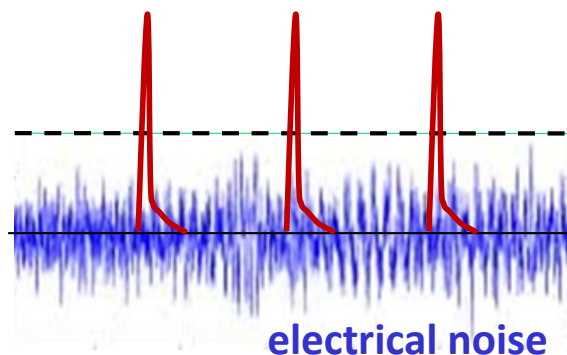
Longer distance detection

Efficient use of photons

Legacy detectors: weak optical signals buried in noise



Geiger-mode detectors: easily sense single photons

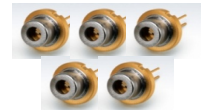


Semiconductor scaling

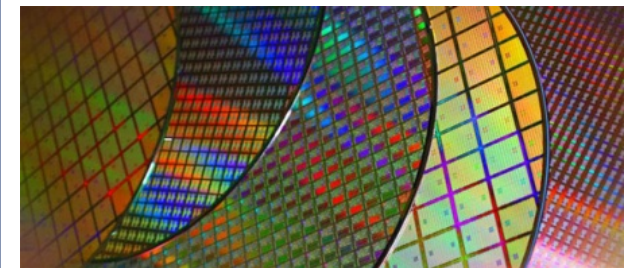
Key devices in PLI LiDAR:

Geiger-mode detectors

Laser diodes



Devices fabricated on semiconductor wafers, meet auto cost targets



Scanning GmAPD automotive LiDAR

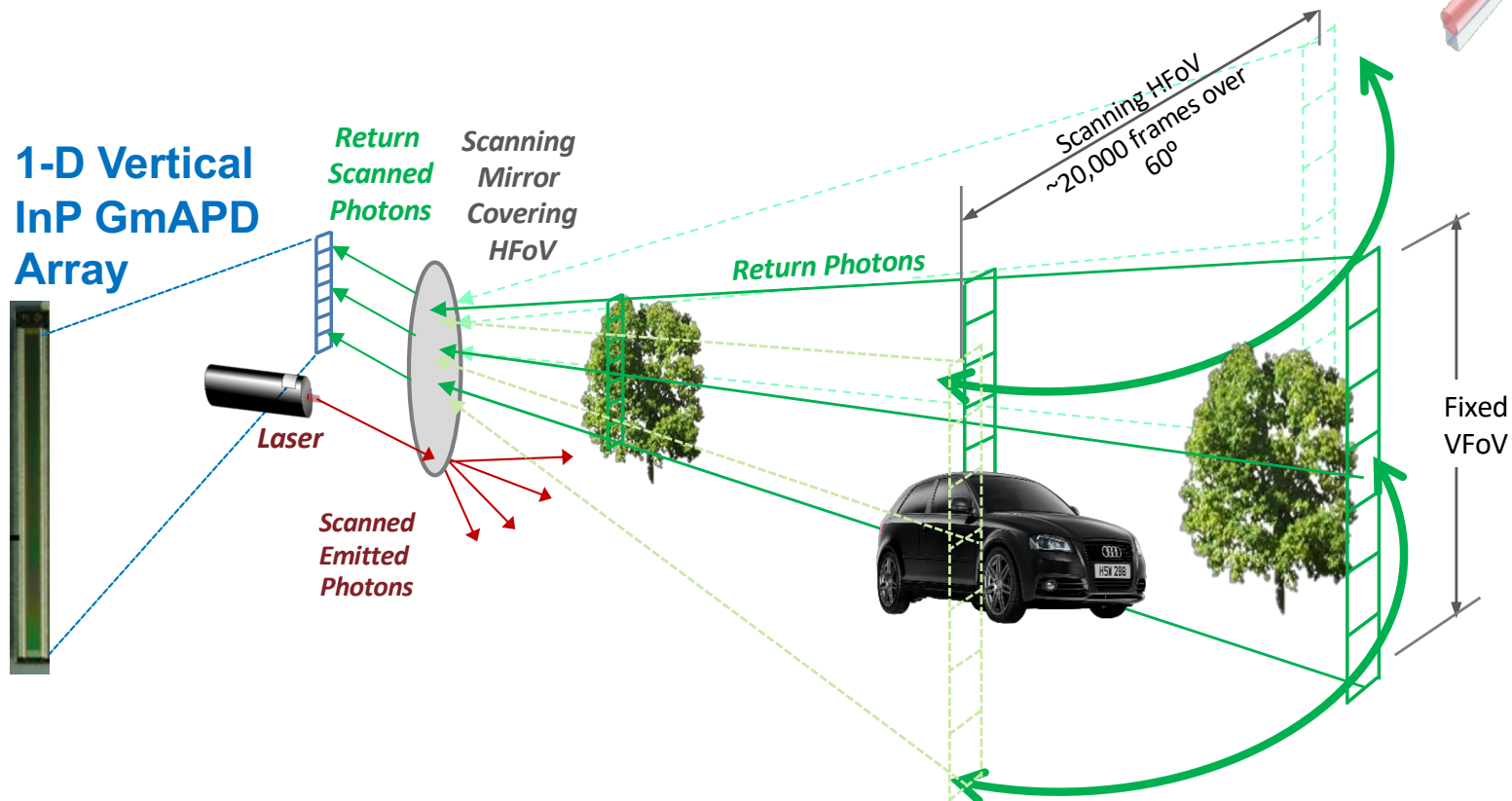
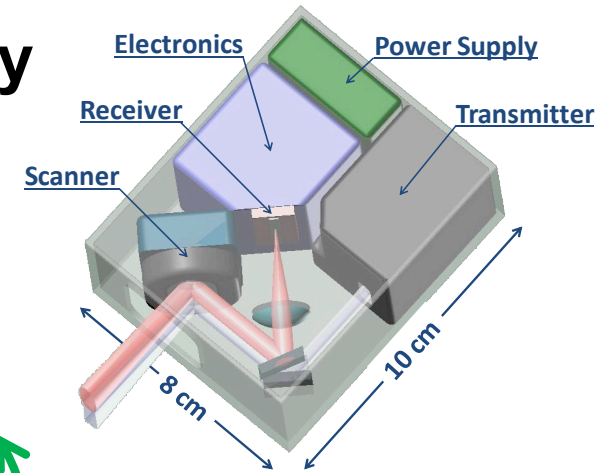


Horizontal scan of 512 x 1 vertical 1-D array

Long range (>250 m)

High resolution (0.025° x 0.025°)

60° x 15° field-of-view

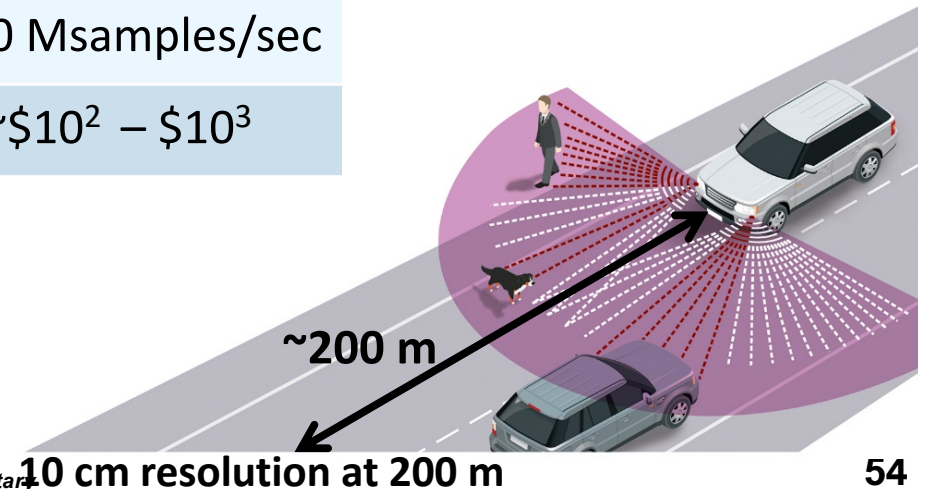
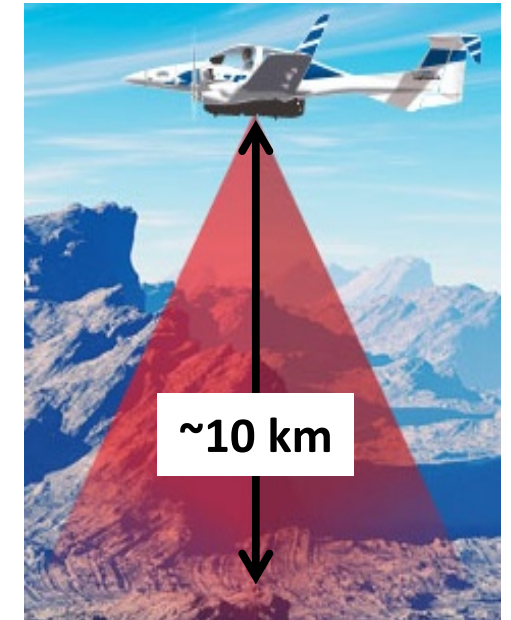


Airborne vs. Automotive LiDAR



LiDAR requirements: Airborne vs. Automotive

Parameter	Airborne	Automotive
Range (10% reflec.)	5 – 15 km	200 – 300 m
Resolution	2 – 20 pts/m ²	~ 100 pts/m ²
Image Rate	n/a	24 Hz
Pixel Field of View	35 μrad (0.0020°)	500 μrad (0.029°)
Eye safety distance	at ~1000 ft.	at aperture (0 ft.)
Sampling rate	~200 Msamples/sec	~200 Msamples/sec
Cost	~\$10 ⁵ – \$10 ⁶	~\$10 ² – \$10 ³

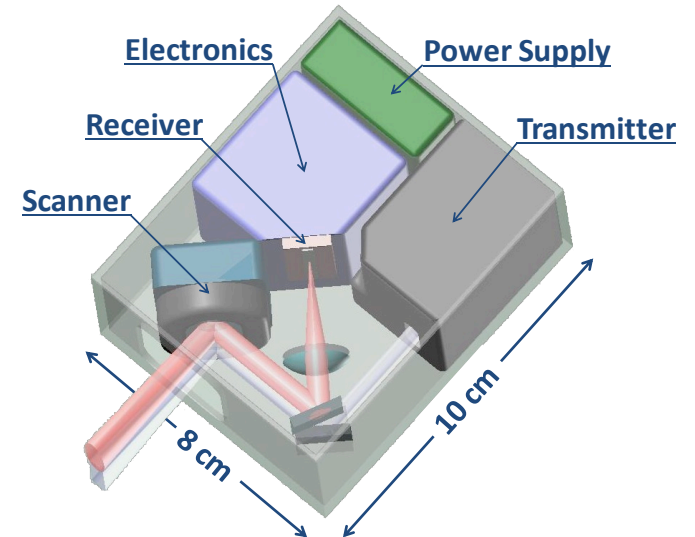


Auto LiDAR system design



5 major sub-systems

- Transmitter (diode lasers, driver)
- Scanner and optics
- Receiver (GmAPD array, readout circuit)
- Electronics for control and data processing
- Power



Highly integrated for scalability

Low cost, low SWaP, and high reliability

Data collection exploits digital nature of Geiger mode

Statistical approach to filtering noise: “coincidence processing”

Eliminates random solar background

~100 laser pulses per point cloud point (voxel)

~200 Msamples/sec → ~2M point cloud pts/sec